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# Measurement of the branching fractions of doubly Cabibbo-suppressed ${\cal D}$ decays



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ABSTRACT: By analyzing  $e^+e^-$  collision data collected at the center-of-mass energy of 3.773 GeV with the BESIII detector, corresponding to an integrated luminosity of 20.3 fb<sup>-1</sup>, we measure the branching fractions of the doubly Cabibbo-suppressed (DCS) decays  $D^0 \to K^+\pi^-$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ , and  $D^+ \to K^+K^+K^-$ . We also perform the first searches for  $D^0 \to K^+\pi^-\eta$ ,  $D^0 \to K^+\pi^-\pi^0\eta$ ,  $D^+ \to K^+\pi^+\pi^-\eta$ ,  $D^+ \to K^+\pi^+\pi^-\eta$ ,  $D^+ \to K^+\pi^+\pi^-\eta$ ,  $D^+ \to K^+\pi^-\eta$ , and  $D^+ \to K^+\eta\eta$  and report the first observations and evidence for some of these final states. Combining the measurements with the world averages of the corresponding Cabibbo-favored (CF) decays, the ratios of the DCS/CF branching fractions are obtained. For the  $D^+ \to K^+(\pi^+\pi^-\eta)_{\mathrm{non}-\eta'}$  decay, the ratio is significantly larger than the corresponding ratios of the other DCS decays.

KEYWORDS: Branching fraction, Charm Physics,  $e^+$ - $e^-$  Experiments

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## 1 Introduction

The study of the doubly Cabibbo-suppressed (DCS) decays of charmed mesons is important for the understanding of the decay dynamics of charm quarks. It is expected that the branching fraction (BF) of the DCS D decays is about  $(0.5-2.0) \tan^4\theta_C$  times its Cabibbo-favored (CF) counterpart [1–5], where  $\theta_C$  is the Cabibbo mixing angle [6]. All known DCS D decays approximately support this expectation [7], except for the recently observed  $D^+ \to K^+\pi^+\pi^-\pi^0$  decay. This decay was observed for the first time by BESIII [8, 9] and later confirmed by Belle [10]; the world average BF is  $(1.10 \pm 0.07) \times 10^{-3}$ , giving a DCS/CF BF ratio of  $(6.11 \pm 0.42) \tan^4\theta_C$ , which is significantly greater than the expectation. Later, BESIII reported the first observations of  $D^+ \to K^+\pi^0\pi^0$  and  $D^+ \to K^+\pi^0\eta$  decays [11], the measurement of  $D^0 \to K^+\pi^-\pi^0(\pi^0)$  [12], and the study of  $D_s^+ \to K^+K^+\pi^-(\pi^0)$  [13]. Charge conjugate decays are implied throughout this paper.

In this paper, we present the measurement of the BFs of the DCS decays  $D^0 \to K^+\pi^-$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ ,  $D^0 \to K^+\pi^-\pi^0$ , and  $D^0 \to K^+\pi^-\pi^0$ , by using the semileptonic  $\bar{D}^0 \to K^+e^-\bar{\nu}_e$  and  $\bar{D}^0 \to K^+\mu^-\bar{\nu}_\mu$  tags, and  $D^+ \to K^+\pi^+\pi^-$ ,  $D^+ \to K^+K^+K^-$ ,  $D^+ \to K^+\pi^+\pi^-\eta$ ,  $D^+ \to K^+(\pi^+\pi^-\eta)_{\mathrm{non}-\eta'}$ , and  $D^+ \to K^+\eta\eta$  by using the hadronic  $D^- \to K^+\pi^-\pi^-$ ,  $D^- \to K^0_S\pi^-$  and  $D^- \to K^+\pi^-\pi^-\pi^0$  tags. Combining the obtained values with the world averages of their corresponding CF decays, the ratios of the DCS/CF branching fractions  $\mathcal{B}_{\mathrm{DCS}}/\mathcal{B}_{\mathrm{CF}}$  are obtained. For the  $D^0$  decays, the CF and DCS decays into the same final state are indistinguishable, causing a much higher background when using hadronic tags. In addition, the use of hadronic tags suffers from complicated corrections due to quantum correlation, especially for those decays with no knowledge of

the strong-phase differences [7]. For these reasons, a semileptonic tag method is adopted in this work to investigate the DCS  $D^0$  decays. We note that  $D^0\bar{D}^0$  mixing effects are negligible with our precision.

The measurements are performed using  $e^+e^-$  collision data corresponding to an integrated luminosity of  $20.3\,\mathrm{fb^{-1}}$  [14, 15] collected at the center-of-mass energy of  $\sqrt{s}=3.773\,\mathrm{GeV}$  with the BESIII detector.

# 2 Data and Monte Carlo generation

The BESIII detector [16] records symmetric  $e^+e^-$  collisions provided by the BEPCII storage ring [17] in the center-of-mass energy range from 1.85 to 4.95 GeV, with a peak luminosity of  $1.1 \times 10^{33}$  cm<sup>-2</sup>s<sup>-1</sup> achieved at  $\sqrt{s} = 3.773$  GeV. BESIII has collected large data samples in this energy region [18–20]. The cylindrical core of the BESIII detector covers 93% of the full solid angle and consists of a helium-based multilayer drift chamber (MDC), a plastic scintillator time-of-flight system (TOF), and a CsI(Tl) electromagnetic calorimeter (EMC), which are all enclosed in a superconducting solenoidal magnet providing a 1.0 T magnetic field. The solenoid is supported by an octagonal flux-return yoke with resistive plate counter muon identification modules (MUC) interleaved with steel. The charged-particle momentum resolution at 1 GeV/c is 0.5%, and the dE/dx resolution is 6% for electrons from Bhabha scattering. The EMC measures photon energies with a resolution of 2.5% (5%) at 1 GeV in the barrel (end cap) region. The time resolution in the TOF barrel region is 68 ps, while that in the end cap region was 110 ps. The end cap TOF system was upgraded in 2015 using multigap resistive plate chamber technology, providing a time resolution of 60 ps, which benefits 85% of the data used in this analysis [21–23].

Simulated samples produced with the GEANT4-based [24] Monte Carlo (MC) package, which includes the geometric description of the BESIII detector and the detector response, are used to determine the detection efficiency and to estimate the backgrounds. The simulation includes the beam-energy spread and initial-state radiation in the  $e^+e^-$  annihilations modeled with the generator KKMC [25, 26]. The inclusive MC samples consist of the production of  $D\bar{D}$  pairs, the non- $D\bar{D}$  decays of the  $\psi(3770)$ , the initial-state radiation production of the  $J/\psi$  and  $\psi(3686)$  states, and the continuum processes. The known decay modes are modelled with EVTGEN [27, 28] using the BFs taken from the Particle Data Group (PDG) [7], and the remaining unknown decays of the charmonium states are modeled by LUNDCHARM [29]. Final-state radiation is incorporated using the PHOTOS package [30].

To ensure consistency between the MC simulations and the data, and to better estimate the efficiencies, the well-known decays are generated combining different processes to decrease systematic uncertainties. The  $D^0 \to K^+\pi^-\pi^0$  decay is simulated using a generator which combines the resonant decays  $D^0 \to K^{*0}(892)\pi^0$ ,  $D^0 \to K^{*+}(892)\pi^-$ ,  $D^0 \to K^+\rho^-$  (770), and a phase-space model. The  $D^+ \to K^+\pi^+\pi^-$  decay is simulated combining the resonant decays  $D^+ \to K^+\rho^0$  (770),  $D^+ \to K^{*0}(892)\pi^+$ ,  $D^+ \to K^+f_0(980)$ , and  $D^+ \to K_2^{*0}(1430)\pi^+$  [7]. The other DCS D decays are simulated with a phase-space model. The  $\bar{D}^0 \to K^+\ell^-\bar{\nu}_\ell$  decay is simulated with a two-parameter series expansion of the form factor [31–33].

## 3 Event selection

Charged tracks detected in the MDC (except for those used for  $K_S^0$  reconstruction) are required to originate from a region within  $|\cos\theta| < 0.93$ ,  $|V_{xy}| < 1 \,\mathrm{cm}$  and  $|V_z| < 10 \,\mathrm{cm}$ . Here,  $\theta$  is the polar angle of the charged track with respect to the MDC axis,  $|V_{xy}|$  and  $|V_z|$  are the distances of closest approach of the charged track to the interaction point perpendicular to and along the MDC axis, respectively. Particle identification (PID) for charged tracks combines measurements of the energy deposited in the MDC (d $E/\mathrm{d}x$ ) and the flight time in the TOF to form hypotheses  $CL_h$ , for each hadron hypothesis  $h = K, \pi$ . Charged tracks with  $CL_K > \max(0, CL_\pi)$  and  $CL_\pi > \max(0, CL_K)$  are assigned as charged kaons and pions, respectively.

Each  $K_S^0$  candidate is reconstructed from two oppositely-charged tracks satisfying  $|V_z| < 20$  cm. The two charged tracks are assigned as  $\pi^+\pi^-$  without imposing PID criteria. They are constrained to originate from a common vertex and are required to have an invariant mass within  $M_{\pi^+\pi^-} \in (0.487, 0.511) \,\text{GeV}/c^2$ . The decay length of the  $K_S^0$  candidate is required to be greater than twice the vertex resolution away from the interaction point. Both the primary vertex fit and second vertex fit are required to have a  $\chi^2 < 100$ , and the updated  $K_S^0$  four-vector is used for later kinematics.

Photon candidates are selected from showers reconstructed in the EMC. The shower time is required to be within 700 ns of the event start time. The shower energy is required to be greater than 25 MeV in the barrel ( $|\cos\theta| < 0.80$ ) and 50 MeV in the end cap ( $0.86 < |\cos\theta| < 0.92$ ) regions. The opening angle between the shower direction and the extrapolated position at the EMC of the closest charged track must be greater than 10°. The  $\pi^0$  and  $\eta$  candidates are formed by photon pairs with an invariant mass within (0.115, 0.150) and (0.505, 0.575) GeV/ $c^2$ , respectively. To improve the resolution, a kinematic fit constraining the  $\gamma\gamma$  invariant mass to the nominal  $\pi^0$  or  $\eta$  mass [7] is imposed on the selected photon pair. The kinematic fit is required to have a  $\chi^2 < 50$ . The four-momentum of the  $\pi^0$  or  $\eta$  candidate updated by this kinematic fit is kept for further analysis.

# 4 Analysis of the DCS $D^0$ decays

At the center-of-mass energy of  $\sqrt{s}=3.773\,\mathrm{GeV}$ , the  $D^0\bar{D}^0$  pairs are produced copiously and are not accompanied by additional hadrons. This allows the use of the double-tag (DT) method [34, 35] to study the D decays. In this section, DT events refer to events in which the DCS  $D^0$  decays are found recoiling against the semileptonic decays  $\bar{D}^0 \to K^+e^-\bar{\nu}_e$  and  $\bar{D}^0 \to K^+\mu^-\bar{\nu}_\mu$ . The BF of each DCS  $D^0$  decay is determined by

$$\mathcal{B}_{\text{sig}} = \frac{N_{\text{DT}}}{2 N_{D^0 \bar{D}^0} \epsilon_{\text{DT}} \mathcal{B}_{\text{SL}}},\tag{4.1}$$

where  $N_{\rm DT}$  is the number of the DT events observed in the data sample,  $N_{D^0\bar{D}^0}=(73.29\pm0.84)\times10^6$  is the total number of  $D^0\bar{D}^0$  pairs in the data sample (calculated by combining the  $e^+e^-\to D^0\bar{D}^0$  cross section [36] and the integrated luminosity of the data sample [15] at  $\sqrt{s}=3.773\,{\rm GeV}$ ),  $\epsilon_{\rm DT}$  is the efficiency of reconstructing the DT events, and  $\mathcal{B}_{\rm SL}$  is the BF of  $\bar{D}^0\to K^+e^-\bar{\nu}_e$  or  $\bar{D}^0\to K^+\mu^-\bar{\nu}_\mu$  quoted from the PDG [7].

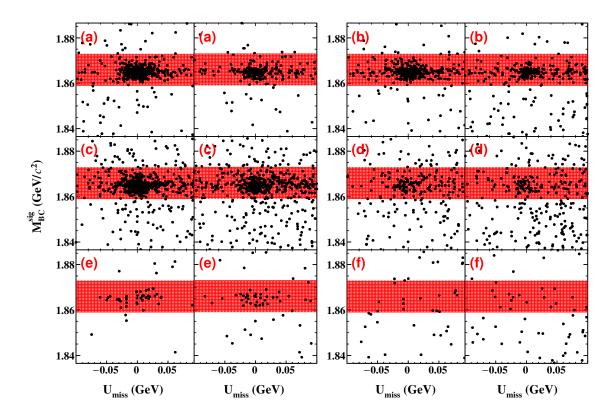
The signal candidates for the DCS  $D^0$  decays are identified by means of two variables: the energy difference  $\Delta E_{\rm sig} \equiv E_{D^0} - E_{\rm beam}$  and the beam-constrained mass  $M_{\rm BC}^{\rm sig} \equiv \sqrt{E_{\rm beam}^2 - |\vec{p}_{D^0}|^2}$ , where  $E_{\rm beam}$  is the beam energy,  $\vec{p}_{D^0}$  and  $E_{D^0}$  are the momentum and energy of the  $D^0$  candidate in the  $e^+e^-$  rest frame, respectively. If there are multiple candidates for the hadronic side, only the one with the minimum  $|\Delta E_{\rm sig}|$  is kept. Correctly reconstructed  $D^0$  candidates concentrate around zero in the  $\Delta E_{\rm sig}$  distribution and around the nominal  $D^0$  mass in the  $M_{\rm BC}^{\rm sig}$  distribution. Events satisfying the  $\Delta E_{\rm sig}$  requirements for each signal decay, as listed in table 1, are kept for further analysis.

In the selection of the  $D^0 \to K^+\pi^-\pi^-\pi^+$  candidates, if either combination of  $\pi^+\pi^-$  falls into the  $K_S^0$  mass window  $|M_{\pi^+\pi^-} - 0.50| < 0.03 \,\mathrm{GeV}/c^2$ , the event is vetoed to reject the dominant peaking background from the singly Cabibbo-suppressed decay  $D^0 \to K^+\pi^-K_S^0 (\to \pi^+\pi^-)$ . For  $D^0 \to K^+\pi^-\pi^0\pi^0$ , we also veto candidates with  $\pi^0\pi^0$  masses falling into  $|M_{\pi^0\pi^0} - 0.50| < 0.03 \,\mathrm{GeV}/c^2$ , to reject background from the  $D^0 \to K^+\pi^-K_S^0 (\to \pi^0\pi^0)$  decay. Both  $K_S^0$  veto requirements correspond to at least 3.5 standard deviations of the experimental  $K_S^0$  mass resolution.

Once the hadronic decays of the  $D^0$  mesons are reconstructed, the candidates for the  $\bar{D}^0 \to K^+ e^- \bar{\nu}_e$  or the  $\bar{D}^0 \to K^+ \mu^- \bar{\nu}_\mu$  decay are selected from the remaining tracks that have not been used for the selection of the hadronic side. The charged kaons from the semileptonic  $\bar{D}^0$  decays are required to satisfy the same PID criteria as the kaons from the hadronic  $D^0$ decays, and the charge of the lepton candidate is required to be opposite to that of the kaon from the semileptonic  $\bar{D}^0$  decay. The number of additional charged tracks,  $N_{\rm extra}^{\rm charge}$ , is required to be zero. Electron PID uses the combined dE/dx, TOF, and EMC information to calculate confidence levels under the electron, pion, and kaon hypotheses,  $CL_e$ ,  $CL_{\pi}$ , and  $CL_K$ . Electron candidates are required to satisfy  $CL_e > 0.001$  and  $CL_e/(CL_e + CL_\pi + CL_K) > 0.8$ . To further reduce the background due to mis-identification between hadrons and electrons, the energy of the electron candidate deposited in the EMC is required to be greater than 0.8 times its momentum measured by the MDC. The four-momenta of the photon(s) within 5° of the initial electron direction are added to the electron four-momentum in order to partially compensate the effects of the final-state radiation and bremsstrahlung (FSR recovery) [32]. Muon PID uses measurements from the dE/dx, TOF and EMC; the MUC is not used since most muons have momenta less than  $0.5 \,\mathrm{GeV}/c$ . Based on these measurements, we calculate the combined confidence levels for electron, muon, pion, and kaon hypotheses,  $CL_e$ ,  $CL_{\mu}$ ,  $CL_{\pi}$ , and  $CL_K$ . The charged track satisfying  $CL_{\mu} > 0.001$ ,  $CL_{\mu} > Max(CL_e, CL_{\pi}, CL_K)$ , and  $E_{\text{EMC}} \in$ (0.1, 0.3) GeV is assigned as muon candidate, where  $E_{\rm EMC}$  is its energy deposited in the EMC.

To suppress potential backgrounds from hadronic decays with a misidentified lepton, the invariant mass of the  $K^+\ell^-$  combination,  $M_{K^+\ell^-}$ , is required to be less than  $1.8\,\mathrm{GeV}/c^2$  for  $\bar{D}^0 \to K^+e^-\bar{\nu}_e$ , and less than  $1.5\,\mathrm{GeV}/c^2$  for  $\bar{D}^0 \to K^+\mu^-\bar{\nu}_\mu$ . Furthermore, we require that the maximum energy of the additional photons  $(E_{\mathrm{extra}\,\gamma}^{\mathrm{max}})$  which are not used in the tag selection to be  $0.25\,\mathrm{GeV}$  and there is no additional good  $\pi^0$  candidate  $(N_{\mathrm{extra}}^{\pi^0})$ .

The semileptonic  $\bar{D}^0$  decay is identified using the variable  $U_{\rm miss} \equiv E_{\rm miss} - |\vec{p}_{\rm miss}|$ , where  $E_{\rm miss} \equiv E_{\rm beam} - E_{K^+} - E_{\ell^-}$  and  $\vec{p}_{\rm miss} \equiv \vec{p}_{\bar{D}^0} - \vec{p}_{K^+} - \vec{p}_{\ell^-}$  are the missing energy and momentum of the DT event in the  $e^+e^-$  center-of-mass system, in which  $E_{K^+}$  and  $\vec{p}_{K^+}$  are the energy and momentum of the  $K^+$ , and  $E_{\ell^-}$  and  $\vec{p}_{\ell^-}$  are the energy and momentum



**Figure 1.** Distributions of  $M_{\rm BC}^{\rm sig}$  versus  $U_{\rm miss}$  of the DT candidate events for (a)  $D^0 \to K^+\pi^-$ , (b)  $D^0 \to K^+\pi^-\pi^-\pi^+$ , (c)  $D^0 \to K^+\pi^-\pi^0$ , (d)  $D^0 \to K^+\pi^-\pi^0\pi^0$ , (e)  $D^0 \to K^+\pi^-\eta$  and (f)  $D^0 \to K^+\pi^-\pi^0\eta$  in data. In each pair, the left plot is for  $\bar{D}^0 \to K^+e^-\bar{\nu}_e$  tags and the right is for  $\bar{D}^0 \to K^+\mu^-\bar{\nu}_\mu$  tags. The red shaded areas show the  $M_{\rm BC}^{\rm sig}$  signal regions.

of the  $\ell^-$ . The  $U_{\text{miss}}$  resolution is improved by setting the  $D^0$  energy to the beam energy and imposing  $\vec{p}_{\bar{D}^0} \equiv -\hat{p}_{D^0} \sqrt{E_{\text{beam}}^2 - M_{D^0}^2}$ , where  $\hat{p}_{D^0}$  is the unit vector in the momentum direction of the  $D^0$  and  $M_{D^0}$  is the  $D^0$  nominal mass [7].

Figure 1 shows the distributions of  $M_{\rm BC}^{\rm sig}$  versus  $U_{\rm miss}$  of the accepted DT candidate events in data. The signal DT candidate events have  $M_{\rm BC}^{\rm sig}$  values near the  $D^0$  mass and  $U_{\rm miss}$  values near zero. The signal region in  $M_{\rm BC}^{\rm sig}$  is chosen as  $M_{\rm BC}^{\rm sig} \in (1.859, 1.873)\,{\rm GeV}/c^2$ . With the above-mentioned requirements, the  $U_{\rm miss}$  distributions of the accepted events are shown in figure 2. The detection efficiencies  $\epsilon_{\rm DT}$ , estimated using the signal MC samples, are listed in table 1.

The background components have been estimated by studying the inclusive MC sample, and are listed in table 2. The largest contribution comes from CF decays due to particle misidentification (BKGI), with some remaining background contributions from other decays (BKGII). To extract the signal yields, simultaneous unbinned maximum-likelihood fits are performed to the  $U_{\text{miss}}$  distributions of the accepted DT candidate events tagged by  $\bar{D}^0 \to K^+ e^- \bar{\nu}_e$  and  $\bar{D}^0 \to K^+ \mu^- \bar{\nu}_\mu$ . For each signal decay, the simultaneous fit constrains the two semileptonic tags to share a common signal decay BF value. The signal shapes are derived from the signal MC samples convolved with a Gaussian function, and the background shapes from the inclusive MC sample. The yield of the BKGI component is fixed based on

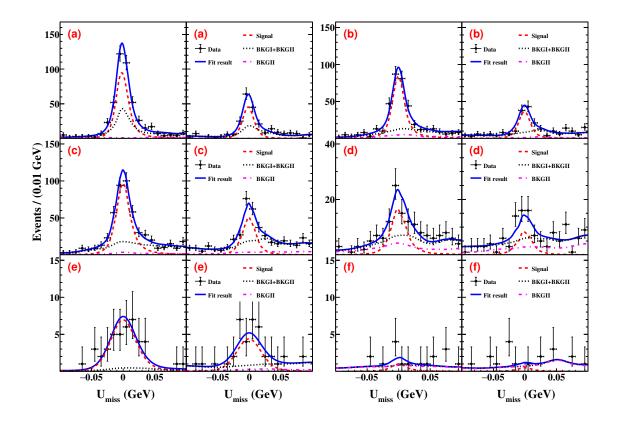


Figure 2. Fits to the  $U_{\rm miss}$  distributions of the DT candidate events for (a)  $D^0 \to K^+\pi^-$ , (b)  $D^0 \to K^+\pi^-\pi^-\pi^+$ , (c)  $D^0 \to K^+\pi^-\pi^0$ , (d)  $D^0 \to K^+\pi^-\pi^0\pi^0$ , (e)  $D^0 \to K^+\pi^-\eta$  and (f)  $D^0 \to K^+\pi^-\pi^0\eta$  in data. In each plot pair, the left panel is  $\bar{D}^0 \to K^+e^-\bar{\nu}_e$  tags and the right one is  $\bar{D}^0 \to K^+\mu^-\bar{\nu}_\mu$  tags. The points with error bars are data and the solid blue curves are the total fit results. The dotted red curves are the fitted signal portion, the dashed black curves are the summed BKGI+BKGII background components, and the dot-dashed pink curves are the BKGII contributions.

the known BFs and the misidentification rates, while the yields of the signal and BKGII components are left free. The fit results are shown in figure 2; from the fit we obtain the BF values of each signal channel, listed in table 1. The statistical significance is estimated by evaluating the change in negative log-likelihood values when the signal is included or excluded in the fits, and calculating the related probability under the  $\chi^2$  distribution hypothesis, accounting for the change in the number of degrees of freedom [37].

No significant signal of  $D^0 \to K^+\pi^-\pi^0\eta$  is observed. The BF upper limit is set to be  $6.8 \times 10^{-5}$  at the 90% confidence level, using the Bayesian approach [38–41] after incorporating the systematic uncertainty via [39]

$$L(\mathcal{B}) \propto \int_0^1 L\left(\mathcal{B}\frac{\epsilon}{\epsilon_0}\right) \exp\left[\frac{-\left(\epsilon/\epsilon_0 - 1\right)^2}{2\left(\sigma_\epsilon\right)^2}\right] d\epsilon,$$
 (4.2)

where  $L(\mathcal{B})$  is the likelihood distribution as a function of BF,  $\epsilon$  is the efficiency including systematic effects, while  $\epsilon_0$  is the nominal MC-estimated efficiency. The upper limits on the product of the BFs at the 90% confidence level are obtained by integrating  $L(\mathcal{B})$  from

Signal decay	$\Delta E_{\rm sig} \; ({ m MeV})$	$\epsilon^e_{\mathrm{DT}}$ (%)	$\epsilon_{\mathrm{DT}}^{\mu}$ (%)	$N_{ m DT}^e$	$N_{ m DT}^{\mu}$	$N_{ m DT}$	$\mathcal{B}_{\text{sig}} \ (\times 10^{-4})$	Significance $(\sigma)$
$D^0 \to K^+\pi^-$	(-31, 30)	$38.53 \pm 0.15$	$18.79 \pm 0.12$	$259.2 \pm 17.7$	$121.7 \pm 8.3$	$381.0 \pm 26.0$	$1.30 \pm 0.09$	> 12.0
$D^0 \to K^+\pi^-\pi^-\pi^+$	(-31, 29)	$19.36 \pm 0.12$	$8.32 \pm 0.09$	$238.9 \pm 18.7$	$98.9 \pm 7.8$	$337.8 \pm 26.5$	$2.38 \pm 0.19$	> 12.0
$D^0 \to K^+\pi^-\pi^0$	(-55, 41)	$19.92 \pm 0.13$	$9.71 \pm 0.09$	$312.6 \pm 21.2$	$146.7 \pm 9.9$	$459.3 \pm 31.1$	$3.06 \pm 0.21$	> 12.0
$D^0 \rightarrow K^+\pi^-\pi^0\pi^0$	(-62, 42)	$8.20 \pm 0.09$	$3.93 \pm 0.06$	$58.1 \pm 11.4$	$26.8 \pm 5.2$	$84.9 \pm 16.6$	$1.40 \pm 0.27$	5.0
$D^0 \to K^+\pi^-\eta$	(-35, 36)	$19.91 \pm 0.13$	$9.50 \pm 0.09$	$42.5 \pm 6.7$	$19.5 \pm 3.1$	$62.1 \pm 9.7$	$1.04 \pm 0.16$	5.8
$D^0 \to K^+\pi^-\pi^0\eta$	(-47, 38)	$7.97 \pm 0.09$	$3.87 \pm 0.06$	$3.5\pm3.3$	$1.7 \pm 1.5$	$5.2 \pm 4.8$	$0.22 \pm 0.20$	0.3

Table 1. The common  $\Delta E_{\rm sig}$  requirements, separate detection efficiencies ( $\epsilon_{\rm DT}^e$  for the electron mode and  $\epsilon_{\rm DT}^{\mu}$  for the muonic mode) of the DCS  $D^0$  decays, the separate ( $N_{\rm DT}^e$  for the electron mode and  $N_{\rm DT}^{\mu}$  for the muonic mode) and combined signal ( $N_{\rm DT}$ ) yields in data, the obtained BFs, and the statistical significances for each signal decay. Here, separate and combined refer to the  $\bar{D}^0 \to K^+ e^- \bar{\nu}_e$  and  $\bar{D}^0 \to K^+ \mu^- \bar{\nu}_\mu$  tags, the efficiencies do not include the BFs of  $\pi^0 \to \gamma \gamma$  and  $\eta \to \gamma \gamma$ , and the uncertainties are statistical only.

Signal	BKGI
$D^0 \to K^+\pi^-$	$D^0  o K^- \pi^+$
$D^0 \to K^+ \pi^- \pi^- \pi^+$	$D^0 \to K^- \pi^+ \pi^- \pi^+$
$D^0 \to K^+ \pi^- \pi^0$	$D^0  o K^-\pi^+\pi^0$
$D^0 \to K^+ \pi^- \pi^0 \pi^0$	$D^0 \to K^- \pi^+ \pi^0 \pi^0$
$D^0 \to K^+ \pi^- \eta$	$D^0  o K^- \pi^+ \eta$
$D^0 \to K^+ \pi^- \pi^0 \eta$	$D^0 \to K^- \pi^+ \pi^0 \eta$

**Table 2.** The BKGI components for each signal decay from the CF decay due to particle misidentification.

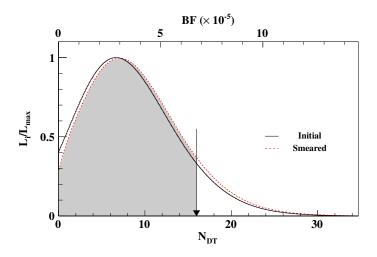


Figure 3. Distribution of the normalized likelihood versus the signal yield  $N_{\rm DT}$  and the normalized BF of  $D^0 \to K^+\pi^-\pi^0\eta$ . The dashed red and solid black curves show the results obtained with and without incorporating the systematic uncertainty, respectively. The black arrow shows the value corresponding to the 90% confidence level.

zero to 90% of the total area of the curve. The distribution of the normalized likelihood versus the assumed BF is shown in figure 3.

Tag mode	$\Delta E_{\rm tag} \; ({ m MeV})$	$N_{\mathrm{ST}}  (\times 10^3)$	$\epsilon_{\mathrm{ST}}$ (%)
$D^- \to K^+ \pi^- \pi^-$	(-25, 24)	$5711.0 \pm 2.6$	$52.40 \pm 0.01$
$D^-  o K_S^0 \pi^-$	(-25, 26)	$667.0 \pm 0.8$	$52.60 \pm 0.01$
$D^- \to K^+ \pi^- \pi^- \pi^0$	(-57, 46)	$1850.3 \pm 1.9$	$25.91 \pm 0.01$
Sum		$8228.1 \pm 3.3$	

**Table 3.** The  $\Delta E_{\text{tag}}$  requirements, the yields of the ST  $D^-$  mesons in data,  $N_{\text{ST}}$ , and the ST efficiencies,  $\epsilon_{\text{ST}}$ . The uncertainties are statistical.

# 5 Analysis of the DCS $D^+$ decays

The single-tag (ST)  $D^-$  candidates are selected by reconstructing a  $D^-$  in the hadronic decay modes  $D^- \to K^+\pi^-\pi^-$ ,  $D^- \to K_S^0\pi^-$  and  $D^- \to K^+\pi^-\pi^-\pi^0$ . Events in which a signal candidate is reconstructed in the presence of an ST  $D^-$  meson are referred to as DT events. The BF of the signal decay is determined by

$$\mathcal{B}_{\text{sig}} = N_{\text{DT}} / (N_{\text{ST}}^{\text{tot}} \, \epsilon_{\text{sig}}), \tag{5.1}$$

where  $N_{\rm ST}^{\rm tot} = \sum_i N_{\rm ST}^i$  and  $N_{\rm DT}$  are the total yields of the ST and DT candidates in data, respectively. Here,  $N_{\rm ST}^i$  is the ST yield for the tag mode i, and the efficiency  $\epsilon_{\rm sig}$  for detecting the signal  $D^+$  decay is averaged over the tag mode i,

$$\epsilon_{\text{sig}} = \frac{\sum_{i} \left( N_{\text{ST}}^{i} \epsilon_{\text{DT}}^{i} / \epsilon_{\text{ST}}^{i} \right)}{N_{\text{ST}}^{\text{tot}}}, \tag{5.2}$$

where  $N_{\rm ST}^i$  is the number of ST  $D^-$ mesons for the  $i^{\rm th}$  tag mode in data,  $\epsilon_{\rm ST}^i$  is the efficiency of reconstructing the ST mode i (the ST efficiency), and  $\epsilon_{\rm DT}^i$  is the efficiency of finding the tag mode i and the DCS  $D^+$  decay simultaneously (the DT efficiency).

The selection criteria of  $K^{\pm}$ ,  $\pi^{\pm}$ ,  $K_S^0$ ,  $\pi^0$  and  $\eta$  have been discussed in section 3. Tagged  $D^-$  mesons are identified using the energy difference  $\Delta E_{\rm tag} \equiv E_{D^-} - E_{\rm beam}$  and the beam-constrained mass  $M_{\rm BC}^{\rm tag} \equiv \sqrt{E_{\rm beam}^2 - |\vec{p}_{D^-}|^2}$ , where  $\vec{p}_{D^-}$  and  $E_{D^-}$  are the momentum and the energy of the  $D^-$  in the rest frame of the  $e^+e^-$  system, respectively. For each tag mode, if there are multiple candidates in an event, only the one with the smallest  $|\Delta E_{\rm tag}|$  is retained. The  $\Delta E_{\rm tag}$  requirements for the ST  $D^-$  candidates are listed in table 3; they vary due to differing resolutions.

To extract the yields of ST  $D^-$  candidates for each tag mode, binned maximum-likelihood fits are performed on the corresponding  $M_{\rm BC}^{\rm tag}$  distributions as shown in figure 4, following ref. [42]. The  $D^-$  signal is modeled by the MC-simulated shape convolved with a double-Gaussian function describing the resolution differences between data and MC simulation. The combinatorial background shape is described by an ARGUS function [43]. The ST  $D^-$  yields in data and the ST efficiencies, estimated by analyzing the inclusive MC sample, are listed in table 3.

The signal  $D^+$  decays are selected using the remaining tracks and showers recoiling against the tagged  $D^-$  candidates. In the selection of the  $D^+ \to K^+\pi^+\pi^-$  and the  $D^+ \to K^+\pi^+\pi^-\eta$  candidates, the  $\pi^+\pi^-$  combinations are required to be outside the  $K_S^0$  mass window,  $|M_{\pi^+\pi^-} - 0.4977| < 0.0300 \,\text{GeV}/c^2$ , to reject the dominant peaking background from

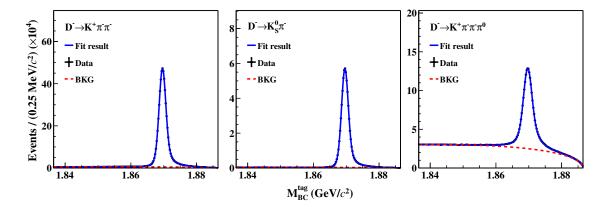


Figure 4. Fits to the  $M_{\rm BC}^{\rm tag}$  distributions of the ST  $D^-$  candidates. The points with error bars correspond to the data, the blue curves are the fit results, and the dashed red curves describe the fitted combinatorial background.

Signal decay	$\Delta E_{\rm sig} \; ({ m MeV})$	$N_{ m DT}$	$\epsilon_{ m sig}$ (%)	$\mathcal{B}_{\text{sig}} \ (\times 10^{-4})$	Significance $(\sigma)$
$D^+ \to K^+ \pi^+ \pi^-$	(-24, 23)	2001.5±51.6	$54.00 \pm 0.20$	$4.50 \pm 0.12$	> 12.0
$D^+ \to K^+ K^+ K^-$	(-21, 19)	142.3±13.1	$33.88 \pm 0.17$	$0.51 {\pm} 0.05$	> 12.0
$D^+ \to K^+ \pi^+ \pi^- \eta$	(-28, 27)	$96.6 \pm 13.3$	19.11±0.14	$1.56 {\pm} 0.22$	9.7
$D^+ \to K^+ (\pi^+ \pi^- \eta)_{\mathrm{non}-\eta'}$	(-28, 27)	$38.9 \pm 10.2$	$17.82\pm0.26$	$0.67{\pm}0.18$	4.4
$D^+ \to K^+ \eta \eta$	(-29, 29)	14.6±5.6	19.36±0.13	$0.59 \pm 0.23$	3.2

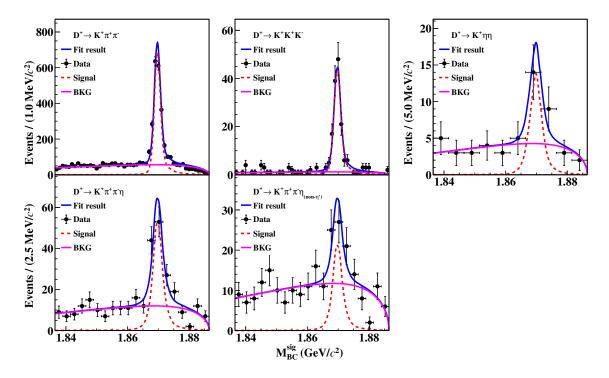
**Table 4.** The  $\Delta E_{\text{sig}}$  requirements, the DT yield  $(N_{\text{DT}})$  in data, the signal efficiencies, the obtained BFs, and the statistical significance for each  $D^+$  signal decay. The uncertainties are statistical.

the singly Cabibbo-suppressed decay  $D^+ \to K^+ K_S^0 (\to \pi^+ \pi^-)$  and  $D^+ \to K^+ K_S^0 (\to \pi^+ \pi^-) \eta$ . This requirement corresponds to about five standard deviations of the experimental  $K_S^0$  mass resolution. For  $D^+ \to K^+ \pi^+ \pi^- \eta$ , we also measure the BF of  $D^+ \to K^+ (\pi^+ \pi^- \eta)_{\text{non}-\eta'}$  after rejecting the  $\eta'$  contribution in the  $M_{\pi^+\pi^-\eta}$  invariant mass distribution with the requirement  $|M_{\pi^+\pi^-\eta} - 0.958| > 0.050 \,\text{GeV}/c^2$  [44].

Signal  $D^+$  mesons are identified using the energy difference  $\Delta E_{\rm sig}$  and the beam-constrained mass  $M_{\rm BC}^{\rm sig}$ , calculated similarly to the ST side. For each signal mode, if there are multiple candidates in an event, only the one with the minimum  $|\Delta E_{\rm sig}|$  is chosen. Signal decays are required to satisfy the  $\Delta E_{\rm sig}$  requirements listed in table 4. The signal yields  $(N_{\rm DT})$  are obtained from unbinned maximum likelihood fits to the  $M_{\rm BC}^{\rm sig}$  distributions of the DT candidate events in data. In the fits, the background shapes are described by an ARGUS function and the signal shapes are derived from the signal MC samples. The results of the fits are shown in figure 5. Table 4 gives the fitted DT yields, signal efficiencies, and obtained BFs, and statistical significances.

#### 6 Systematic uncertainties

The systematic uncertainties in the BF measurements are described below and listed in table 5 for each decay mode. The total systematic uncertainty is given by their sum in quadrature.



**Figure 5.** Fits to the  $M_{\rm BC}^{\rm sig}$  distributions of the DT candidates in data. The points with error bars are data, the solid blue curves are the fit results, the dashed red and solid pink curves are the fitted signals and combinatorial backgrounds, respectively.

#### 6.1 Common systematic uncertainties

The  $e^-$  tracking (PID) efficiencies are studied by using as control sample the  $e^+e^- \to \gamma e^+e^-$  events and those for  $\mu^-$  by using  $e^+e^- \to \gamma \mu^+\mu^-$  events. We assign 1.0% as the systematic uncertainty from the  $e^-(\mu^-)$  tracking and PID. The  $K^+$  and  $\pi^-$  tracking (PID) efficiencies are investigated with the DT hadronic  $D\bar{D}$  events  $D^0 \to K^-\pi^+$ ,  $K^-\pi^+\pi^0$ ,  $K^-\pi^+\pi^+\pi^-$  versus  $\bar{D}^0 \to K^+\pi^-$ ,  $K^+\pi^-\pi^0$ ,  $K^+\pi^-\pi^-\pi^+$ , and  $D^+ \to K^-\pi^+\pi^+$  versus  $D^- \to K^+\pi^-\pi^-$ . We assign 0.5% as the systematic uncertainty of the tracking or PID per  $K^\pm$  or  $\pi^\pm$ .

The  $\pi^0$  reconstruction efficiency is studied by using the DT hadronic  $D\bar{D}$  decays  $\bar{D}^0 \to K^+\pi^-\pi^0$  and  $\bar{D}^0 \to K^0\pi^0$  tagged by either  $D^0 \to K^-\pi^+$  or  $D^0 \to K^-\pi^+\pi^+\pi^-$  [45, 46]. Due to the limited  $\eta$  sample and a topology similar to the  $\pi^0$  sample, the uncertainty in the  $\eta$  reconstruction is assigned as equal to that of the  $\pi^0$  reconstruction: 1.0% for each  $\pi^0$  or  $\eta$ .

The systematic uncertainties due to the requirements on  $\Delta E$  and  $M_{\rm BC}$  for the signal side are studied by using control samples of the CF decays  $D^0 \to K^-\pi^+\pi^0$  and  $D^0 \to K^-\pi^+\pi^+\pi^-$ . The difference of the efficiencies between data and MC simulation, 0.1%, is assigned as the systematic uncertainty.

The uncertainties from the limited MC statistics are determined by the signal efficiencies obtained using the signal MC samples. The uncertainty in MC statistics is assigned by  $\sqrt{(1-\epsilon)/\epsilon}/\sqrt{N}$ , where  $\epsilon$  is the detection efficiency and N is total number of MC events.

The systematic uncertainty associated with the  $K_S^0$  veto is assigned by varying the nominal veto mass window by  $\pm 20 \,\mathrm{MeV}/c^2$ . The maximum relative change in the measured

BF is not significantly larger than the statistical uncertainty after considering the correlations between the signal yields. Therefore, this uncertainty is neglected [47].

For the multi-body DCS D decays, we generate the exclusive MC samples including the possible resonances ( $\rho^{0(-)}$ ,  $K^{*0(+)}$ ,  $f_0$  and  $\phi$ ). The differences of the averaged and nominal signal efficiencies are taken as the systematic uncertainty of the MC model. An uncertainty of the MC model for  $\bar{D}^0 \to K^+ e^- \nu_e$  [32], 0.1%, is also considered in the measurement of the  $D^0$  DCS decays.

# 6.2 Systematic uncertainties for the $D^0$ decays

The systematic uncertainties associated with the  $U_{\rm miss}$  fit are considered below. The systematic uncertainty due to the signal shape is determined by varying the width of the smeared Gaussian function by  $\pm 1\sigma$ . To estimate the uncertainties from the floating background sources, we examine the re-measured BF by varying the background shape to a Chebychev function in the fit. The maximum changes of the signal yields are assigned as the systematic uncertainties. Adding these effects in quadrature gives the systematic uncertainties due to the  $U_{\rm miss}$  fit for the DCS  $D^0$  decays.

The systematic uncertainty in the  $M_{K^+\ell^-}$  requirement is estimated by using the candidates for  $\bar{D}^0 \to K^+\ell^-\bar{\nu}_\ell$  versus the corresponding CF decays. The differences of the DT efficiencies, 0.1% and 0.3%, are assigned as the systematic uncertainties for the requirements of  $M_{K^+e^-}$  and  $M_{K^+\mu^-}$  [12], respectively.

The systematic uncertainty due to the  $E_{\rm extra}^{\rm max}$ ,  $N_{\rm extra}^{\rm charge}$ , and  $N_{\rm extra}^{\pi^0}$  requirements is estimated by using a control sample of the corresponding CF decays versus  $\bar{D}^0 \to K^+ e^- \bar{\nu}_e$ . The differences in the acceptance efficiencies between data and MC simulation are taken as the systematic uncertainties.

The uncertainty from the FSR recovery is assigned as 0.3%, as obtained in ref. [32]. The uncertainty in the total number of the  $D^0\bar{D}^0$  pairs is 1.1% [15]. The uncertainties of the quoted BFs  $\mathcal{B}(D^0 \to K^- e^+ \nu_e) = (3.549 \pm 0.026)\%$  and  $\mathcal{B}(D^0 \to K^- \mu^+ \nu_\mu) = (3.41 \pm 0.04)\%$  are 0.7% and 1.2%. The sum in quadrature of their contributions after taking into account the weights  $\epsilon_{\rm DT}^{\ell} \times \mathcal{B}(D^0 \to K^- \ell^+ \nu_{\ell})$ , 0.6%, is taken as the systematic uncertainty for each of the DCS  $D^0$  decays.

## 6.3 Systematic uncertainties for the $D^+$ decays

The uncertainty of the total yield of the ST  $D^-$  mesons is assigned as 0.1% based on varying the signal shape, the background shape and floating the parameters of one smearing Gaussian in the  $M_{\rm BC}^{\rm tag}$  fit [42].

The systematic uncertainties in the  $M_{\rm BC}^{\rm sig}$  fit are estimated by changing the signal and background shapes. The alternative signal shape is chosen from MC candidates where the differences between the true and the reconstructed momentum directions for all tracks agree to within 15°. The alternative background shapes are obtained by varying the endpoint of the ARGUS function [43]. The changes of the signal yields relative to the nominal ones are assigned as the systematic uncertainties.

	$D^0  o$						$D^+  ightarrow$			
Source	$K^+\pi^-$	$K^+\pi^-\pi^-\pi^+$	$K^{+}\pi^{-}\pi^{0}$	$K^{+}\pi^{-}\pi^{0}\pi^{0}$	$K^+\pi^-\eta$	$K^+\pi^-\pi^0\eta$	$K^+\pi^+\pi^-$	$K^+\pi^+\pi^-\eta$	$K^{+}K^{+}K^{-}$	$K^+\eta\eta$
Tracking	1.8	2.3	1.8	1.8	1.8	1.8	1.1	1.1	1.5	0.5
PID	1.8	2.3	1.8	1.8	1.8	1.8	1.1	1.1	1.5	0.5
$\eta(\pi^0)$ reconstruction			1.0	1.4	1.0	1.4		1.0		1.4
$K_S^0$ veto		Negligible	Negligible	Negligible			Negligible	Negligible		
$U_{\rm miss}$ fit	1.0	3.2	1.5	2.4	1.1	0.7				
$M_{ m BC}^{ m sig}$ fit							0.5	0.5	0.5	0.5
$\Delta E_{\rm sig}$ requirement	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
$M_{\rm BC}^{ m sig}$ requirement	0.1	0.1	0.1	0.1	0.1	0.1				
$M_{K^+\ell^-}$ requirement	0.3	0.3	0.3	0.3	0.3	0.3				
$E_{\text{extra}}^{\text{max}} {}_{\gamma} \& N_{\text{extra}}^{\pi^0} \& N_{\text{extra}}^{\text{track}}$	0.4	0.6	0.1	0.6	0.1	0.6				
MC model	0.1	1.8	0.1	4.8	6.4	5.6	7.6	1.9	0.3	1.6
MC statistics	0.3	0.5	0.4	0.7	0.4	0.8	0.2	0.4	0.3	0.4
FSR recovery	0.3	0.3	0.3	0.3	0.3	0.3				
$N_{D^0ar{D}^0}$	1.1	1.1	1.1	1.1	1.1	1.1				
$N_{ m ST}$							0.1	0.1	0.1	0.1
Quoted $\mathcal{B}_{D^0 \to K^- \ell^+ \nu_e}$	0.6	0.6	0.6	0.6	0.6	0.6				
Quoted $\mathcal{B}_{\eta(\pi^0)\to\gamma\gamma}$					0.5	0.5		0.5		1.0
Total	3.1	5.1	3.4	6.3	7.2	6.6	7.8	2.8	2.2	2.5

**Table 5.** Relative systematic uncertainties for the BF measurements, in %. The ellipsis (...) indicate cases where a source is not applicable.

Signal decay	$\mathcal{B}_{\mathrm{DCS}}^{\mathrm{This} \ \mathrm{work}} \ (\times 10^{-4})$	$\mathcal{B}_{\mathrm{DCS}}^{\mathrm{PDG}} \ (\times 10^{-4})$	$\mathcal{B}_{\mathrm{CF}}^{\mathrm{PDG}} \ (\times 10^{-2})$	$\mathcal{B}_{\mathrm{DCS}}^{\mathrm{This}\ \mathrm{work}}/\mathcal{B}_{\mathrm{CF}}$ (%)	$\times \tan^4 \theta_C$
$D^0 \to K^+\pi^-$	$1.30 \pm 0.09 \pm 0.04$	$1.50 \pm 0.07$	$3.947 \pm 0.030$	$0.328 \pm 0.027$	$1.14 \pm 0.09$
$D^0 \rightarrow K^+\pi^-\pi^-\pi^+$	$2.38 \pm 0.19 \pm 0.12$	$2.65 \pm 0.06$	$8.22 \pm 0.14$	$0.289 \pm 0.028$	$1.00 \pm 0.10$
$D^0 \to K^+\pi^-\pi^0$	$3.06 \pm 0.21 \pm 0.10$	$3.06 \pm 0.16$	$14.4 \pm 0.6$	$0.212 \pm 0.021$	$0.74 \pm 0.07$
$D^0 \to K^+ \pi^- \pi^0 \pi^0$	$1.40 \pm 0.27 \pm 0.09$	< 3.6	$8.86 \pm 0.23$	$0.158 \pm 0.036$	$0.55 \pm 0.12$
$D^0 \to K^+\pi^-\eta$	$1.04 \pm 0.16 \pm 0.08$	_	$1.88 \pm 0.05$	$0.555 \pm 0.092$	$1.93 \pm 0.32$
$D^0 \to K^+\pi^-\pi^0\eta$	< 0.7	_	$0.449 \pm 0.027$	< 1.78	< 6.19
$D^+ \to K^+ \pi^+ \pi^-$	$4.50 \pm 0.12 \pm 0.35$	$4.91 \pm 0.09$	$9.38 \pm 0.16$	$0.480 \pm 0.019$	$1.67 \pm 0.07$
$D^+ \to K^+ \pi^+ \pi^- \eta$	$1.56 \pm 0.22 \pm 0.04$	_	_	_	_
$D^+ \to K^+ \left( \pi^+ \pi^- \eta \right)_{\mathrm{non} - \eta'}$	$0.67 \pm 0.18 \pm 0.02$	_	$0.135 \pm 0.012$	$5.0 \pm 1.4$	$17.3 \pm 4.8$
$D^+ \to K^+ K^+ K^-$	$0.51 \pm 0.05 \pm 0.01$	$0.614 \pm 0.011$	_	_	_
$D^+ \to K^+ \eta \eta$	$0.59 \pm 0.23 \pm 0.02$	_	_	_	_

**Table 6.** The DCS BFs measured in this work, the PDG values of the corresponding DCS and CF decays, and the new DCS/CF BF ratios, given both directly and in units of  $\tan^4 \theta_C$ .

# 7 Summary

By analyzing 20.3 fb<sup>-1</sup> of  $e^+e^-$  collision data collected at  $\sqrt{s}=3.773\,\mathrm{GeV}$  with the BESIII detector, we obtain measurements of several DCS  $D^0$  and  $D^+$  decays. Table 6 summarizes the BFs measured in this work, the PDG values of the corresponding DCS and CF decays, the individual DCS/CF ratios and the ratios in unit of  $\tan^4\theta_C$ . The decays  $D^0 \to K^+\pi^-\eta$ ,  $D^0 \to K^+\pi^-\pi^0\eta$ ,  $D^+ \to K^+\eta\eta$ ,  $D^+ \to K^+\pi^+\pi^-\eta$ , and  $D^+ \to K^+(\pi^+\pi^-\eta)_{\mathrm{non}-\eta'}$  are investigated for the first time. For the known decays  $D^0 \to K^+\pi^-$ ,  $D^0 \to K^+\pi^-\pi^-\pi^+$ ,  $D^+ \to K^+\pi^+\pi^-$ , and  $D^+ \to K^+K^+K^-$ , the BFs measured in this work are consistent with world average values. In the future, amplitude analyses of the multi-body DCS D decays

with larger data samples taken by BESIII [18, 48, 49] and the Super Tau-Charm Facility [50] will be able to extract the BFs of the intermediate two-body D decays. This will help to further explore quark SU(3)-flavor symmetry and its breaking effects, and potentially improve theoretical predictions of CP violation in hadronic D decays [51].

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## The BESIII collaboration

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