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# Search for $e^+e^- o K^0_S K^0_S h_c$



### The BESIII collaboration

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ABSTRACT: Using  $e^+e^-$  collision data at 13 center-of-mass energies ranging from 4.600 to 4.951 GeV collected with the BESIII detector, we conduct the first search for the  $e^+e^- \to K_S^0 K_S^0 h_c$  process and investigate the resonance structures in the cross section line shape. No significant signal is observed, and the upper limits of the Born cross sections at each center-of-mass energy are presented. The ratio  $\frac{\sigma(e^+e^- \to K_S^0 K_S^0 h_c)}{\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)}$  is determined to be 0.15 ± 0.22. This result indicates that if vector states exist in this energy region, their decay into  $h_c$  is significantly suppressed compared to decays into  $J/\psi$ .

Keywords:  $e^+$ - $e^-$  Experiments, Exotics, Quarkonium

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#### 1 Introduction

Over the last two decades, the discovery of numerous charmonium-like states has significantly expanded our understanding of charmonium spectroscopy. In particular, a series of vector states ( $J^{PC}=1^{--}$ ), such as the Y(4260) and Y(4660) [1–3], have been discovered above the  $D\bar{D}$  threshold. These Y states cannot be easily classified as conventional charmonium states and are thus considered potential candidates for hadrons with exotic internal structures. Hypotheses for their composition include hybrid [4–6], tetraquark [7], molecule [8–10], hadrocharmonium states [11, 12], or kinematically induced peaks [13].

Recently, the BESIII collaboration studied the processes  $e^+e^- \to K^+K^-J/\psi$  [14, 15] and  $e^+e^- \to K_S^0K_S^0J/\psi$  [16]. The cross section line shapes revealed two structures, referred to as Y(4500) and Y(4710), around 4.5 and 4.7 GeV, respectively. Furthermore, in the process  $e^+e^- \to D_s^{*+}D_s^{*-}$ , a structure near 4.75 GeV was needed to describe the cross section line shape [17]. These structures are observed in final states containing  $s\bar{s}c\bar{c}$  quarks. Studying these Y states across various processes is crucial for advancing our understanding of their nature. The decay of conventional vector charmonium states into  $h_c$  is expected to be suppressed due to heavy-quark spin symmetry [18]; thus, searches for Y states decaying into  $h_c$  could provide valuable insight into their exotic properties.

To date, the process  $e^+e^- \to K\bar{K}h_c$  remains unobserved. This motivated us to try to measure the cross section line shape of  $e^+e^- \to K\bar{K}h_c$  and to search for potential Y states. In this analysis, we present a study of the process  $e^+e^- \to K_S^0K_S^0h_c$  using 13 data samples collected within the energy range from 4.600 to 4.951 GeV with the BESIII detector [19] at the BEPCII collider [20]. The  $h_c$  meson is reconstructed via its radiative decay to  $\eta_c$ . Omitting the reconstruction of the latter, we employ a partial reconstruction method to improve the detection statistics for the signal process.

# 2 BESIII detector and data samples

The BESIII detector [19] records  $e^+e^-$  collisions provided by the BEPCII storage ring [20] in the center-of-mass (c.m.) energy range from 1.84 to 4.95 GeV, with a peak luminosity of  $1.1 \times 10^{33}$  cm<sup>-2</sup>s<sup>-1</sup> achieved at  $\sqrt{s} = 3.773$  GeV. The cylindrical core of the BESIII detector covers 93% of the full solid angle and consists of a helium-based multilayer drift chamber (MDC), a plastic scintillator time-of-flight system (TOF), and a CsI(Tl) electromagnetic calorimeter (EMC), which are all enclosed in a superconducting solenoidal magnet providing a 1.0 T magnetic field. The solenoid is supported by an octagonal flux-return yoke with resistive plate counter muon identification modules interleaved with steel. The charged-particle momentum resolution at 1 GeV/c is 0.5%, and the dE/dx resolution is 6% for electrons from Bhabha scattering. The EMC measures photon energies with a resolution of 2.5% (5%) at 1 GeV in the barrel (end cap) region. The time resolution in the TOF barrel region is 68 ps, while that in the end cap region is 110 ps. The end cap TOF system was upgraded in 2015 using multi-gap resistive plate chamber technology, providing a time resolution of 60 ps [21–23]. All the data samples, except for the one at 4.6 GeV, benefit from the TOF upgrade.

The data samples used for this analysis were collected at 13 c.m. energies ( $\sqrt{s}$ ) ranging from 4.600 to 4.951 GeV. The c.m. energies are measured by selecting di-muon or  $e^+e^- \rightarrow \Lambda_c\bar{\Lambda}_c$  events [24, 25] with an uncertainty of 0.6 MeV. The total integrated luminosity is 6.4 fb<sup>-1</sup> with an uncertainty of 1.0%, determined by selecting large angle Bhabha scattering events [25, 26]. The process  $e^+e^- \rightarrow K_S^0K_S^0J/\psi$  is used as a control sample to determine the mass resolution difference between data and simulation. Considering the momentum range of  $K_S^0$ , data samples with 4.19  $<\sqrt{s}<$  4.29 GeV, corresponding to an integrated luminosity of 9.5 fb<sup>-1</sup>, are used to select the control sample events.

Simulated samples are produced with a GEANT4-based [27] Monte Carlo (MC) package, which includes the geometric description of the BESIII detector and the detector response. The simulation models the beam energy spread and initial state radiation (ISR) in the  $e^+e^-$  annihilations with the generator KKMC [28, 29]. All particle decays are modeled with EVTGEN [30, 31] using branching fractions either taken from the Particle Data Group (PDG) [1], when available, or otherwise estimated with LUNDCHARM [32, 33]. Final state radiation from charged final state particles is incorporated using PHOTOS [34].

Inclusive MC samples, which include the production of open-charm mesons, the ISR production of vector charmonium(-like) states, and continuum processes, are used to study the background contributions. A signal MC sample of  $e^+e^- \to K_S^0K_S^0h_c$  with  $h_c$  and  $\eta_c$  decaying inclusively, is used to determine the detection efficiencies. For the non-resonant three-body signal process  $e^+e^- \to K_S^0K_S^0h_c$ , the momenta distributions of final state particles are generated following phase space. The cross section of  $e^+e^- \to K_S^0K_S^0h_c$  is assumed to follow the three-body decay phase space factor. For the dominant decay of  $h_c$ ,  $h_c \to \gamma \eta_c$ , the angular distribution of the E1 photon (in the  $h_c$  rest frame) is generated as  $1 + \cos^2 \theta$ . A MC sample of  $e^+e^- \to K_S^0K_S^0J/\psi$  is simulated with final state particles generated following phase space. The cross section line shape from a previous measurement [16] is used as input in the simulation of  $e^+e^- \to K_S^0K_S^0J/\psi$  events.

#### 3 Event selection

In this analysis, the signal process  $K_S^0 K_S^0 h_c$  is reconstructed by selecting two  $K_S^0$  mesons and one photon for the partial reconstruction of the  $h_c \to \gamma \eta_c$  decay.

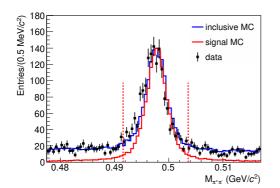
A  $K_S^0$  candidate is reconstructed from two oppositely charged tracks, which are assigned as  $\pi^+\pi^-$  without imposing further particle identification criteria. These tracks are constrained to originate from a common vertex and are required to have an invariant mass  $(M_{\pi^+\pi^-})$  within  $(m_{K_S^0}\pm 6)~{\rm MeV}/c^2$ , where  $m_{K_S^0}$  is the nominal mass of  $K_S^0$  from PDG [1]. The size of the mass window is determined by performing an optimization based on the Punzi Figure-of-Merit (FoM) defined as FoM =  $\frac{\epsilon}{A/2+\sqrt{B}}$ , where A is set to be 3 as the expected significance,  $\epsilon$  is the efficiency given by the signal MC sample, and B represents the number of background events estimated by the inclusive MC sample normalized according to the integrated luminosity. The  $M_{\pi^+\pi^-}$  distribution and the corresponding mass window are shown in figure 1 (left). Additionally, the decay length of the  $K_S^0$  candidate is required to be greater than twice the vertex resolution away from the interaction point (IP).

A photon candidate is identified using showers in the EMC. The deposited energy of a shower must be more than 25 MeV in the barrel region, where the polar angle  $\theta$  satisfies  $|\cos\theta| < 0.8$ , and more than 50 MeV in the end cap region (0.86 <  $|\cos\theta| < 0.92$ ). To suppress electronic noise and showers unrelated to the event, the difference between the EMC time and the event start time is required to be within [0, 700] ns. Each signal candidate event is required to contain at least one photon.

After the selections described above, each signal candidate event must contain at least one  $K_S^0K_S^0$  pair with each pion is used only once. If multiple  $K_S^0K_S^0$  pairs exist in an event, all combinations are retained for further analysis. Each  $K_S^0K_S^0$  combination is then paired with the photons in the event. The photon with the recoil mass of  $\gamma K_S^0K_S^0$  ( $M_{\gamma K_S^0K_S^0}^{\rm rec}$ ) closest to the nominal  $\eta_c$  mass  $(m_{\eta_c})$  is tagged as the E1 photon. The  $\gamma K_S^0K_S^0$  combinations are required to satisfy  $M_{\gamma K_S^0K_S^0}^{\rm rec} \in [2.94, 3.06]$  GeV/ $c^2$ , a range optimized based on the Punzi FoM. The  $M_{\gamma K_S^0K_S^0}^{\rm rec}$  distribution and the mass window are shown in figure 1 (right).

The background contribution from multi  $K_S^0K_S^0$  combinations in the signal process is studied using signal MC simulations with a match method. This method compares the 3-momentum of the reconstructed charged pion tracks with the generator information. An observable, defined as  $\chi^2_{\rm match} = \Sigma_{i=1}^4 (\vec{p}_{\rm rec.,i} - \vec{p}_{\rm gen.,i})^2$ , is employed to indicate the goodness of the generator match, where  $\vec{p}_{\rm rec.,i}$  represents the 3-momentum of the *i*-th pion from the  $K_S^0$  decay after reconstruction, and  $\vec{p}_{\rm gen.,i}$  denotes the 3-momentum of the *i*-th pion from the  $K_S^0$  decay at the generator level. If there is more than one such combination in an event, the one with smallest  $\chi^2_{\rm match}$  is selected. The combinations satisfying  $\chi^2_{\rm match} < 0.05$  are classified as matched. The remaining combinations are identified as combinatorial backgrounds from the signal process. This background contribution is small and smoothly distributed across the  $K_S^0K_S^0$  recoil mass  $(M_{K_S^0K_S^0}^{\rm rec})$  spectrum.

The same selection criteria are applied to the inclusive MC sample to investigate background contributions from other processes. The background events are found to be dominantly originating from processes with multiple light hadrons in the final state and smoothly distributed in the  $M_{K_S^0K_S^0}^{\rm rec}$  distribution. The  $M_{K_S^0K_S^0}^{\rm rec}$  distribution from the inclusive MC sample, normalized according to integrated luminosity, is shown in figure 2 as the green histogram.



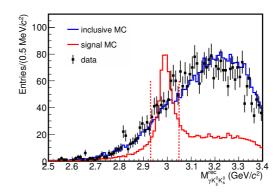


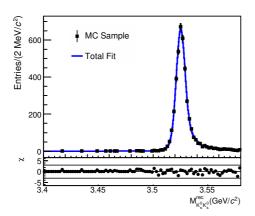
Figure 1. Distributions of  $M_{\pi^+\pi^-}$  (left) and  $M_{\gamma K_S^0 K_S^0}^{\rm rec}$  (right). The black dots with error bars are from data, the blue curves are inclusive MC, the red curves are signal MC, and the vertical dashed lines indicate the optimized selection criteria.  $h_c$  decays inclusively in the signal MC sample. Distributions from inclusive MC samples are normalized according to the integrated luminosity. Distributions from signal MC samples are normalized according to the maximum bin content.

#### 4 Cross section

A fit to the  $M_{K_S^0K_S^0}^{\rm rec}$  distribution is performed using an unbinned maximum likelihood method to determine the number of  $e^+e^- \to K_S^0K_S^0h_c$  signal events. The signal contribution is described by the signal MC shape, convoluted with a Gaussian function to account for the resolution differences between the MC sample and the data. The signal MC shape is parameterized, with parameters fixed to those determined from the signal MC sample. The parameters of the convoluted Gaussian function,  $\delta_{\rm mean}$  and  $\delta_{\sigma}$ , are derived from the control sample  $e^+e^- \to K_S^0K_S^0J/\psi$  [16]. The selection criteria for the  $K_S^0K_S^0$  pair in the control sample are identical to those used in the signal process. The resolution differences are determined to be  $\delta_{\rm mean} = (0.19 \pm 0.55)$  MeV and  $\delta_{\sigma} = (-0.01 \pm 1.07)$  MeV.

The background contribution for the  $e^+e^- \to K_S^0 K_S^0 h_c$  process is described with a second-order Chebyshev function with the parameters kept float. The fit results for the  $M_{K_S^0 K_S^0}^{\rm rec}$  distribution from the signal MC and data at  $\sqrt{s} = 4.750\,{\rm GeV}$  are shown in figure 2. The bottom panels display the distributions of  $\chi = \frac{N_{\rm data} - N_{\rm fit}}{\delta_{\rm data}}$ , where  $N_{\rm data}$ ,  $N_{\rm fit}$ , and  $\delta_{\rm data}$  represent the number of events from the data sample, the total fit curve, and the statistical uncertainty of the data, respectively. Fit results for the other data samples are presented in the appendix.

The signal significance for each data sample is evaluated by comparing the difference of  $(-\ln L)$  with and without the signal component, where L denotes the likelihood value. The significance is found to be less than  $2\sigma$  for each data sample, and is not calculated if the nominal signal yield is negative. The upper limit (U.L.) on the number of signal events is determined at the 90% confident level (C.L.) through a likelihood scan. The distribution of  $L/L_0$  as a function of  $N_{\rm sig}$  for the  $\sqrt{s}=4.750\,{\rm GeV}$  data is shown in figure 3, where L and  $L_0$  are the likelihood values for each  $N_{\rm sig}$  and the maximum likelihood value, respectively. The upper limit is determined from  $\int_0^{N_{\rm sig}^{\rm U.L.}} (L/L_0) dx/\int_0^\infty (L/L_0) dx=0.9$ .



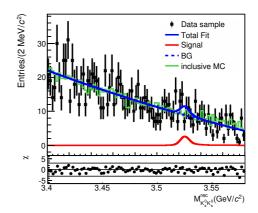
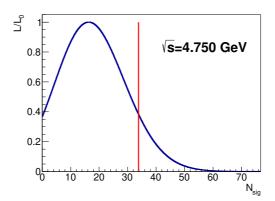


Figure 2. The fit to the  $M_{K_S^0K_S^0}^{\rm rec}$  distribution from  $e^+e^- \to K_S^0K_S^0h_c$  process at  $\sqrt{s}=4.750\,{\rm GeV}$ . The left panel shows the fit to signal MC, where the black dots with error bars and blue solid line represent the signal MC and total fit curve, respectively. The right panel shows the fit to data, where the blue solid line is the total fit curve, the blue dashed line is the background contribution, and the red line is the fitted signal contribution. The contribution from the inclusive MC sample is shown as the green histogram. The  $\chi$  distributions are shown below.



**Figure 3.** The likelihood scan result at the  $\sqrt{s} = 4.750 \,\text{GeV}$  data sample. The red vertical line indicates the position of the U.L. at the 90% C.L.

The Born cross section  $\sigma_{\rm Born}$  is calculated using the formula:

$$\sigma_{\text{Born}} = \frac{N_{\text{sig}}}{\epsilon \mathcal{L}(1+\delta)\delta_{\text{VP}}\mathcal{B}^2(K_S^0 \to \pi^+\pi^-)},\tag{4.1}$$

where  $\epsilon$ ,  $\mathcal{L}$ ,  $(1+\delta)$ ,  $\delta_{\mathrm{VP}}$ , and  $\mathcal{B}(K_S^0 \to \pi^+\pi^-)$  [1] represent the detection efficiency, the integrated luminosity, the ISR correction factor, the vacuum polarization (VP) correction factor [35], and the branching fraction of  $K_S^0 \to \pi^+\pi^-$ , respectively. The upper limit of  $\sigma_{\mathrm{Born}}$  is similarly determined by substituting  $N_{\mathrm{sig}}$  with its upper limit  $N_{\mathrm{sig}}^{\mathrm{U.L.}}$ . The numerical results for each data sample are listed in table 1 and shown in figure 4. In the table, the second error of  $\sigma_{\mathrm{Born}}$  represents the systematic uncertainty,  $N_{\mathrm{sig}}^{\mathrm{U.L.,nom}}$  is the nominal upper limit of the signal yields, and  $\sigma_{\mathrm{Born}}^{\mathrm{U.L.,sys}}$  reflects the most conservative result incorporating systematic uncertainties that will be discussed in the next section.

$\sqrt{s}$	$\mathcal{L}$	$N_{ m sig}~(N_{ m sig}^{ m U.L.,nom})$	$\epsilon$	$\delta_{VP}$	$1 + \delta$	S	$\sigma_{ m Born} \; (\sigma_{ m Born}^{ m U.L.,sys})$	$R(R^{\mathrm{U.L.}})$
4.600	587	$10.3_{-6.3}^{+7.0} (< 20.4)$	8.4	1.055	0.720	$1.7\sigma$	$0.58^{+0.40}_{-0.36} \pm 0.08 (< 1.28)$	$0.7^{+0.5}_{-0.4} \ (< 1.6)$
4.612	104	$-3.1^{+2.8}_{-1.8} (< 4.8)$	7.6	1.055	0.731	_	$-1.07^{+0.96}_{-0.65} \pm 0.16 (< 1.91)$	$-3.3^{+3.9}_{-2.5} \ (< 6.5)$
4.628	522	$-9.4^{+6.6}_{-5.8} (< 8.3)$	7.9	1.054	0.741	_	$-0.61^{+0.44}_{-0.38} \pm 0.08 (< 0.63)$	$-1.1^{+0.9}_{-0.7} (< 1.2)$
4.641	552	$-12.8^{+7.8}_{-7.0}(<8.8)$	8.0	1.054	0.745	_	$-0.78^{+0.48}_{-0.43} \pm 0.09 (< 0.62)$	$-1.5^{+1.0}_{-0.9} \ (< 1.3)$
4.661	529	$9.8^{+9.7}_{-8.9} (< 23.8)$	8.3	1.054	0.756	$1.1\sigma$	$0.59^{+0.58}_{-0.54} \pm 0.07 (< 1.57)$	$1.0^{+1.0}_{-0.9} \ (< 3.0)$
4.682	1667	$-9.3^{+18.2}_{-17.3}(<25.9)$	8.6	1.054	0.764	_	$-0.17^{+0.33}_{-0.32} \pm 0.02 (< 0.71)$	$-0.2^{+0.5}_{-0.4} \ (< 1.1)$
4.699	536	$4.3^{+11.8}_{-11.0} (< 23.0)$	8.4	1.055	0.769	$0.4\sigma$	$0.25^{+0.68}_{-0.63} \pm 0.03 (< 1.48)$	$0.3^{+0.8}_{-0.7} \ (< 1.8)$
4.740	165	$0.4^{+7.4}_{-6.6} (< 13.4)$	9.1	1.055	0.781	$0.1\sigma$	$0.07^{+1.26}_{-1.12} \pm 0.03 (< 2.42)$	$0.1^{+1.4}_{-1.2} \ (< 2.8)$
4.750	367	$16.4^{+12.5}_{-11.7}(<33.8)$	9.2	1.055	0.782	$1.4\sigma$	$1.22^{+0.94}_{-0.88} \pm 0.12 (< 2.71)$	$1.1^{+0.9}_{-0.8} \ (< 2.7)$
4.781	511	$-0.3_{-13.7}^{+14.6} (< 24.7)$	9.4	1.055	0.788	_	$-0.02^{+0.76}_{-0.72} \pm 0.01 (< 1.62)$	$0^{+0.7}_{-0.6} \ (< 1.5)$
4.843	525	$2.1_{-14.7}^{+15.5} (< 27.7)$	9.3	1.056	0.802	$0.1\sigma$	$0.11^{+0.78}_{-0.74} \pm 0.02 (< 1.48)$	$0.2^{+1.1}_{-1.1} \ (< 2.3)$
4.918	208	$11.7^{+11.2}_{-10.5} (< 27.9)$	9.7	1.056	0.814	$1.1\sigma$	$1.41^{+1.37}_{-1.28} \pm 0.19 (< 3.62)$	$2.2^{+2.3}_{-2.1} \ (< 6.6)$
4.951	159	$-1.6^{+9.5}_{-8.6} (< 15.6)$	9.6	1.056	0.818	_	$-0.26^{+1.49}_{-1.36} \pm 0.03 (< 3.10)$	$-0.6^{+3.3}_{-3.0} \ (< 7.7)$

Table 1. The numerical results of the Born cross section  $\sigma_{\rm Born}$  for each data sample (in unit of pb). The numbers in brackets are upper limits at the 90% C.L. Shown are also the c.m. energies  $\sqrt{s}$  (in unit of GeV), the integrated luminosity  $\mathcal{L}$  (in unit of pb<sup>-1</sup>), the number of signal events  $N_{\rm sig}$ . the efficiency  $\epsilon$  (in unit of %), the VP correction factor  $\delta_{\rm VP}$ , the ISR correction factor  $(1+\delta)$ , the significance S at each data sample, the ratio  $R = \frac{\sigma(e^+e^- \to K_S^0 K_S^0 h_c)}{\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)}$ .

The decay of conventional vector charmonium states into  $h_c$  is suppressed due to heavy-quark spin symmetry, while the size of  $\sigma(e^+e^- \to \pi^+\pi^-h_c)$  and  $\sigma(e^+e^- \to \pi^+\pi^-J/\psi)$  in the range  $4.2 < \sqrt{s} < 4.4$  GeV is found similar. To better understand the nature of Y states above 4.6 GeV, we calculate the ratio  $R = \frac{\sigma(e^+e^- \to K_S^0 K_S^0 h_c)}{\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)}$ . The values of  $\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)$  are taken from ref. [14–16]. The results for  $\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)$  and  $\sigma(e^+e^- \to K^+K^- J/\psi)$  are combined assuming isospin symmetry. Since all three measurements are dominated by the statistical uncertainty, the quadratic sum of the statistical and systematic uncertainties of each process is used. The results for R are presented in figure 5. Fitting the ratio with a constant term, the average ratio is determined to be  $0.15 \pm 0.22$ . The upper limit of the cross section ratio is calculated by replacing  $\sigma(e^+e^- \to K_S^0 K_S^0 h_c)$  with  $\sigma^{\text{U.L.,sys}}(e^+e^- \to K_S^0 K_S^0 h_c)$ , determined with the uncertainties of the  $\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)$  included as one source of systematic uncertainty.

#### 5 Systematic uncertainty

The uncertainties in the measured Born cross sections arise from various sources, which contribute either as multiplicative or additive terms. The multiplicative terms include integrated luminosity, input branching fractions, and detection efficiency. Additive terms stem from the determination of  $N_{\rm sig}$  and the line shape of the cross section. The uncertainties are combined in quadrature to calculate the total systematic uncertainty, assuming they are independent. For the upper limit, the uncertainty from multiplicative terms, summarized in table 2, is incorporated by convoluting a Gaussian function into the likelihood distribution. The likelihood distribution is derived from the most conservative result based on the additive terms.

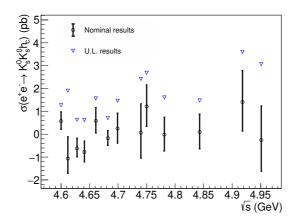
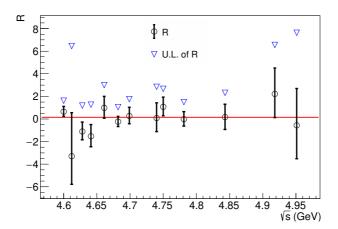


Figure 4. The nominal result (dots with error bars) and the U.L. (triangular points) of the Born cross section of  $e^+e^- \to K_S^0K_S^0h_c$ .



**Figure 5.** The ratio  $R = \frac{\sigma(e^+e^- \to K_S^0 K_S^0 h_c)}{\sigma(e^+e^- \to K_S^0 K_S^0 J/\psi)}$  and its upper limit. The red line indicates the average value.

Source	Uncertainty (%)
Luminosity	1.0
$\mathcal{B}(h_c \to \gamma \eta_c)$	4.3
Photon reconstruction	1.0
$K_S^0$ reconstruction	2.6 - 8.8
$\eta_c$ mass window	1.0
Simulation model	6.0
Total	8.1 - 11.7

Table 2. Summary of multiplicative systematic uncertainties.

The integrated luminosity is measured by selecting Bhabha scattering events, with an associated uncertainty of 1.0% [25, 26]. Since the processes  $h_c \to \gamma \eta_c$  and  $h_c \to \text{non-}(\gamma \eta_c)$  are both considered as signal, the uncertainty from  $\mathcal{B}(h_c \to \gamma \eta_c)$ , for which the best measurement yields  $\mathcal{B}(h_c \to \gamma \eta_c) = (57.66^{+3.62}_{-3.50} \pm 0.58)\%$  [36], is estimated by modifying the value by  $\pm 1\sigma$ . The resulting change in the detection efficiency  $\epsilon$  of 4.3% is taken as the systematic uncertainty.

The uncertainty from photon reconstruction is studied using control samples of  $J/\psi \to \rho \pi^0$  and  $e^+e^- \to \gamma\gamma$ , and is determined to be 1% per photon [37]. The uncertainty from  $K_S^0$  reconstruction is assessed by selecting the decay  $J/\psi \to K^{*\pm}\bar{K}^{\mp}$  as a control sample. The reconstruction efficiency difference between data and MC sample as a function of the momentum of  $K_S^0$  ( $p_{K_S^0}$ ) is provided. By weighing the efficiency difference according to  $p_{K_S^0}$  in the signal process across various data samples, the systematic uncertainty from  $K_S^0$  is estimated to range from 4.4% to 1.3% per  $K_S^0$  for  $\sqrt{s}$  ranging from 4.600 GeV to 4.951 GeV. Uncertainties from the parameters of  $\eta_c$  in the MC sample are estimated by varying the mass and width separately by  $\pm 1\sigma$ , resulting in an uncertainty of 0.5%, where  $\sigma$  values are cited from PDG [1]. The uncertainty from the line shape of  $\eta_c$  [38] used in MC samples is estimated by incorporating the missing term  $(E_{\gamma}^3)$ , resulting in a difference in detection efficiencies of 0.8%. Consequently, the total uncertainty from the  $\eta_c$  mass window is 1%.

The systematic uncertainty caused by the simulation model is estimated by producing MC samples of the processes  $e^+e^- \to K_S^0 Z_{cs}(4220)$ ,  $e^+e^- \to f_0(1370)h_c$ , or  $e^+e^- \to f_2(1270)h_c$ . The mass and width of  $Z_{cs}(4220)$  are fixed according to the result reported by LHCb [39]. We further simulate the sample with  $M(Z_{cs}(4220))$  shifted by +50 MeV/ $c^2$  since the mass of  $Z_{cs}^0$  is expected to be larger than  $Z_{cs}^{\pm}$  [40]. The efficiency difference compared to the nominal value is 6% and is taken as the systematic uncertainty. The systematic uncertainty caused by the limited statistics of MC sample is calculated with  $\delta\epsilon = \sqrt{\frac{\epsilon(1-\epsilon)}{N_{\rm gen}}}$ , where  $N_{\rm gen}$  represents the number of generated events. The combined uncertainty for  $h_c \to (\gamma \eta_c)$  and  $h_c \to \text{non-}(\gamma \eta_c)$  processes is calculated error propagation formula. The uncertainty is calculated to be 1%.

In the fit to the  $M_{K_S^0K_S^0}^{\rm rec}$  distribution, the uncertainty due to the resolution difference between data and MC sample is estimated by generating ensemble of pseudoexperiments with parameters modified by 0 or  $\pm 1\sigma$ . This results in one set of nominal shape MC samples and eight sets for the modified shapes. The ensemble of pseudoexperiments are then fitted using the nominal line shape. The systematic uncertainty is determined by comparing the fit results obtained from toy MC samples based on the nominal and modified shapes, which is found to range within  $\delta(N_{\rm sig}) = (0.0, 0.5)$  for different data samples. For the upper limit, the uncertainty is estimated by repeating the scan with the modified shape. The uncertainty from the fit range is assessed following the discussion in [41, 42]. The lower and upper boundaries of the fit range are modified separately by  $\pm 5~{\rm MeV}/c^2$  and  $\pm 10~{\rm MeV}/c^2$ . The study indicates that the effect of the fit range is negligible. The systematic uncertainty arising from the background model is assessed by repeating the fit with the second-order Chebyshev function modified to a third-order Chebyshev function. The change in the value of  $(-\ln L)$ , which measures the improvement, is found to be negligible. The likelihood scan is repeated with the modified background shape, and the largest U.L. is taken as a conservative estimation.

The input cross section line shape affects not only the ISR correction factor  $(1 + \delta)$  and the detection efficiency but also the signal shape. In the nominal result, the cross section

is assumed to follow the three-body decay phase space factor. We then modify it to reflect the measured cross section line shape of the  $e^+e^- \to K_S^0 K_S^0 J/\psi$  process [16] and take the difference in the fit to estimate the effect. This uncertainty on the cross section is determined to range within  $\delta(\sigma) = (0.01, 0.15)$  pb for the data samples.

# 6 Summary

In summary, we search for the process  $e^+e^- \to K_S^0K_S^0h_c$  using 13 data samples collected by the BESIII detector at  $\sqrt{s}$  ranging from 4.600 GeV to 4.951 GeV. The significance of the signal process is found to be below  $2\sigma$  for each c.m. energy. The upper limits of the cross section for each data sample are determined at the 90% C.L. based on the current statistics. There appears to be a slight enhancement of the cross section around 4.75 GeV, but no definitive conclusions can be made regarding whether Y(4710) or Y(4750) decay into  $K_S^0K_S^0h_c$ . The ratio  $R = \frac{\sigma(e^+e^- \to K_S^0K_S^0h_c)}{\sigma(e^+e^- \to K_S^0K_S^0J/\psi)}$ , calculated by combining the measurements of  $\sigma(e^+e^- \to K_S^0K_S^0J/\psi)$  and  $\sigma(e^+e^- \to K^+K^-J/\psi)$ , yields an average value of 0.15  $\pm$  0.22. This ratio suggests that the decay of the Y states into  $h_c$  is substantially smaller than into  $J/\psi$ , which differs from the ratio  $\frac{\sigma(e^+e^- \to \pi^+\pi^-h_c)}{\sigma(e^+e^- \to \pi^+\pi^-J/\psi)}$  in the range 4.2  $<\sqrt{s}<$  4.4 GeV. The study of  $e^+e^- \to K^+K^-h_c$  will be presented in a separate paper. The planned upgrade of the BEPCII [43] and the anticipated increase in statistical data in the near future will enable more precise results for understanding the Y(4710) and Y(4750) states.

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# A Fit results for each data sample

The following plots display the fit results for each data sample. Each figure consists of three panels: the left panel shows the fit to the MC sample, the middle panel shows the fit to the data, and the right panel displays the likelihood scan. In the left panel, the black dots with error bars and the blue histogram represent the MC sample and the total fit,, respectively. In the middle panel, the black dots with error bars, the blue histogram, the blue dashed histogram, and the red histogram represent the data sample, the total fit, the background contribution, and the signal. The  $\chi$  distribution is presented in the bottom panels for the fit to the MC and data samples. The red vertical line in the right panel represents the upper limit at 90% C.L.

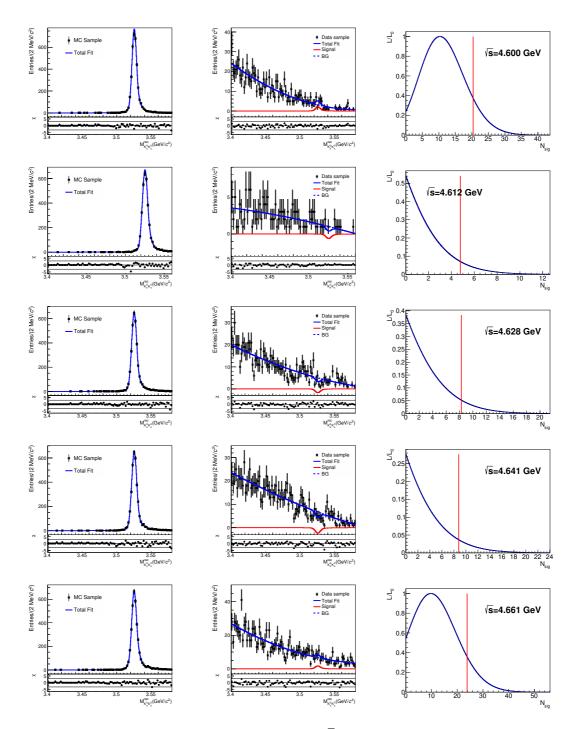


Figure 6. Fit and scan results at  $\sqrt{s} = 4.600 - 4.661 \, \text{GeV}$ .

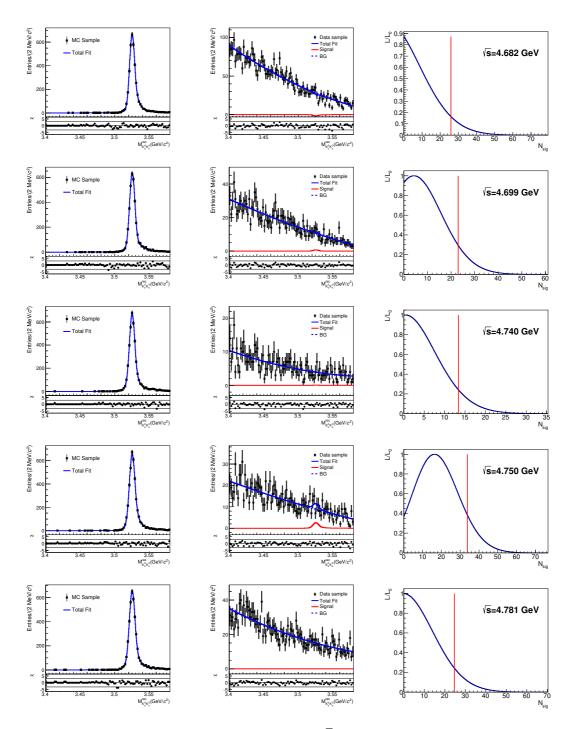


Figure 7. Fit and scan results at  $\sqrt{s} = 4.682 - 4.781 \, \text{GeV}$ .

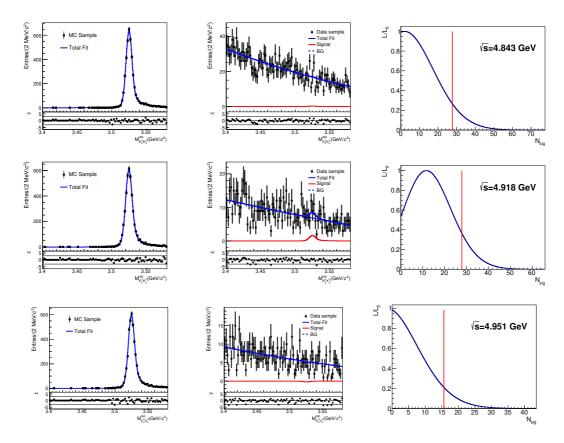


Figure 8. Fit and scan results at  $\sqrt{s} = 4.843 - 4.951 \,\text{GeV}$ .

**Data Availability Statement.** This article has no associated data or the data will not be deposited.

Code Availability Statement. This article has no associated code or the code will not be deposited.

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