

Gauge-fermion cartography: From confinement and chiral symmetry breaking to conformality

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We study, for the first time, the interplay between color-confining and chiral symmetry-breaking dynamics in gauge-fermion systems with a general number of flavors and colors. Specifically, we work out the flavor dependence of the confinement and chiral symmetry breaking scales. We connect the QCD-like regime, in quantitative agreement with lattice data, with the perturbative conformal limit, thereby exploring uncharted region of theory space. This analysis is done within the first-principles functional renormalization group approach to gauge-fermion systems and is facilitated by a novel approximation scheme introduced here. This novel scheme enables a relatively simple access to the confining dynamics. This allows us to investigate the whole landscape of many-flavor theories and to provide a cartography of their phase structure. In particular, we uncover a novel phase with the locking of confining and chiral dynamics at intermediate flavor numbers. We also explore the close-conformal region that displays a walking behavior. Finally, we provide a quantitative estimate for the lower boundary of the conformal Caswell-Banks-Zaks window, with a $N_f^{\text{crit}}(N_c = 3) = 9.60_{-0.53}^{+0.55}$. This work offers a self-consistent framework for charting the landscape of strongly interacting gauge-fermion theories necessary to reliably study strongly coupled extensions of the Standard Model of particle physics.

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I. INTRODUCTION

The Standard Model (SM) of particle physics provides a comprehensive description of high-energy phenomena. It has been impressively confirmed by the measurement of scattering events at the highest collision energies currently accessible, with the Higgs discovery being a prominent example [1,2]. It has also been impressively confirmed in experiments (collider and fixed target) probing the strongly correlated regime of the strong interactions at sufficiently small collision energies.

The low-energy dynamics of the SM are governed by two inherently nonperturbative phenomena: chiral symmetry breaking and confinement. While confinement is exclusive to the quantum chromodynamics (QCD) sector of the theory, spontaneous chiral symmetry breaking occurs at multiple scales. Chiral symmetry breaking at the electro-weak scale is driven by the Higgs mechanism. At around

1 GeV, dynamical strong chiral symmetry breaking (d χ SB) is driven by composite quark bilinears. There, the pions take the role of pseudo-Goldstone bosons, while the σ mode takes that of the radial excitation, similar to the Higgs. In the absence of electroweak symmetry breaking, a massless spectrum of pions corresponding to exact Goldstone bosons would result in a very different realization of nature.

In this context, understanding the landscape of gauge-fermion quantum field theories (QFTs), where QCD is found, is of high relevance. Exploring the phase structure of these theories provides a better insight into the interplay between dynamical phenomena and can reveal new, interesting phenomena and spectra. Additionally, these theories could underlie extensions of the Standard Model, needed to resolve open problems and puzzles in fundamental physics. For example, nature may exhibit additional fundamental forces, potentially including a strongly coupled dark sector containing fermions, which could be responsible for the existence of dark matter [3–6]. Furthermore, the Higgs boson could be realized as a bound state of fermions charged under a more fundamental strong force. This is the case in composite Higgs [7–12] or technicolorlike [13–22] models, where the Higgs is realized as a pseudo-Goldstone

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boson of a spontaneously broken global flavor symmetry or as a radial mode. To judge the viability of such models, it is important to study how successful low-energy scenarios can emerge from fundamental theories. This includes the appearance of suitable bound-state spectra compatible with experimental measurements and of almost conformal *walking* regimes spanning over several orders of magnitude above the confinement scale, see, e.g., [23,24]. Here, we present a framework that can be used to examine these strongly coupled setups in a self-consistent manner, with functional implementations of the SM [25,26].

In particular, we present a combined study of the emergence and interplay of chiral symmetry breaking and confinement in gauge-fermion systems. Both phenomena are signaled by the dynamical emergence of a mass gap, which is reflected in the hadron and glueball spectrum of QCD. $d\chi$ SB is governed by its order parameter, the chiral condensate, which vanishes in the chiral symmetric phase and sets the characteristic mass scale (cubed) in the chirally broken phase. We connect the well-known QCD limit with the conformal limit, where infrared (IR) Caswell-Banks-Zaks (CBZ) fixed points are found. Thereby, we approach interesting close-conformal theories that display a walking regime from first principles.

For our analysis, we use the functional renormalization group (fRG) approach to gauge-fermion systems for general N_f . As a diagrammatic approach, the fRG requires gauge fixing and the study is performed in the Landau gauge. This choice is the most common one which has both conceptual as well as computational reasons. Moreover, the fRG has been used for the quantitative study of QCD in the vacuum and at finite temperature and density. For reviews of results see [27,28], as well as [29] for a recent discussion of the systematic error control. The striking potential of the fRG for qualitative as well as quantitative studies of gauge-fermion systems is well proven by now. Specifically, chiral symmetry breaking is covered and unravelled very directly and concisely within the fRG approach with emergent composites or dynamical hadronization [30–32], while the confining mass gap in the physical spectrum is reflected in a mass gap in the gluon propagator in the Landau gauge, see [33,34]. In short, both phenomena are readily accessed in the fRG approach, as they are entailed in the IR behavior of low order quark and gluon correlation functions, whose stable and reliable computation is a specific virtue of the fRG approach.

In this work we present the first comprehensive analysis of confinement and chiral symmetry breaking and their interrelation for a general number of flavors N_f . The findings are summarized in Fig. 17. In particular these include predictions for the flavor dependence of the confinement and chiral symmetry breaking scales as well as the emergent low lying hadron spectra. This analysis includes the walking regime and the CBZ fixed point, the former being specifically interesting for beyond Standard

Model (BSM) theories, as these gauge-fermion systems provide a natural way of separating scales as well as prolonging the onset of instabilities. This analysis is made possible due to technical advances in the description of confinement in the fRG approach to Landau gauge QCD, presented below, that efficiently and qualitatively simplify the respective numerical computations.

We close the introduction with a bird’s-eye view on the work. In Sec. II we provide an introduction to color confinement, $d\chi$ SB and conformality in gauge-fermion theories, including the manifestation of these phenomena in Landau gauge QCD. In Sec. III we introduce the functional renormalization group (fRG) approach to gauge-fermion theories, including a detailed discussion of the truncation used in the present work. In particular, we present the fRG methodology to accommodate confinement, and the emergent description of composite degrees of freedom in Secs. III B and III D. In Sec. IV we study confining and nonconfining solutions for a QCD-like theory with three colors and two and three flavors in the chiral limit. This section provides benchmark tests of the truncation, but also contains new results concerning the interplay of the dynamics. In Sec. V we chart the landscape of gauge-fermion theories from QCD-like scenarios to the conformal limit. In this regime we use an improved approximation, which accommodates the non-perturbative IR regime with confinement and chiral symmetry breaking as well as higher orders of perturbation theory relevant in the approach to conformality. Some important technical details can be found in Appendix F 2. We also analyze the close-conformal properties of walking theories and derive unique quantities, such as the size of the walking regime. Finally, in Sec. VI we address the presence of $d\chi$ SB in close-conformal theories and provide a quantitative estimate of the boundary of the CBZ conformal window including a systematic error analysis. The reader familiarized with the fRG approach to gauge-fermion QFTs may directly proceed to Secs. V and VI where the main results of this work are presented, see Fig. 17 for the cartography of the phase structure.

II. GAUGE-FERMION THEORIES

The results on the confining and chiral dynamics of gauge-fermion systems in the present work are obtained in a gauge-fixed functional approach to multiflavor QCD, based on the effective action $\Gamma[A_\mu, c, \bar{c}, \psi, \bar{\psi}]$, where A_μ is the $SU(N_c)$ gauge (mean) field, c, \bar{c} are the ghost fields introduced in the Faddeev-Popov gauge fixing, and $\psi, \bar{\psi}$ are the fermions and antifermions in the fundamental representation. The one-particle irreducible part of the gauge-fixed correlation functions are obtained as field derivatives of the effective action and are the building blocks of the present approach, in particular the full two-point correlation functions or propagators. In this section we discuss how the confining and chiral dynamics of

multiflavor QCD, including its conformal regime for a large number of flavors, manifests itself in such a gauge-fixed setting, in particular in the correlation functions.

In Sec. II A we discuss confinement and its signatures in the gauge field propagator, while in Sec. II B we treat $d\chi$ SB and its manifestation in the full four-fermion scattering vertex. Last, in Sec. II C we introduce the conformal limit of gauge-fermion QFTs.

A. Confinement

Confinement is the absence of colored asymptotic states and the existence of a mass gap for the (colorless) asymptotic states. For example, in physical QCD, the lowest lying state is the scalar glueball with a mass of about 1800 MeV, see, e.g., [35–39], which is directly related to Λ_{QCD} .

1. Linear quark-antiquark potential and the Wilson loop

The mass gap goes hand in hand with a linear potential between color charges and the respective flux tubes connecting these charges. For a quark–antiquark pair this linear potential is derived from the expectation value of the closed Wilson loop operator, that follows from the operator of a gauge invariant quark–antiquark state

$$e^{F_{\bar{q}q}(\mathcal{C}_{x,y})} \propto \langle \bar{q}(y) \mathcal{W}_{\mathcal{C}_{x,y}} q(x) \rangle, \quad (1)$$

with the Wilson line

$$\mathcal{W}_{\mathcal{C}_{x,y}} = \mathcal{P} \exp \left\{ ig \int_{\mathcal{C}_{x,y}} dz_\mu A_\mu \right\}, \quad (2)$$

where \mathcal{P} stands for path ordering and \mathcal{W} is the path-dependent Wilson line from x to y . If we consider a quark-antiquark pair, created at a time t_0 , pulled apart and kept at a spatial distance $r = \|\mathbf{x} - \mathbf{y}\|$ and annihilated at some later time t_1 , the path $\mathcal{C}_{x,y}$ simply is the world line of this process. Hence, it is a closed rectangular curve enclosing an area \mathcal{A} ,

and confinement is tantamount to an area law for (1) for large areas $\mathcal{A} \rightarrow \infty$,

$$e^{F_{\bar{q}q}(\sigma\mathcal{A} \rightarrow \infty)} \propto e^{-\sigma\mathcal{A}}, \quad (3)$$

with the string tension σ , which is related to Λ_{QCD} and the mass gap of QCD. The area law entails the linear potential if t_0, t_1 is kept fixed and the distance r is increased.

2. Confinement and the gluon mass gap

In the present work we use a gauge-fixed functional approach to many flavor QCD, built upon correlation functions of gluons, ghosts, and quarks. How confinement or rather the linear potential and the area law (3) can be extracted from gluon, ghost and quark correlation functions in such a gauge-fixed functional approach has been studied for decades both in the vacuum and at finite temperature, for a recent review see [27]. At the center of these studies are the gluon, ghost, and quark propagators in the background of nontrivial gauge field configurations and the respective field strengths. For example, confinement leads to nonvanishing expectation values of the field strength squared (Savvidy vacuum) as well as its chromo-electric and chromo-magnetic components, see [40–42]. In these works, the existence and the quantitative values of the nontrivial condensates are computed from the propagators. Most importantly, it turns out that both, the underlying mechanisms and the existence of the mass gap and the linear potential/area law, as well as the quantitative values of the respective observables are directly deduced from the mass gap m_{gap} in the gluon propagator, the lack of a mass gap in the ghost propagator and the chiral dynamics of the quark propagator.

This mass gap is well captured by the dressing function $p^2 G_A(p)$ of the gluon propagator in the Landau gauge, see Fig. 1 for a comparison of lattice and functional renormalization group data in $N_f = 2$ and $N_f = 2 + 1$ flavor QCD. The function $G_A(p)$ is the scalar part of the gluon propagator

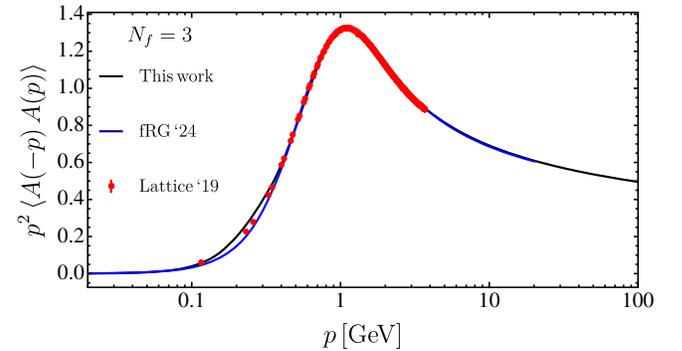
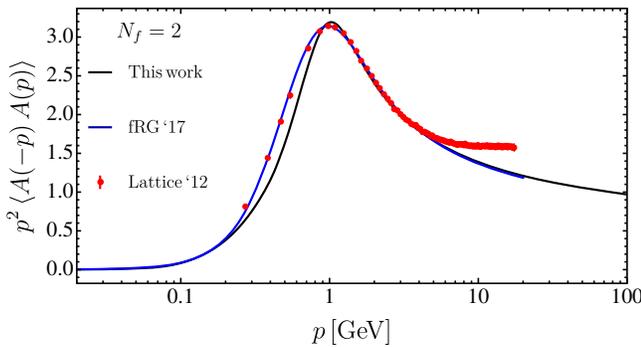


FIG. 1. Dressing function $p^2 G_A(p)$ of the scalar part $G_A(p)$ of the gluon propagator (6). We compare results for a SU(3) gauge theory with $N_f = 2$ and 3 in the approximation used in the present work (black lines), results from quantitative fRG truncations [47] ($N_f = 2$) and [29] ($N_f = 2 + 1$) (blue lines) and lattice simulations, [48] ($N_f = 2$) and [49] ($N_f = 2 + 1$) (red data points).

$$G_{A\mu\nu}^{ab}(p, q) = \langle A_\mu^a(p) A_\nu^b(q) \rangle \\ = (2\pi)^4 \delta(p+q) \delta^{ab} \Pi_{\mu\nu}^\perp(p) G_A(p), \quad (4)$$

with the transverse projection operator

$$\Pi_{\mu\nu}^\perp(p) = \left(\delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right). \quad (5)$$

In the Landau gauge here used, the gluon propagator is transverse which is one of the many technical simplifications gained in this gauge. The gluon mass gap is conveniently defined as the inverse correlation length or screening mass of the gluon two-point function. This information is stored in the dressing $1/Z_A(p)$ of the gluon propagator with

$$G_A(p) = \frac{1}{Z_A(p)} \frac{1}{p^2}. \quad (6)$$

While the propagator is not renormalization group invariant, the respective correlation length is. Its presence in the gluon propagator leads to the behavior

$$\lim_{p^2/\Lambda_{\text{QCD}}^2 \rightarrow 0} \frac{1}{Z_A(p)} = 0, \quad (7)$$

for the dressing $p^2 G_A(p) = 1/Z_A(p)$ of the gluon propagator. We emphasize that the value of the gluon propagator at $p=0$ is not the gluon mass gap, which is rather determined by its singularity structure in the complex plane, see, e.g., [43–46]: it is the distance of the closed singularity of the gluon propagator to the Euclidean frequency axis (not counting possible cuts at $p=0$) and is of the order 1 GeV in physical QCD. Moreover, it can be shown that it is gauge independent, even though it is extracted from a gauge-variant correlation function. Indeed, it is this mass gap that is directly related to the glueball mass, see [35].

For a more detailed analysis as well as the numerical extraction of the gluon mass gap we refer to the works [43,44,46,50] and in particular [45]. Here, we shall use an easy-access proxy for the gluon mass gap: Equation (7) implies a peak of the dressing as it also decays (logarithmically) for $p^2/\Lambda_{\text{QCD}}^2 \rightarrow \infty$. As observables are computed from the dimensionless parts of the correlation functions, it is precisely the dressing $p^2 G_A(p)$ that enters the computation and its peak position is a good approximation for its mass gap and hence a signature for confinement and the strength of the linear potential. This is nicely illustrated in Fig. 1 for $N_c = 3$ and $N_f = 2, 3$ with the peak position at approximately 1 GeV.

3. Confinement-deconfinement phase transition

A variant of (3) also serves as an order parameter for the confinement-deconfinement phase transition at finite temperature or at a finite spatial distance. We discuss this here for a twofold purpose. Firstly, in a follow-up work we shall extend the present setup to finite temperature, aiming at a study of signatures of phase transitions in the early universe within the present class of BSM models. Secondly, the study of the confinement-deconfinement phase transition illustrates very nicely the relation between confinement and the gluon mass gap present in the gluon propagator in covariant gauges: while the propagator is a gauge-variant quantity, as stressed before, its mass gap carries gauge invariant information and it is directly related to the physical mass gap in QCD measured with the scalar glueball mass and the confinement-deconfinement phase transition temperature T_{conf} .

At finite temperature we consider the free energy of a static quark at the location \vec{x} with a static antiquark at the location \vec{y} . This is related to the correlation function of the Polyakov loop (Wilson loop in the time direction) and its adjoint,

$$\langle L(\vec{x}) L^\dagger(\vec{y}) \rangle, \quad L(\vec{x}) = \frac{1}{N_c} \text{tr} \mathcal{P} e^{ig \int_0^\beta A_0(\tau, \vec{x})}, \quad (8)$$

with $\beta = 1/T$. Roughly speaking, (8) is a Wilson loop that winds around the full time direction at finite temperature with $\tau \in [0, \beta]$. For asymptotically large distances $r = \|\vec{x} - \vec{y}\|$ and small temperatures (8) shows the area law with $\mathcal{A} = \beta r$, which implies a linear confinement potential. This entails

$$\langle L(\vec{x}) \rangle = \begin{cases} 0 & T < T_{\text{conf}} \\ \neq 0 & T > T_{\text{conf}} \end{cases}. \quad (9)$$

For asymptotically large temperatures the Polyakov loop tends toward unity, which signals the deconfined phase. Here, the dynamics of the A_0 component is perturbative and the temporal background gauge field \bar{A}_0 takes a vanishing value, $\bar{A}_0 = \langle A_0 \rangle = 0$. In turn, $\langle L(\vec{x}) \rangle = 0$ implies a non-trivial \bar{A}_0 , its value depending on the gauge group.

The respective order parameter potential is denoted by $V_{\text{eff}}(A_0)$, and \bar{A}_0 is the solution of the equations of motion (EoMs). In the fRG, this effective potential can be computed from the gluon, ghost and quark propagators alone. It can be shown that the gluon contribution leads to a deconfining potential with a minimum at $\bar{A}_0 = 0$. At large temperatures, one even has an analytic access to $V_{\text{eff}}(A_0)$ within thermal perturbation theory, see [51,52]. In turn, the ghost loop, including the minus sign, generates a confining potential, which also can be computed analytically at large temperatures. There, the gluon contribution dominates, leading to deconfinement. Note that both, the chromoelectric (parallel to the heat bath) and chromo-magnetic

(perpendicular to the heat bath) gluon propagators, are gapped at large temperatures as the gluonic mass gap receives a thermal contribution, similar to the standard Debye or thermal screening mass, see [50].

At sufficiently low temperatures, the gluonic contribution is effectively switched off due to the gluon mass gap present in the vacuum, reflected in (7). This has first been discussed in [53], for further works see [54,55] and the review [27]. This entails that a necessary and sufficient condition for confinement is the relative suppression of the gluon loop in comparison to the ghost loop.

4. Signatures of confinement in Landau gauge QCD

To wrap up, in Landau gauge QCD, confinement at vanishing temperature is in one-to-one correspondence to the existence of a mass gap in the gluon propagator signaled by a vanishing dressing at vanishing momentum, see (7). Its size is given by the distance of the closed singularity in the complex plane to the Euclidean frequency axis (apart from the cut at $p = 0$). This distance is related to the location of the maximum of the dressing and we shall use the value

$$p_{\text{peak}} : p_{\text{peak}}^2 G_A(p_{\text{peak}}) \geq p^2 G_A(p), \quad \forall p \in \mathbb{R} \quad (10)$$

for the mass gap. This concludes our discussion of the signatures of confinement in Landau gauge QCD.

B. Dynamical chiral symmetry breaking

For a sufficiently small number of flavors the (perturbative) β function of many-flavor QCD is negative and entails asymptotic freedom with $\alpha_g(p \rightarrow \infty) \rightarrow 0$, where

$$\alpha_g(p) = \frac{1}{4\pi} g^2(p), \quad (11)$$

with the running gauge coupling $g(p)$. This entails that gauge-fermion systems with a sufficiently small number of flavors exist in the ultraviolet (UV) and are asymptotically free. In turn, toward the IR the gauge coupling rises and finally triggers dynamical (strong) chiral symmetry breaking. In the chiral limit the occurrence of massless pseudo-scalar composite Goldstone bosons, the pions, is reflected in a $p = 0$ singularity of the dressing λ_{SP} of the pseudo-scalar channel of the four-quark interaction, defined by

$$\lambda_{\text{SP}}(p_1, \dots, p_3)(2\pi)^4 \delta(p_1 + \dots + p_4) \\ \propto \langle \psi(p_1) \psi(p_3) \bar{\psi}(p_2) \bar{\psi}(p_4) \rangle_{\text{IPI}|_{(S-P)}}, \quad (12)$$

where the subscript $_{(S-P)}$ indicates the projection on the scalar-pseudoscalar channel. The four-fermion scattering vertex admits an expansion in a rather large complete basis of tensor structures, that carry color, Dirac and flavor indices. For example, restricting ourselves to the case of

two-flavor physical QCD, there are already ten momentum-independent tensor structures, and the complete basis has hundreds of elements. These typically include a scalar-pseudoscalar element whose precise definition depends on the basis chosen: it is not unique due to the Fierz ambiguity, see, e.g., the fRG review [56].

The vanishing momentum limit of the dressing $\lambda_{\text{SP}}(p_1, p_2, p_3)$ at the symmetric point p behaves like

$$\lambda_{\text{SP}}(p \rightarrow 0) \propto \frac{1}{p^2}. \quad (13)$$

Strictly speaking, it is the t -momentum channel that carries the pion singularity. In the fRG approach this phenomenon is captured in a rather simple form in terms of the RG flow of the four-quark scattering vertex at vanishing momentum, where the fRG cutoff scale k takes over the role of an average symmetric point momentum scale. This will be discussed in detail in Sec. III. The respective order parameter for dynamical chiral symmetry breaking is the chiral condensate,

$$\Delta = \int_x \langle \bar{\psi}(x) \psi(x) \rangle, \quad (14)$$

and its third root sets its scale.

C. Conformality and Caswell-Banks-Zaks fixed point

The dynamical phenomena of confinement and χ SB with their emergent mass scales constitute the nonperturbative character of gauge-fermion theories. In the scaling regime of these theories both phenomena are absent by definition. This regime is approached by softening the growth of the gauge coupling toward the IR via the enhancement of antiscreening fermionic corrections by incrementing N_f . This is well captured by the beta function β_g of the gauge coupling. As we aim at a description of physics in the conformal regime with $\beta_g \rightarrow 0$ with a relatively small fixed point coupling, we approach this problem within a perturbative expansion. In the chiral limit and hence in the absence of any explicit mass scales we find

$$\beta(g, N_c, N_f) = -g \sum_{n=1}^{\infty} \left(\frac{g^2}{16\pi^2} \right)^n \beta^{(n)}(N_c, N_f) \quad (15)$$

with

$$\beta^{(1)}(N_c, N_f) = \left(\frac{11}{3} C_A - \frac{4}{3} T_F N_f \right), \\ \beta^{(2)}(N_c, N_f) = \left(\frac{34}{3} C_A - \left[4C_F + \frac{20}{3} C_A \right] T_F N_f \right), \quad (16)$$

being the one and two loop terms in the expansion. Here, C_A and C_F are the Casimir operators of the color group and

of the representation under which the fermions transform, respectively, and T_F is the Dynkin index of the latter.

Roots of the beta function correspond to fixed point solutions g^* ,

$$\beta(g^*, N_c, N_f) = 0. \quad (17)$$

For a sufficiently small number of flavors, $N_f < (11C_A)/(4T_F)$, the existence of a UV Gaussian fixed point g^* in (17) is ensured by the one loop term in (16), leading to asymptotically free theories as in the physical QCD case. In this regime with sufficiently small N_f the theory also features $d\chi$ SB and confinement. In turn, for a sufficiently large number of flavors, $N_f > (11C_A)/(4T_F)$, the UV Gaussian fixed point turns into a Gaussian IR fixed point with a strongly correlated UV regime, similarly to the situation in quantum electrodynamics with its UV Landau pole in the chiral limit.

Finally, in an intermediate regime with $N_f^{\text{crit}} < N_f < (11C_A)/(4T_F)$, nontrivial roots with $g_* \neq 0$ of the beta function exist. For example, considering up to two loops, one can find (N_c, N_f) pairs for which (17) is satisfied for a nonvanishing value g^* of the gauge coupling, which signals an interacting IR fixed point known as a CBZ fixed point [57,58]. In this regime, correlation functions are invariant under scale transformations at the fixed point and enjoy quantum scale invariance, elevating that of the classical gauge-fermion action to the quantum effective action.

In the absence of threshold effects and for mass-independent RG schemes, the beta functions of marginal couplings are known to be universal up to two loops [59], meaning that their form is scheme independent. The existence of the perturbative CBZ fixed point is present at the two-loop level and beyond, consequently expected to be universal in the weak coupling limit.

Perturbative approaches have proven to be very useful in the determination of this fixed point as they account in a systematic manner for the higher-order contributions in powers of the gauge coupling. This allows one to properly account for color and flavor factors which cancellation between loop orders leads to the CBZ fixed point. Currently, $\overline{\text{MS}}$ results are available up to five-loop orders [60] and resummation techniques have been developed to improve the highest order results [61].

While the upper boundary of the CBZ conformal window in the $N_f - N_c$ plane of theories is well known, the lower boundary toward the QCD-like regime is given by the loss of quantum scale invariance by the dynamical emergence of a scale. Due to the nonperturbative nature of these phenomena, the location of the lower boundary cannot be exactly computed and remains a topic of research. Generally, the disappearance of the CBZ fixed point (17) can occur in three ways [62]:

(1) *Fixed point goes to 0*: This is the case of the upper boundary of the conformal window where

asymptotic freedom is lost into the non-Abelian-QED regime. This is caused by a change of sign in the one-loop beta function term (16).

(2) *Fixed point goes to ∞* : The gauge fixed point coupling disappears into the strong limit where the perturbative expansion breaks down before the fixed-point solution disappears. This is the scenario found in the lower boundary of the CBZ window for two-, three- and four-loop $\overline{\text{MS}}$ results. Perturbative approaches fail to properly pinpoint the precise value given that they cannot describe the dynamical appearance of scales. We will add on this point for the remainder of this Section and Appendix G 3.

(3) *Fixed-point merger into the complex plane*: This scenario is given as the CBZ and QCD* fixed points merge, disappearing in the complex plane [63–65]. This is found only for five-loop $\overline{\text{MS}}$ results where the fixed point disappears at weak coupling values.

Despite their high success and practical use, perturbative approaches lack the capability to track the emergence of dynamical phenomena such as χ SB. In other words, such approaches can provide strong coupling fixed-point solutions which are unviable given the prior appearance of dynamics.

A common approach employed to estimate whether $d\chi$ SB occurs at perturbatively computed fixed points is to analyze the magnitude of the fermion mass operator anomalous dimension [66–75],

$$\gamma_m = \gamma_m^{(1)} + \gamma_m^{(>1)} = -\frac{3\alpha_g C_F}{2\pi} + \dots \quad (18)$$

where we have expanded in powers of α_g singling out the one-loop contribution $\gamma_m^{(1)}$. Commonly, $d\chi$ SB is said to occur in theories where $|\gamma_m^{(1)}|_{g^*} \gtrsim 1$ providing an approximate methodology to determine the boundary of the conformal window [76–81].

On the other hand, nonperturbative approaches have investigated self-consistently the close-conformal regime of theory space. Fully self-consistent computations employing the Dyson-Schwinger equation (DSE) in the many flavor limit have been performed [82,83] and the boundary of the conformal window is found at a rather low number of flavors $N_f^{\text{crit}} = 4.67 \pm 0.02$ for $N_c = 3$ [83]. This is known to be caused by too weak gauge-fermion dynamics. Additionally, see [84] for a DSE scaling analysis at large N_f and [85] for computations with an IR cutoff.

Monte Carlo lattice simulations have also investigated the many-flavor region, see, e.g., [86–89] and [90–96] for studies in the context of BSM physics. However, even contemporary state-of-the-art lattice simulations only consider gauge-fermion systems significantly away from the chiral limit (hence with sizeable fermion masses).

This certainly complicates the interpretation of lattice results in the walking regime and conformal window.

There have been various efforts studying $N_f = 12$ [97–99], showing strong numerical evidence for the existence of an IR fixed point. Additionally, there are studies which suggest $N_f = 10$ is inside the conformal window [100–105]. For lower number of flavors well within the nonconformal regime, the gauge beta functions have been determined for $N_f = 6, 4$ [106] and $N_f = 8$ [107–109].

Within the fRG approach, the appearance of $d\chi$ SB can be clearly traced, as will be demonstrated in Secs. III D, IV B, and VI. This phenomenon can be diagnosed using the four-Fermi framework through a singular coupling [110–113], or within the bosonized formulation [30,31] by the emergence of a nontrivial minimum in the chiral potential. Consequently, the fRG approach offers distinct advantages in determining the onset of a dynamical scale and, thus, the boundaries of the conformal window. In fact, this approach has been employed for this purpose in [112]. In Sec. VI, we address this task in more detail and present quantitative and qualitative improvements in estimating the boundary of the CBZ window.

In the vicinity of the conformal window, but below the boundary curve $N_f^{\text{crit}}(N_c)$, gauge-fermion theories are expected to exhibit close conformal scaling where $d\chi$ SB and confinement are still present. Specifically, they will show a very slowly growing coupling along a near conformal regime, commonly known as *walking regime*. This phenomenon has been widely employed in BSM phenomenology, for example Composite Higgs realizations require such walking to generate flavor hierarchies in the fermionic sector, see, e.g., [95,114–121]. Walking dynamics have been studied with conformal perturbation theory [122], effective field theories [123] and Monte Carlo lattice simulation [107]. We will study in detail such particular scenarios in Sec. V C, analyzing the interplay of dynamics and the spectrum of the theories from first principles.

III. FUNCTIONAL RG APPROACH TO GAUGE-FERMION THEORIES

In this section we introduce a unified functional approach to confinement and $d\chi$ SB, based on the effective action of many-flavor and many-color QCD,

$$\Gamma[\Phi] = \Gamma_{\text{glue}}[A, c, \bar{c}] + \Gamma_{\text{mat}}[\Phi]. \quad (19)$$

Here, Γ_{glue} is the pure glue part, that only depends on the gluons A_μ^a and ghosts c^a, \bar{c}^a , where small Latin letters a, b, \dots with $a = 1, \dots, N_c^2 - 1$ label the adjoint representation of the gauge group. Moreover, Γ_{mat} is the gauge-fermion part, which vanishes for vanishing fermion fields $\psi, \bar{\psi} = 0$, where the gauge group indices of the representation under which the fermions transform is kept implicit. The field Φ in (19) is the super mean field that collects all

mean-field components,

$$\Phi = (A_\mu, c, \bar{c}, \psi, \bar{\psi}), \quad \hat{\Phi} = \langle \hat{\Phi} \rangle, \quad (20)$$

where $\hat{\Phi}$ indicates the field operator. The n th field derivatives of (19),

$$\Gamma^{(\Phi_{i_1} \dots \Phi_{i_n})}(p_1, \dots, p_n) = \frac{\delta}{\delta \Phi_{i_1}(p_1)} \dots \frac{\delta}{\delta \Phi_{i_n}(p_n)} \Gamma[\Phi], \quad (21)$$

are the one-particle irreducible parts of the respective n -point functions $\langle \hat{\Phi}_{i_1} \dots \hat{\Phi}_{i_n} \rangle$. We shall use the notation for functional derivatives in (21) not only for the effective action but for general functionals.

The correlation functions $\Gamma^{(n)}$ carry all physics information of the theory, and one can expand the effective action accordingly,

$$\Gamma[\Phi] = \sum_n \int_{\mathbf{p}} \Gamma^{(\Phi_{i_1} \dots \Phi_{i_n})}(\mathbf{p}) \Phi_{i_n}(p_n) \dots \Phi_{i_1}(p_1), \quad (22)$$

with the multimomentum variable $\mathbf{p} = (p_1, \dots, p_n)$. In the following we shall access the effective action by computing its expansion coefficients $\Gamma^{(n)}(\mathbf{p})$ from their functional renormalization group flows, which are one-loop exact relations.

In the following Sec. III A we briefly introduce the functional renormalization group. In Sec. III B we detail the bootstrap implementation of confinement via a mass gap. In Sec. III D we focus on the fermionic sector and discuss the emergent composites formalism which provides quantitative access to bound states and $d\chi$ SB. Finally, in Sec. III E, we summarize our approximation and list the flows derived for this truncation.

A. The functional renormalization group

The correlation functions (21) can be computed within functional approaches such as the fRG or DSEs. In these approaches the correlation functions of gauge-fermion systems obey coupled sets of diagrammatic loop equations. In the present work we use the functional RG, formulated for the 1PI effective action [124–126]. In this approach an IR cutoff term is added to the classical action in the path integral, effectively suppressing the low momentum modes. This cutoff term is quadratic in the fields,

$$\Delta S_k[\Phi] = \frac{1}{2} \int_{\mathbf{p}} \Phi(-p) R_k(p) \Phi(p), \quad (23)$$

with the block diagonal regulator matrix $R_k(p)$ specified in (B2) in Appendix B. Its components are momentum dependent mass functions $R_A(p), R_c(p), R_\psi(p)$, that decay rapidly in the UV for momenta $p^2/k^2 \gtrsim 1$, and act as mass

terms for momenta $p^2/k^2 \lesssim 1$, suppressing the respective momentum modes.

In the presence of (23), the effective action analog depends on the cutoff scale k , $\Gamma[\Phi] \rightarrow \Gamma_k[\Phi]$, and consequently lacks the physics of smaller momenta. By lowering the cutoff scale k infinitesimally, the physics of the momentum shell $p^2 \approx k^2$ are progressively included into the effective action. Hence, the scale-dependent effective action $\Gamma_k[\Phi]$ interpolates between the classical or UV relevant action $S[\Phi]$ and the full effective action at $k=0$, $\Gamma[\Phi] = \Gamma_{k \rightarrow 0}[\Phi]$. The evolution of the scale-dependent effective action is described by the Wetterich or flow equation [127],

$$\partial_t \Gamma_k[\Phi] = \frac{1}{2} \text{Tr} \left[\frac{1}{\Gamma_k^{(2)}[\Phi] + R_k} \partial_t R_k \right], \quad (24)$$

with the logarithmic scale derivative or (negative) RG time

$$t = \log \frac{k}{k_{\text{ref}}}. \quad (25)$$

Here, k_{ref} is a suitable reference scale, typically chosen to be the initial UV cutoff scale, $k_{\text{ref}} = \Lambda_{\text{UV}}$. This leads to $t(k = \Lambda_{\text{UV}}) = 0$ and $t(k=0) = -\infty$.

The fRG has been applied to a broad range of physics areas and phenomena, see [27] for an overview. Specifically interesting for the present work are its applications to physical QCD, see in particular [29,47,50,128–131], and the many flavor limit, see [110–112,132,133] which provide the technical and conceptual foundations. Investigations of SM-like theories and BSM extensions have also been performed, see, e.g., [25,116,134–140].

B. Gauge dynamics and the mass gap

The analysis of the N_f, N_c dependence of gauge-fermion systems requires a flexible fRG setup which allows one to scan efficiently and reliably the $N_f - N_c$ theory plane, and in particular the presence or absence of confinement signaled by the gauge field mass gap. In this work we employ the effective average action for the gauge sector (A1), discussed in detail in Appendix A. This truncation has been employed in quantitative QCD studies [29,47,131,141], where so far the scalar part $G_A(p)$ of the cutoff-dependent gluon propagator (4),

$$G_{A,k}(p) = \frac{1}{Z_{A,k}(p^2)p^2 + R_{A,k}(p)}, \quad (26)$$

has been computed in pure Yang-Mills (YM) [50,130,141], for $N_f = 2$ [47] and $2 + 1$ flavor QCD [29,131]. These quantitative works required a considerable numerical effort to include momentum-dependent vertices for the sake of quantitative precision. The gluon propagator dressing is

obtained from (26) in the $k \rightarrow 0$ limit and that from [47] and [29] are shown in the left and right panels of Fig. 1, respectively. In these works, the confining solution is obtained within a bootstrap approach provided by the existence of a unique confining solution. In the Kugo-Ojima confinement scenario [33] in the Landau gauge, the ghost and gluon propagators display a scaling IR limit with

$$Z_A(p^2 \rightarrow 0) \propto (p^2)^{\kappa_A} \quad (27)$$

with a scaling coefficient $\kappa_A \approx -1.2$ in YM. This solution in particular requires the existence of a Becchi, Rouet, Stora and Tyutin (BRST) charge and not only that of infinitesimal BRST transformations, for a detailed discussion see [141,142]. In the fRG approach, the precise scaling in (27) is arranged by a unique combination of the UV relevant parameters at a large cutoff scale. This is a numerically challenging finite-tuning problem, which comes with high numerical costs.

1. Novel expansion scheme capturing confinement

Below we discuss a novel approximation scheme that reduces the numerical costs significantly and allows for semianalytical access to the correlation functions describing confinement. It is key to this work as it allows us to depart toward the uncharted many-flavor limit of QCD-like theories. Importantly, the present novel scheme keeps semiquantitative reliability even in the strongly correlated regime of physical QCD with $N_f \leq 3$.

The starting point for the crucial computational reduction is the following observation that also underlies previous expansion schemes used in [29,128,131,143]: In the diagrams of flow equations for correlation functions $\partial_t \Gamma^{(n)}(p_1, \dots, p_n)$ with $p_i^2 \lesssim k^2$, the scalar part of the gluon propagators is well approximated by

$$G_{A,k}(l) \approx \frac{1}{Z_{A,k}(l^2 + m_{\text{gap},k}^2) + R_{A,k}(l)}, \quad (28)$$

where the different propagators in the loop carry the momentum $l = q + p$, where p stands for sums of the external momenta: This includes $p = 0$ for the cutoff line proportional to $G_A(q) \partial_t R_A(q) G_A(q)$, where we suppressed the subscript k . The above setup implies that $l^2 \lesssim k^2$ due to the restricted external momenta and loop momentum $q^2 \lesssim k^2$. The latter property follows from the regulator insertion $\partial_t R_k(q)$, that drops off quickly for $q^2 \gtrsim k^2$. Equation (28) leads to a significant simplification of the computations as the right-hand side only depends on two momentum-independent parameters, the wave function $Z_{A,k}$ and the mass gap $m_{\text{gap},k}$.

We proceed with a discussion of the semiquantitative nature of this approximation. To begin with, in [29,128,131,143] this insight has been exploited for the

computation of the gluon propagator for $N_f = 2$ and $2 + 1$ flavor QCD in the vacuum and at finite temperature and density. In these works, $m_{\text{gap},k}^2 = 0$ has been used, as only flavor, temperature and density corrections of the gluon propagator have been computed on top of the input of vacuum YM and $N_f = 2$ propagators. In particular, the quantitative approximation

$$Z_{A,\bar{k}}(p^2)p^2 \approx Z_{A,k}(k^2 + m_{\text{gap},k}^2)|_{k \approx p}, \quad (29)$$

is used to determine the two momentum-independent parameters on the right-hand side, from the full momentum dependent wave function $Z_{A,k}(p^2)$. In (29), \bar{k} on the left-hand side is either used with the same relation as on the right-hand side, $\bar{k} \approx p$, or $\bar{k} = 0$ is chosen. The latter approximation allows one to also use input from other functional approaches or lattice data. Then, the result of the above fit is used on the flow equations of the difference $\Delta Z_{A,k}$ of, e.g., the $2 + 1$ flavor propagator and the two flavor or YM propagators. The results can then be used to approximate the full two-point functions by using the results on the right-hand side of (29).

The quantitative reliability of this approach, even if dropping the mass gap, $m_{\text{gap},k} \approx 0$, has been confirmed by the comparison to the full results in two and $2 + 1$ flavor QCD. Respective discussions can be found in [29,128,131,143], and in conclusion the approach with $m_{\text{gap},k} \approx 0$ is tailor made for physical QCD as the input (or rather expansion point) from YM theory and two-flavor QCD already induces confinement with the correct physical scales. Moreover, the additional flavors as well as temperature and density are simply perturbations in the pure glue sector.

For general gauge-fermion systems, such an expansion about a theory with a small numbers of flavors with $m_{\text{gap},k} \approx 0$ may not capture the qualitative change of the interplay of confinement and chiral dynamics, in particular in the approach to the conformal window. For this reason we have developed a novel expansion scheme which accommodates the confinement dynamics for all flavors and does not require any input while additionally it keeps the computational simplicity described above. It comes at the price of a minimally reduced quantitative precision for small flavor numbers, see Fig. 1 and the discussion in Sec. IV.

In contradistinction to the previous works, in our approach the parametrization (28) still depends on two parameters, $Z_{A,k}$, $m_{\text{gap},k}$, whose flows have to be determined such that the full gauge field propagator, (26), and the approximated one, (28), agree best in the momentum regime $l^2 \lesssim k^2$ relevant in the flow equations. Now, we use that all the coupling parameters computed are extracted from $\partial_i \Gamma^{(n)}(p_1, \dots, p_n)$ and p_i derivatives thereof, evaluated at vanishing momenta $p_i = 0$ for all i . This includes

the flows of the parameters $Z_{A,k}$ and $m_{\text{gap},k}$, the crucial part of this novel scheme.

Consequently, all gauge field propagators in the diagrams are given by $G_{A,k}(q)$ in (28) and its derivatives. They only depend on the loop momentum $q^2 \lesssim k^2$ as we evaluate the diagrams at $p_i = 0$ for all i . The derivation of the respective flows is detailed in Appendix C 3 and they are chosen such that they optimize and stabilize the approximation (28) for $q^2 \lesssim k^2$. For this range of loop momenta, the regulator adds a mass gap $R_{A,k}(q^2 \lesssim k^2) \approx Z_{A,k}k^2$. Accordingly, the term $Z_{A,k}q^2$ is subleading. We conclude that the quality of the approximation (28) for momenta smaller than the cutoff scale is governed by the accurate determination of the gluon two-point function at vanishing momentum, $Z_{A,k}m_{\text{gap},k}^2$. This product is readily and uniquely determined by its flow. In turn, the determination of $Z_{A,k}$ and hence also of $m_{\text{gap},k}^2$ carries an ambiguity and its value depends on the chosen projection procedure. Importantly, its value does not affect the accuracy of the approximation scheme, and it can be utilized to guarantee the stability of the approximation. A detailed analysis and discussion can be found in Appendix C 3.

In this novel approximation scheme, the confinement scaling condition following from the Kugo-Ojima criterium (27), translates into

$$Z_{A,k \rightarrow 0} \propto k^{2+2\kappa_A} \rightarrow \infty \quad (30)$$

and leads to the unique solution for the mass gap. In terms of the initial condition at the UV cutoff scale $k = \Lambda_{\text{UV}}$ this entails

$$m_{\text{gap},\Lambda_{\text{UV}}} = m_{\text{scaling},\Lambda_{\text{UV}}}, \quad (31)$$

which uniquely fixes the whole trajectory $m_{\text{gap},k}$. In short, m_{gap} is not a free parameter but is fixed by the scaling condition for confinement. We note in passing that the full propagator also has a logarithmic UV and IR running where the latter leads to a cut at $p = 0$. This causes an additional intricacy for the extraction procedure of the mass gap from the full momentum-dependent propagator, and its resolution has been discussed in [45]. In the present approximation this intricacy is resolved approximately by the very setup of the novel expansion scheme.

It is worth noting that while it is tempting to identify $m_{\text{gap},k=0}$ with the confining physical mass gap, the latter rather is the screening mass of the gluon which is related to the peak of the gluon dressing, see (10) in Sec. II A 4 and the discussion there. In our approximation this information is carried by the scale dependence of the combination $Z_{A,k}m_{\text{gap},k}$ whose accurate determination governs the quantitative reliability of the approximation.

We close this section with a remark on the reliability of the novel scheme. In Fig. 1 we confront the respective

results for the $N_f = 2$ and 3 gluon propagators using (29), with fully quantitative ones from functional approaches and lattice results. This illustrates the impressive semiquantitative performance of the novel expansion scheme. Indeed, except for the deep IR below the confinement scale, we even find quantitative agreement. Note that this IR regime is efficiently suppressed in the flow diagrams due to the loop integration.

2. Bootstrap approach to confinement

A full account of the approximation of the gauge part Γ_{glue} of the effective action is presented in Appendix A. Here we concentrate on the terms quadratic in the gauge field,

$$\begin{aligned} \Gamma_{\text{glue}}[A, c, \bar{c}] = & \frac{1}{2} \int_p A_\mu^a(p) \left[Z_A(p^2 + m_{\text{gap}}^2) \Pi_{\mu\nu}^\perp(p) \right. \\ & \left. + \frac{1}{\xi} Z_A^\parallel(p^2 + m_{\text{mSTI}}^2) \frac{P_\mu P_\nu}{p^2} \right] A_\nu^a(-p) + \dots, \end{aligned} \quad (32)$$

with the transverse projection operator $\Pi_{\mu\nu}^\perp$ defined in (5) and \dots standing for the rest of the glue action in (A1). For the sake of simplicity we have dropped the k argument of dressings and couplings. The longitudinal gauge field mass $m_{\text{mSTI},k}^2$ is induced by the modified Slavnov-Taylor identities (mSTIs) in the presence of a momentum cutoff. In the physical limit $k \rightarrow 0$ the mSTIs approach the standard STIs of Landau gauge QCD and the longitudinal mass vanishes, $m_{\text{mSTI},k=0}^2 = 0$. Hence, $m_{\text{mSTI},k}^2$ does not signal a massive YM theory, but the modification of the Landau gauge STIs in the presence of the IR regulator. For a detailed discussion of this subtlety see, e.g., [141] and the review [27].

In turn, the transverse gauge field mass gap is finite, signaled by $m_{\text{gap},k=0}^2 \neq 0$. Moreover, for cutoff scales above the confinement scale the two masses agree

$$m_{\text{gap},k}^2 = m_{\text{mSTI},k}^2, \quad k \geq k_{\text{conf}}, \quad (33)$$

as their difference can only be triggered by irregular vertices. Here, k_{conf} is the cutoff scale, at which the confining dynamics kicks in: at this scale the longitudinal vertices develop massless modes, either with the Schwinger mechanism [144–147] or with the BRST quartet mechanism [148]. In this regime the flow of the transverse mass gap is given by (C7) in Appendix C 3. For a discussion on the fRG approach to QCD see [141]. Note that $m_{\text{gap},k \rightarrow \infty}^2$ can be negative or positive, depending on the choice of the regulators $R_A(p), R_c(p), R_\psi(p)$ and the matter content of the theory. For its importance we display the key properties of the difference between the transverse mass gap m_{gap}^2 and

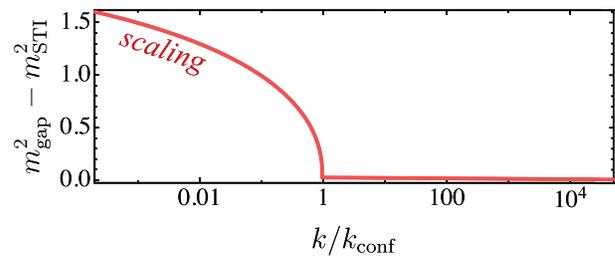


FIG. 2. Sketch of the cutoff dependence of the difference between the transverse mass gap m_{gap}^2 and the longitudinal mass m_{mSTI}^2 . The onset scale of the difference signifies the onset of the dynamical confinement mechanism and defines k_{conf} . In the deep infrared the transverse mass shows scaling.

the longitudinal mass m_{mSTI}^2 in Fig. 2 as a function of the cutoff scale.

In [141,142], a bootstrap approach was put forward, that circumvents the necessity of dynamically generating the confining mass gap via the Schwinger mechanism or the BRST quartet mechanism. For its importance in the present work we briefly recall its ingredients also in the light of the recent dissection and quantitative evaluation of the Schwinger mechanism in full QCD [147].

At the core of the bootstrap approach lies the fact that it is the dynamically generated massless excitations in the longitudinal part of the ghost-gluon, three-gluon and quark-gluon vertices that lead to a nontrivial difference between the transverse mass gap and the longitudinal mass. We therefore write all these vertices (and further ones) as a sum of a regular and an irregular part, e.g., for the three-gluon vertex

$$\Gamma_{\mu\nu\rho}^{(3)}(p, p_1) = \Gamma_{\mu\nu\rho}^{(3),\text{reg}}(p, p_1) + \Gamma_{\mu\nu\rho}^{(3),\text{irr}}(p, p_1), \quad (34a)$$

with

$$\Pi_{\mu\nu}^\perp(p) \Gamma_{\mu\nu\rho}^{(3),\text{irr}}(p, p_1) = 0. \quad (34b)$$

Equation (34b) entails that the required irregularity of the vertices is hosted entirely in their longitudinal part. We emphasize that this structure is hardwired, as the transverse part cannot host massless excitations as they would be physical. Note also that the regular part is uniform in the limit of vanishing momenta: its transverse and longitudinal part have to agree in this limit as otherwise it would contain irregularities arising from the projection operators. More details can be found in [141].

The above analysis suggests that the flow of the mass function with regular *uniform* vertices is a good approximation for the flow of the transverse mass gap m_{gap}^2 . The second crucial ingredient of the bootstrap approach, which gives it its name, is the *assumption* of an IR closure of the gauge fixing which admits BRST charges, an assumption

that underlies the Kugo-Ojima confinement scenario. Then, the initial condition for the transverse mass gap simply has to be tuned to infrared scaling (31), manifesting the *bootstrap* character of the approach.

With this argument, the computation of the gluon propagator in QCD is reduced to solving a quadratic fine-tuning condition for the transverse mass gap parameter m_{gap}^2 . This assumption can be checked with a self-consistency evaluation of the results: Varying the regulator matrix R_k triggers vastly different scale dependences of the mass gap parameter and the initial condition (31). These regulator dependences should be absent in the final result for the gluon propagator at $k = 0$ only if the above assumption for the fully physical nature of the flow of the mass gap is correct. This analysis has been done in [141] for Yang-Mills theory and in [47] for two-flavor QCD, and the gluon propagator as well as all other correlation functions showed no sensitivity to sizeable variations of R_k . Note, however, that this insensitivity was only present for the fully quantitative approximation with full momentum dependences for propagators and vertices. Indeed, these momentum dependences and the respective momentum transfer carry also the cancellation of momentum-dependent cutoff dependences in the flows, triggered by the sizeable mass gap $m_{\text{gap},k}^2$ at $k \neq 0$. On the basis of the above arguments we expect that this bootstrap approach works well for general N_f and provides us with a simple computational procedure to compute glue correlation functions in general gauge-fermion systems.

Moreover, the above analysis suggests that (34) can also be used to compute gauge field propagators in simple approximations such as the present one by tuning the initial condition (31). Accordingly, it is the (integrated) flow of $m_{\text{gap},k}^2$ that carries the information of confinement and the respective scales, both k_{conf} and the physical mass gap. This flow, or rather that of the dimensionless mass gap,

$$\bar{m}_{\text{gap},k}^2 = m_{\text{gap},k}^2/k^2, \quad (35)$$

is discussed in detail in Appendix C 3 and shown in (C7). It consists of two terms: one carries the combination of the canonical and anomalous dimensions and is proportional to $\bar{m}_{\text{gap},k}^2$, and the second term is given by the flow of the gauge boson two-point function evaluated at vanishing momentum, see (C12). Evidently, the total flow of the mass gap is subject to a UV quadratic fine-tuning problem given by the presence of a power-law cutoff dependent term reminiscent of that for a standard mass term. However, in contradistinction to the latter, the initial mass is uniquely fixed by the confinement property in the IR, namely (27)(30). In [47,50,130,141,142], this quadratic fine-tuning problem was simply solved numerically, and the results for the gluon propagator or rather its dressing $p^2 G_A(p)$ match that on the lattice quantitatively.

In the present work we shall proceed along these lines. Moreover, using the collected experience and understanding of the underlying mechanisms, we shall devise an efficient approximate solution of these fine-tuning problems. Its reliability is then checked with the benchmark results of the fully quantitative fRG and lattice works. To begin with, we expect that the lack of full momentum dependences of vertices and propagator in the present approximation limits our choices of R_k : the approximation does not allow for momentum transfer in the flow and hence requires rather local correlation functions that admit the interpretation (29). In short, large values of $m_{\text{mSTI}}(R_k)/k^2$ are bound to require momentum transfers for the cancellation of mSTI effects in m_{gap}^2 , and hence these regulator choices require approximations with full momentum dependences. We note in passing that these properties support Curci-Ferrari theories as well-working effective theories of QCD: while they feature a UV gluon mass not present in QCD, the respective renormalization has no quadratic running. Consequently, in view of the QCD discussion here, they harbor minimal mSTI effects; for a recent review on their use in QCD see [149].

It is in the context of the mSTI effects and the transverse gluon mass gap where the quantitative dissection of the Schwinger mechanism in QCD in [147] provides an important additional insight. There it has been shown explicitly that the flavor dependence of the confining mass gap is rather small and accounts for less than five percent of the mass gap in two-flavor QCD. This is to be expected from the flavor dependence of the glueball masses in QCD and Yang-Mills theory, and the underlying structure, the Schwinger mechanism, is solely sourced in the glue sector of QCD; it would not be present in the QED-like glue-matter part. Furthermore, the structure of the respective coupled Bethe-Salpeter equations, see [147], suggests that the importance of the matter part is growing at most linearly also for $N_f > 3$ and hence stays small. This estimate worsens successively with growing N_f and for $N_f \gtrsim 8$ we may have to resort to a more complete analysis. However, as will be shown in Sec. V, in this regime confinement happens at far smaller scales than chiral symmetry breaking, which effectively reduces the analysis to that of Yang-Mills theory. In summary we conclude that the flavor dependence on the confining mass gap is universally small.

In turn, the power-law flow of $m_{\text{gap},k}^2$ with flat regulators (B3) and (B4) shows a sizeable dependence on N_f , whose effects have to cancel out in the vanishing cutoff limit. Accommodating this property requires either full momentum dependencies that allow for the necessary momentum transfer or a restriction to regulators that maximize momentum locality in the flow. Such regulators naturally lead to near-zero flows for cutoffs $k > k_{\text{conf}}$. Flat regulators do not belong to this class but have near-zero flows in a small N_f , N_c window. For $N_c = 3$ this window is given by a

neighborhood of $N_f = 3$. This analysis is supported by the present results obtained with flat regulators, where the full flow of the mass gap yields quantitative agreement of the gluon propagator with that from fRG computations with full momentum dependencies for $N_f = 3$, as well as with lattice results. However, the results show an increasing deviation for both smaller and larger N_f , which enhances the magnitude of the power-law part of the flow. For $N_f = 0, 2$, fRG computations with full momentum dependencies exhibit quantitative agreement with lattice results, underscoring the importance of momentum transfer.

In summary, we may either restrict ourselves to the set of regulators with small mSTI masses m_{mSTI} stable results (lack of momentum transfer), or we have to improve upon the momentum dependence of the approximation. Both options will be explored in a forthcoming publication, using the computational framework reported on in [29,47]. Here we choose a more heuristic way and simply use the $N_f = 3$ flavor flows for the mass gap with the flat regulators (B3) and (B4) for all flavors. This approximation is based on its quantitative agreement with the full $N_f = 3$ flavor gluon propagator and the small flavor dependence of the mass gap discussed above. Importantly, it allows us to still use the flat regulators, leading to analytic flow in the present approximation. This facilitates the access to the physics mechanisms at work, in particular also in the walking regime. We close this discussion with the remark that this minimization of m_{mSTI} can be replicated for a different number of colors and flavors.

3. Wrap-up of expansion scheme capturing confinement

In summary, we have set up an efficient and semi-quantitative fRG-scheme for the computation of gauge correlation functions in the Landau gauge which includes the confining mass gap. The results obtained are in very good agreement with previous high precision and quantitative fRG studies in pure YM [141] and QCD [29,47,131]. Moreover, the dressings in this approximation are defined as functions of the cutoff scale and we can exploit the direct relation between the cutoff scale and the (average) momentum scale. In short, we identify the momentum-dependent gauge propagator at $k = 0$ with its k -dependent version at $p = k$,

$$G_A(p) = \frac{1}{Z_{A,p} p^2 + m_{\text{gap},p}^2}, \quad (36)$$

following from (28). With (36) at hand, we readily identify the confinement scale using (10), to wit,

$$k_{\text{conf}} = k_{\text{peak}}, \quad (37)$$

with $k_{\text{peak}} = p_{\text{peak}}$ defined in (10). Note that k_{peak} is a proxy for the mass gap itself while k_{conf} has been defined as the

cutoff scale, at which the confinement dynamics kicks in, see (33). Clearly, the latter scale is larger than k_{peak} , but YM computations [141] indicate $k_{\text{conf}} \lesssim 2k_{\text{peak}}$. In the following we shall use (37) but the more precise estimate gets important in our technical implementation in Appendix C 3 b.

The present approximation to the gauge sector with Γ_{glue} in (A1) contains the wave functions of ghost and gauge fields, the running mass gap and the avatars of the gauge coupling which are obtained from the three-gauge, four-gauge and ghost-gauge dressings,

$$\begin{aligned} \alpha_{A^3} &= \frac{\lambda_{A^3}^2}{4\pi} \frac{1}{(1 + \bar{m}_{\text{gap}}^2)^3}, \\ \alpha_{A^4} &= \frac{\lambda_{A^4}}{4\pi} \frac{1}{(1 + \bar{m}_{\text{gap}}^2)^2}, \\ \alpha_{c\bar{c}A} &= \frac{\lambda_{c\bar{c}A}^2}{4\pi} \frac{1}{(1 + \bar{m}_{\text{gap}}^2)}. \end{aligned} \quad (38)$$

Note that both (38) and $\lambda_{A^3}, \lambda_{A^4}^{1/2}, \lambda_{c\bar{c}A}$ can be understood as avatars of α_g or the gauge coupling. The difference is the RG-invariant decoupling factor due to the gauge field mass gap, which is present for exchange couplings that occur in the flow diagrams. In any case, the gauge avatars α_i with $i = A^3, A^4, c\bar{c}A$ agree for large cutoff scales due to perturbative universality and are genuinely different in the strongly correlated IR regime. This is showcased in the chiral two-flavor QCD case in Fig. 7. The parametrization of the different α_i 's in (38) makes the mass gap factor apparent which induces the low energy decoupling of gauge fluctuations. We will see how, as the perturbative conformal window is approached, the mass gap tends to zero and the IR decoupling disappears.

C. Fermion-gauge dynamics and chiral symmetry breaking

We proceed with the discussion of the matter part of the effective action. It contains a running Dirac term with the fundamental fermion-gauge interaction as well as higher order self-interaction terms of the fermions, see the discussion below. We concentrate on the latter terms and explain how resonant interaction channels as well as multi-scatterings in these channels are efficiently accommodated with the fRG approach with *emergent composites* [30–32]. Its application to QCD-like theories is also called *dynamical hadronization* and has been applied and further developed in [29,47,128,129,131,143,150–152] and the current application is based on [29,31,131,152].

At an asymptotically large cutoff scale the full effective action tends toward the classical action as all quantum fluctuations are suppressed. Note that strictly speaking this is only true in asymptotically free theories. Moreover, we have already discussed in Sec. III B that the UV effective action consists of all UV-relevant terms that are compatible

with the symmetries of the theory (namely the classical action), including also additional ones that are sourced by the breaking of symmetries due to the regulator insertion. While a momentum space regulator inevitably breaks apparent gauge invariance and leads to the mSTIs, chiral symmetry is preserved in a class of chiral fermion regulators $R_\psi(p)$. These regulators do not introduce a scalar momentum-dependent mass but a chiral IR suppression that is proportional to $\not{p}/\sqrt{p^2}$, see (B3) in Appendix B.

In the chiral limit (absence of explicit fermion mass terms), gauge-fermion systems have a global left-right flavor symmetry, which is spontaneously broken to its vector part, when d χ SB sets in,

$$\text{SU}(N_f)_L \times \text{SU}(N_f)_R \rightarrow \text{SU}(N_f)_V. \quad (39)$$

Accordingly, for chirally invariant regulators, all terms in the effective action $\Gamma_k[\Phi]$ of gauge-fermion theories have the full left-right symmetry, displayed on the left-hand side of (39).

1. Matter sector of the effective action

The full matter part $\Gamma_{\text{mat}}[\Phi]$ of the effective action in the approximation used in this work contains the running version of the Dirac term,

$$\Gamma_{\text{D}}[A, \psi, \bar{\psi}] = \int_x Z_\psi \bar{\psi} \gamma_\mu \left(\partial_\mu - i \lambda_{\psi \bar{\psi} A} Z_A^{1/2} A_\mu \right) \psi, \quad (40)$$

introducing the scale-dependent wave function Z_ψ of the fermion as well as the fermion-gauge avatar of the gauge coupling $\lambda_{\psi \bar{\psi} A}$ and the respective exchange coupling

$$\alpha_{\psi \bar{\psi} A} = \frac{\lambda_{\psi \bar{\psi} A}^2}{4\pi} \frac{1}{1 + \bar{m}_{\text{gap}}^2}, \quad (41)$$

with the decoupling factor $1/(1 + \bar{m}_{\text{gap}}^2)$. Equation (41) is depicted in Fig. 6 and complements the avatars of the gauge coupling listed in (38). Moreover, the fermion-gauge exchange coupling $\alpha_{\psi \bar{\psi} A}$ agrees with the other avatars for large cutoff scales up to subleading corrections as a consequence of the mSTIs. Accordingly, no further UV-relevant parameter is introduced by (41) and we only have one single UV-marginally relevant parameter: the gauge coupling. A compilation of all avatars of α_g is shown in Fig. 7 for $N_c = 3$ and $N_f = 2$.

Using (41) in the flow equations generates an infinite series of pure fermionic and fermion-gauge interactions that are compatible with the flavor symmetry (39). All of them are UV irrelevant in the asymptotically free regime of many-flavor QCD. Accordingly, they can be set to zero at the initial cutoff scale, and they are generated by the flow.

In this work, we start with the four-Fermi operators $(\bar{\psi} \mathcal{T}_i \psi)^2$, where \mathcal{T}_i are dimensionless tensor structures.

Additional terms involving higher powers of fermions and/or gauge bosons, or momentum-dependent tensor structures, have higher canonical dimensions and are therefore, in principle, neglected. These terms would require very strong dynamics to become IR relevant and are expected to have a negligible effect. Nevertheless, we will include very high-order operators in the dominant \mathcal{T}_i channel aiming for quantitative precision. However, we note that this reasoning does not apply to the operator $\bar{\psi} A^2 \psi$. As shown in [47,129] for physical QCD, this operator has a subleading significance and is thus omitted from the present analysis.

This leaves us with the following four-Fermi part of Γ_{mat} ,

$$\Gamma_{4\psi}[\bar{\psi}, \psi] = - \int_x Z_\psi^2 \left\{ \lambda_{\text{SP}} \mathcal{T}_{(\text{S-P})} + \lambda_+ \mathcal{T}_{(\text{V+A})} + \lambda_- \mathcal{T}_{(\text{V-A})} + \lambda_{\text{VA}} \mathcal{T}_{(\text{V-A})^{\text{adj}}} \right\}, \quad (42)$$

where the global factor Z_ψ^2 carries the (inverse) RG scaling of the fields and hence the couplings $\lambda_{\text{SP}}, \lambda_\pm, \lambda_{\text{VA}}$ are RG invariant. The tensors \mathcal{T}_i constitute a Fierz-complete basis compatible with the full flavor symmetry, see A2 in Appendix A. The \mathcal{T}_i define different channels of the full four-Fermi interaction with given quantum numbers.

In gauge-fermion systems, the axial $\text{U}(1)_A$ symmetry is broken anomalously. This anomaly induces fermionic breaking terms, and in the chiral limit the lowest order term generated by the anomaly, the 't Hooft determinant [153], is a $2N_f$ fermion operator, for a discussion in the fRG approach see [154]. This operator feeds back into all interaction terms via the flow and in particular it lifts the degeneracy of the scalar–pseudoscalar four-Fermi sector. Then, the size of the Fierz-complete four-Fermi basis increases rapidly with N_f . Already in physical QCD with $N_f = 2$ the basis contains ten tensor structures, see, e.g., [29,129,155], and for $N_f = 2 + 1$ the basis contains 26 elements, see, e.g., [155]. In particular, the scalar–pseudoscalar tensor structure can be written in terms of two different ones,

$$\lambda_{\text{SP}} \mathcal{T}_{(\text{S-P})} \rightarrow \lambda_{\text{SP}}^{(\sigma-\pi)} \mathcal{T}_{(\sigma-\pi)} + \lambda_{\text{SP}}^{(\eta-a)} \mathcal{T}_{(\eta-a)}, \quad (43)$$

where the subscripts and superscripts $(\sigma - \pi)$ and $(\eta - a)$ for the tensors and the dressings, respectively, are taken over from the two-flavor case. There, the 't Hooft determinant is a four-Fermi term and its tensor structure can be used directly in the four-Fermi basis. Moreover, for $N_f = 2$ and $N_f = 2 + 1$ the degeneracy of the mass spectrum is lifted considerably by a couple of hundred MeV. In turn, the very nature of the axial $\text{U}(1)_A$ -breaking term as a $2N_f$ -fermion term entails that its impact is getting successively irrelevant with larger flavor number. Accordingly, in the large flavor limit we expect the anomalous $\text{U}(1)_A$ -breaking to be absent effectively in

the four-Fermi terms, leading to

$$\lambda_{\text{SP}} = \lambda_{\text{SP}}^{(\sigma-\pi)} = \lambda_{\text{SP}}^{(\eta-a)}. \quad (44)$$

In the present work we refrain from computing the flow of the 't Hooft determinant via [154] and its increasingly irrelevant impact with larger N_f on the four-Fermi terms. Instead we use a generous estimate for the size of the axial $U(1)_A$ breaking or the lack thereof: in the latter case full chiral symmetry is present in the symmetric regime and only the σ mode as the radial mode is massive in the chirally broken regime. Accordingly, we identify the $(\eta - a)$ part of the multiplet, or rather its couplings and masses, with that of the pions. In the former case we estimate the effect of the $U(1)_A$ breaking with identifying the couplings and masses of the $(\eta - a)$ part of the multiplet with that of the σ mode. For larger values of N_f this is a very conservative upper bound. We shall see that the difference between these approximations is small which sustains the argument of the subleading effect of the $U(1)_A$ breaking on the physics of many-flavor gauge-fermion systems.

We close this discussion on our approximation with the remark that we only consider cutoff-dependent couplings and dressings in (42). However, such a tensor channel also features different momentum channels. Specifically, the $(\sigma - \pi)$ channel is commonly defined by the t -momentum channel of the (S-P) tensor structure. In the fRG approach to QCD this has been investigated in [47,129] and we refer the interested reader to these works for more details.

2. RG dynamics of chiral symmetry breaking

This setup allows us to provide a comprehensive discussion of necessary and sufficient conditions for $d\chi$ SB in general gauge-fermion systems. The RG point of view allows for a specifically simple and precise access to the mechanisms at work. Here, we briefly report on its key ingredients with an emphasis on the properties important in the present context, specifically for the discussion of the lower boundary of the conformal window in Sec. VI. For a more detailed discussion we refer to [27,56] and references therein.

In Fig. 3 we depict the structure of the flow of the scalar-pseudoscalar four-Fermi coupling. It is generated by the fermion-gauge box diagrams in the deep perturbative regime (far UV) and its strength there is proportional to α_g^2 . In the absence of any further scales such as explicit fermion masses, α_g^2 is only multiplied by k^2 which follows from dimensional counting, and a dimensionless prefactor that depends on the shape of the chosen regulator function. Once generated, it triggers further diagrams being proportional to $\alpha_g \lambda_{4\psi}$ (mixed diagrams) and $\lambda_{4\psi}^2/k^2$ (fish diagram). Here, $\lambda_{4\psi}$ stands for all four-Fermi couplings as the flows

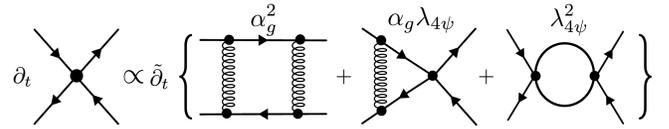


FIG. 3. Cartoon of the flow equation of the four-Fermi vertex. The $\tilde{\partial}_t$ only hits the explicit regulator dependences of the propagators, and we have dropped the tadpole diagrams. The respective β function of the dimensionless coupling (45) is depicted in Fig. 4. Here, $\lambda_{4\psi}$ stands for all possible four-Fermi interactions.

are not diagonal and all four-Fermi couplings feed into the flow of the others.

In the perturbative regime the four-Fermi couplings are generated by the fermion-gauge boxes, which are of the order α_g^2 . Feeding these couplings back into the other diagrams reveals that they are of higher order in α_g and hence are subleading in the perturbative UV-regime: the mixed diagrams are of the order α_g^3 and the fish diagrams carry α_g^4 . It turns out that this ordering is very efficient, and the flow of the four-Fermi couplings is dominated by the fermion-gauge box for strong couplings until χ SB is triggered. This is one of the properties of the four-Fermi flow that leads to a small systematic error estimate and hence to semiquantitative precision of the current investigation, see below. We emphasize that Fig. 3 has a very complicated substructure as the four-Fermi vertex carries different tensor structures (42) that all couple into each other, indicated by $\lambda_{4\psi}$. Moreover, we have left out the tadpole diagrams with a six-fermion vertex: they are of the order α_g^8 and strongly suppressed in the perturbative regime but also lack the resonant structure that would make them relevant in the regime with chiral symmetry breaking.

In summary, the chiral dynamics is dominated by the diagrams shown in Fig. 3 and we can discuss the emergence of chiral symmetry breaking in a very concise way. To that end we concentrate on the β function of the dimensionless scalar-pseudoscalar coupling for the sake of simplicity,

$$\bar{\lambda}_{\text{SP}} = \lambda_{\text{SP}} k^2, \quad \beta_{\bar{\lambda}_{\text{SP}}} \equiv \partial_t \bar{\lambda}_{\text{SP}} = 2\bar{\lambda}_{\text{SP}} + \text{diagrams}, \quad (45)$$

where the diagrams are those in Fig. 3, divided by k^2 . For the ensuing discussion we drop the other couplings for the sake of simplicity, $\bar{\lambda}_{4\psi} \rightarrow \bar{\lambda}_{\text{SP}}$. The full analysis is more complicated but similar, see, e.g., [56]. All the diagrammatic contributions have negative prefactors and the resulting β function is depicted in Fig. 4.

For $\alpha_g = 0$, the β function in Fig. 4 collapses to that of a Nambu–Jona-Lasinio (NJL) type model. Then, it has a Gaussian IR attractive fixed point at $\bar{\lambda}_{\text{SP}} = 0$, and a UV attractive fixed point at $\bar{\lambda}_{\text{SP}}^*$. For initial values of the coupling at $k = \Lambda_{\text{UV}}$ below the UV fixed points, $\bar{\lambda}_{\text{SP},\Lambda_{\text{UV}}} < \bar{\lambda}_{\text{SP}}^*$, the coupling is driven to zero. Note that

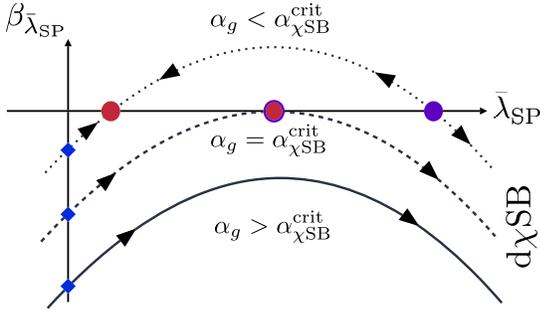


FIG. 4. β function of the dimensionless four-Fermi coupling $\bar{\lambda}_{SP} = \lambda_{SP}k^2$, see (45). The arrows indicate the direction of the flow from UV to IR. The red dot signifies the IR attractive fixed point and the purple dot signifies the UV attractive fixed point. At the critical gauge coupling $\alpha_{\chi SB}^{crit}$, the two fixed points merge. For larger gauge couplings, $d\chi SB$ is inevitable, see the discussion around (46).

this accommodates all finite values of the dimensionful four-Fermi coupling λ_{SP} . Accordingly, no chiral symmetry breaking takes place. In turn, for initial values above the UV fixed point, $\bar{\lambda}_{SP, \Lambda_{UV}} > \bar{\lambda}_{SP}^*$, the coupling is driven to infinity which signals the emergence of $d\chi SB$. More details on this regime and full control with the emergent composite fRG approach will be provided in Sec. III D.

In contrast to NJL-type four-Fermi models, the four-Fermi coupling in gauge-fermion systems is generated by the gauge-fermion dynamics as we have discussed above. Consequently, the flows of all four-Fermi couplings start with $\bar{\lambda}_{SP} \approx 0$ at the blue squares on the y axis in Fig. 4. Moreover, the fermion-gauge box diagrams in Fig. 3 do not carry a dependence on the four-Fermi couplings and are proportional to α_g^2 . Thus, they simply shift down the NJL-type β function. The mixed diagrams in Fig. 3 exhibit a single one-gluon exchange and one four-Fermi coupling. They are proportional to $\alpha_g \bar{\lambda}_{SP}$ and lead to anomalous dimensions of $\bar{\lambda}_{SP}$ via $2\bar{\lambda}_{SP} \rightarrow (2 - \text{const} \alpha_g)\bar{\lambda}_{SP}$ and only tilt the β functions. These tilts are irrelevant for the current qualitative discussion even though they inform the value of the fixed points and also that of the critical coupling discussed in the next section.

3. Critical coupling for dynamical chiral symmetry breaking

This structure leads us to the first important conclusion that $d\chi SB$ in a gauge-fermion system can only occur if the gauge coupling exceeds the critical coupling $\alpha_{\chi SB}^{crit}$ in the flow. This coupling is defined as the minimal coupling that leads to a negative or vanishing β function,

$$\alpha_{\chi SB}^{crit} = \min \{ \alpha_g | \beta_{\bar{\lambda}_{SP}} \leq 0 \quad \forall \bar{\lambda}_{SP} \}. \quad (46)$$

Only for a completely negative β function the coupling can cross over to the regime with chiral symmetry breaking. Accordingly,

$$\alpha_{g,k} > \alpha_{\chi SB}^{crit}, \quad (47)$$

for some k range is a necessary condition for chiral symmetry breaking. It is not sufficient as the analysis has been done in the absence of any mass scales and dynamical chiral symmetry breaking generates fermion masses and confinement generates a gauge-field mass gap. Both dynamical masses lead to a decay of the box diagrams below the respective mass scale, effectively reducing the β function to the NJL type in the deep IR far below the mass scales. This makes the general analysis very complicated in the regime where both scales are sufficiently close and the confinement and chiral dynamics are intertwined. In particular this holds true for the QCD-like regime with a small number of flavors.

D. Emergent composites in gauge-fermion QFTs

As the avatars of the gauge dynamics strengthen toward the IR, the dressings of UV-irrelevant operators in (42) grow big as well. In fact, the dressing of the (S-P) channel becomes singular which signals the breaking of the chiral symmetry and the respective modes turning critical. This also entails that higher order fermion scatterings in this channel cannot be neglected anymore. The divergence of λ_{SP} as well as the higher order scatterings are very efficiently and reliably accommodated within the fRG with the *emergent composite* formalism introduced in [30].

In this approach the four-Fermi interaction in a particular momentum channel (here the scalar-pseudoscalar channel in the t -momentum channel) is rewritten as the exchange of a composite bosonic degree of freedom carrying the respective Lorentz, color and flavor structure of the corresponding fermionic bilinear, see Fig. 5. This field transformation is made at the level of the flow equation, and is reminiscent of a momentum-scale dependent Hubbard-Stratonovich transformation [156,157]. In its implementation in the flow equation it is an identity transformation. This has the important consequence that, in contradistinction to the standard Hubbard-Stratonovich transformation, it is not troubled by potential double counting problems in *any* approximation to the effective action.

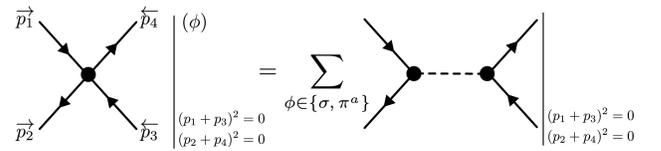


FIG. 5. Reparametrization of the four-Fermi resonant channel as the exchange of a scalar field in the particular momentum channel configuration. Figure adapted from [152].

In the present work we use the formulation introduced in [31] and furthered in [29,131,152]. It is based on the generalized flow equation derived in [31],

$$\begin{aligned} & \left(\partial_t + \int \dot{\Phi} \frac{\delta}{\delta \Phi} \right) \Gamma_k[\Phi] \\ &= \frac{1}{2} \text{Tr} \left[\frac{1}{\Gamma_k^{(2)}[\Phi] + R_k} \left(\partial_t + 2 \frac{\delta \dot{\Phi}}{\delta \Phi} \right) R_k \right], \end{aligned} \quad (48)$$

which accounts for the scale-dependent field transformation in the function $\dot{\Phi}[\Phi]$. The latter is completely at our disposal and for $\dot{\Phi}[\Phi] = 0$ the generalized flow equation (48) reduces to the Wetterich equation (24). In the present work we only bosonize the resonant ($\sigma - \pi$) channel with the tensor structure $\mathcal{T}_{(\sigma-\pi)}$ defined in (A3). This reflects the axial $U(1)_A$ breaking and has been discussed in Sec. III C 1 around (44). For maximal axial $U(1)_A$ breaking this tensor structure carries the lightest composite degrees of freedom, the scalar σ and the pseudoscalar pion π modes. This situation occurs in physical QCD. For larger N_f the axial $U(1)_A$ breaking gets increasingly irrelevant and the dressing of the second tensor structure $\mathcal{T}_{(\eta-a)}$, see (A3), in (44) can be identified with that of the $\sigma - \pi$ channel. Again we refer to Sec. III C 1 for the details and the ensuing systematic error estimate of our approximation.

We proceed with the discussion of the flowing field $\dot{\Phi}[\Phi]$. It is chosen such that the dressing of the ($\sigma - \pi$) channel vanishes and is absorbed entirely in the emergent mesonic sector. We collect the bosonized fields in

$$\phi = (\sigma, \boldsymbol{\pi}) \quad \text{with} \quad \boldsymbol{\pi} = (\pi_1, \dots, \pi_{N_f^2-1}), \quad (49)$$

and in the chiral invariant

$$\rho = \frac{\sigma^2 + \boldsymbol{\pi}^2}{2}. \quad (50)$$

The σ mode is the radial mode in the order parameter potential and in physical QCD it is related to the $f_0(500)$ resonance with the quantum numbers 0^{++} . Its resonance mass is about 500 MeV and in the chiral limit in two-flavor QCD within the fRG approach its mass is about 250 MeV, see [29]. This entails that its off-shell importance in the loops is subleading. However, as the radial mode of chiral symmetry breaking, it acquires an expectation value and our expansion of the effective action is one about the equations of motion of the theory, which is an optimal expansion point. We also consider multiscatterings of this resonant interaction channel which are captured by an effective potential $V(\rho)$ of the chiral invariant ρ .

1. Matter part of the effective action with emergent composites

This leads us to the full approximation to Γ_{mat} used in the present work,

$$\begin{aligned} \Gamma_{\text{mat}}[A, \psi, \bar{\psi}, \phi] &= \Gamma_{\text{D}}[A, \bar{\psi}, \psi] + \Gamma_{4\psi}[\bar{\psi}, \psi] \Big|_{\lambda_{\text{sp}}^{(\sigma-\pi)}=0} \\ &+ \Gamma_{\psi\phi}[\psi, \bar{\psi}, \phi], \end{aligned} \quad (51)$$

with the Dirac part (40) and the four-Fermi part (42) and the mesonic part

$$\begin{aligned} \Gamma_{\psi\phi}[\psi, \bar{\psi}, \phi] &= \int_x \left\{ \frac{1}{2} Z_\phi (\partial_\mu \phi)^2 + V(\rho) \right. \\ &\left. + Z_\phi^{1/2} Z_\psi h_\phi(\rho) \bar{\psi} (T_f^0 \sigma + i\gamma_5 T_f^a \pi^a) \psi \right\}. \end{aligned} \quad (52)$$

Here, T_f^0 is a normalized and diagonal tensor in flavor space (A2b) and T_f^a are the generators of the $SU(N_f)$ group. The Yukawa coupling $h_\phi(\rho)$ is RG invariant as the RG scaling of the Yukawa term is captured by the prefactor $Z_\phi^{1/2} Z_\psi$.

In the simplest version of the emergent composite approach, deep in the perturbative regime, the effective potential is Gaussian and is given by a mass term

$$V(\rho) = m_\phi^2 (Z_\phi \rho), \quad (53)$$

with the RG-invariant mass m_ϕ , and chiral invariance dictates the σ and pion mass functions in (53) to be the same. Moreover, we take a field-independent Yukawa interaction,

$$\partial_\rho h_\phi(\rho) \equiv 0. \quad (54)$$

Then, the solutions of the EoMs for ϕ are given by

$$\begin{aligned} Z_\phi^{1/2} \sigma_{\text{EoM}}(p) &= - \frac{Z_\psi h_\phi}{(p^2 + m_\phi^2)} [\bar{\psi} T_f^0 \psi](p), \\ Z_\phi^{1/2} \pi_{\text{EoM}}^a(p) &= - \frac{Z_\psi h_\phi}{(p^2 + m_\phi^2)} i [\bar{\psi} \gamma_5 T_f^a \psi](p), \end{aligned} \quad (55)$$

with

$$[\bar{\psi} T_f \psi](p) = \int_q \bar{\psi}(q) T_f \psi(p - q). \quad (56)$$

Equation (55) suggests that the full expectation value of the σ at vanishing momentum, $\langle \sigma \rangle = \langle \hat{\sigma}(0) \rangle$ the solution of the EoM, is directly related to the chiral condensate,

$$\langle \sigma \rangle \propto \int_x \langle \bar{\psi}(x) \psi(x) \rangle, \quad (57)$$

the standard order parameter of $d\chi$ SB. Indeed, it can be shown that the relation (57) holds true beyond the Gaussian approximation of the effective potential, see [131]. Moreover, $\langle\sigma\rangle$ is tightly related to f_π , which is obtained from the fermion two-point function, for a recent discussion on QCD see [29]. Hence, we may simply use $\langle\sigma\rangle$ as our order parameter.

2. Effective scale of chiral symmetry breaking

The analysis in Sec. III D 1 suggests to identify the cutoff scale $k_{\chi\text{SB}}$, below which $\langle\sigma\rangle \neq 0$ in the chiral limit, with the scale of $d\chi$ SB. Then

$$\langle\sigma\rangle = \begin{cases} = 0 & k > k_{\chi\text{SB}} \\ \neq 0 & k < k_{\chi\text{SB}} \end{cases}, \quad (58)$$

with

$$k_{\chi\text{SB}} = \max\{k|\langle\sigma\rangle_k \neq 0\}. \quad (59)$$

Together with k_{conf} in (59) this defines good proxies for two dynamical scales in IR gauge-fermion systems. We shall use them from now on, and in particular $k_{\chi\text{SB}}$, as our reference scales.

On the EoM (55), the mesonic part of the effective action reduces part of the a scalar–pseudoscalar four-Fermi term

$$\begin{aligned} \Gamma_{\psi\phi}[\psi, \bar{\psi}, \phi = \phi_{\text{EoM}}] \\ = \int_p Z_\psi^2 \{ -\lambda_{\text{SP}}^{(\sigma-\pi)}(p) [\bar{\psi} T_f^0 \psi](p) [\bar{\psi} T_f^0 \psi](-p) \\ - \lambda_{\text{SP}}^\pi(p) [\bar{\psi} \gamma_5 T_f^a \psi](p) [\bar{\psi} \gamma_5 T_f^a \psi](-p) \}, \quad (60) \end{aligned}$$

with the momentum-dependent and RG-invariant dressing

$$\lambda_{\text{SP}}^{(\sigma-\pi)}(p) = \frac{1}{2} \frac{h_\phi^2}{p^2 + m_\phi^2}, \quad (61)$$

with $\phi \in \{\sigma, \pi^a\}$. At $p = 0$, the cutoff analog of (61) can be written as the mesonic exchange coupling

$$\alpha_\phi = \frac{1}{4\pi} \lambda_{\text{SP}}^{(\sigma-\pi)}(p=0) = \frac{1}{8\pi} \frac{h_\phi^2}{(1 + \bar{m}_\phi^2)}. \quad (62)$$

This is depicted in Fig. 6 along the fermion-gauge exchange couplings. In summary, the fRG approach with

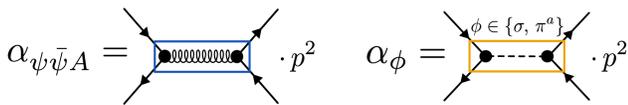


FIG. 6. Diagrammatic depiction of the gauge-fermion and mesonic exchange couplings as defined in (41) and (62), respectively.

emergent composites accommodates a momentum-dependent four-Fermi coupling in a specific momentum channel, and hence goes beyond the cutoff-dependent approximation (42).

Now we pick up the discussion of the anomalous breaking of the axial $U(1)_A$ symmetry discussed in Sec. III C 1 around (43). If this symmetry is effectively restored, the coupling of the $(\eta - a)$ channel agrees with that of the $(\sigma - \pi)$ one,

$$\lambda_{\text{SP}}^{(\eta-a)}(p) = \lambda_{\text{SP}}^{(\sigma-\pi)}(p) = \frac{1}{2} \frac{h_\phi^2}{p^2 + m_\phi^2}. \quad (63)$$

In the symmetric regime this certainly is a quantitative approximation for larger N_f and we will use it throughout. In the broken regime we can either assume that the $U(1)_A$ symmetry is effectively restored, which renders all the mesons in the $(\eta - a)$ channel Goldstone modes: $m_\phi^2 \rightarrow m_\pi^2 = 0$ in (63). Alternatively, we can assume maximal anomalous $U(1)_A$ breaking, that is explicit breaking (without Goldstones). Then, for large N_f the mass of the σ mode serves as a conservative upper value for the mass in the $\eta - a$ channel: $m_\phi^2 \rightarrow m_\sigma^2$. The results presented in the following sections are based on the former identification with $m_\phi^2 \rightarrow m_\pi^2$, as we are most interested in the large N_f regime. We have used the identification $m_\phi^2 = m_\sigma^2$ for the systematic error estimate.

Furthermore, in the bosonized part of the effective action (52), we have included an effective potential term for the composite fields which we consider to have a polynomial form

$$V(\rho) = \sum_{n \geq N_{\min}}^{N_{\max}} \frac{\lambda_{\phi,n}}{n!} [Z_\phi(\rho - \rho_0)]^n. \quad (64)$$

The powers ρ^n include multiscattering terms $(\bar{\psi} T \psi)^{2n}$ of fermionic interactions, which is readily seen in an iteration of the solution to the EoM with a general potential about the solution (55) for the Gaussian potential (53). In (64), $\lambda_{\phi,n}$ are the expansion coefficients and $\rho_0 = \langle\sigma\rangle^2/2$ is the flowing global minimum of the potential. The combinations $[Z_\phi(\rho - \rho_0)]^n$ are RG invariant and so are the $\lambda_{\phi,n}$. In the broken phase ($\rho_0 \neq 0$) we have $N_{\min} = 2$, while in the symmetric phase ($\rho_0 = 0$) we have $N_{\min} = 1$ being the first term in the expansion the Gaussian one, (53). Moreover, we employ in both limits $N_{\max} = 5$, as this already provides well converged results even in the many flavor limit, see Appendix G.

We close this discussion with a further remark concerning our treatment of the axial symmetry. Full $U(1)_A$ -symmetry would entail that the potential $V(\rho)$ depends on the $(\eta - a)$ part of the invariant, that is $\rho \rightarrow \rho + \rho_{(\eta-a)}$. This induces further symmetry-restoring

fluctuations in the symmetric regime and may lead to an earlier onset of the conformal regime if the system admits the phenomenon of precondensation. This possibility is evaluated in Sec. VD and the discussion there suggests that the respective systematic error is small, but these fluctuations will play a role at finite temperature.

For the derivation of the flows, it is convenient to define the dimensionless form of the effective potential,

$$u(\bar{\rho}) = \frac{V(\rho)}{k^4} \quad \text{with} \quad \bar{\rho} = \frac{Z_\phi \rho}{k^2}. \quad (65)$$

The RG-invariant curvature masses of the mesonic modes are given by the second ϕ -derivatives (curvature) of the effective potential

$$m_\sigma^2 = k^2[u'(\bar{\rho}_0) + 2\bar{\rho}_0 u''(\bar{\rho}_0)], \quad (66a)$$

$$m_\pi^2 = k^2 u'(\bar{\rho}_0), \quad (66b)$$

which reduces to $m_\sigma^2 = m_\pi^2 = m_\phi^2$ for the Gaussian potential (53). In (66) we have used the expansion point

$$\phi_0 = (\langle \sigma \rangle, 0), \quad \text{with} \quad \langle \sigma \rangle = \sqrt{2\rho_0}. \quad (67)$$

Moreover, in the broken phase with $\rho_0 \neq 0$ we readily conclude $\bar{m}_\pi^2 = 0$ and $\bar{m}_\sigma^2 = 2\bar{\rho} u''(\bar{\rho})$. Note also that the difference (66) for the masses extends to the scattering couplings $\lambda_{\sigma,n}$ and $\lambda_{\pi,n}$. While the latter are simply given by the respective $\lambda_{\phi,n}$, that is $\lambda_{\pi,n} = \lambda_{\phi,n}$, the former also get contributions from $\lambda_{\phi,n+m}$ with $m \leq n$, multiplied with the respective powers of ρ_0 . The differences originate in strong chiral symmetry breaking as is apparent already in (66).

This analysis readily extends to the Yukawa coupling with $h_{\pi,n}(\rho_0) = h_{\phi,n}(\rho_0) = \partial_\rho^n h_\phi(\rho = \rho_0)$ and $h_{\sigma,n}(\rho_0) = h_{\phi,n}(\rho_0) + h_{\phi,n+m}(\rho_0)\rho_0^m$ terms. In the present work we drop the higher order terms of the Yukawa coupling and use the approximation

$$h_\sigma(\rho_0) = h_\phi = h_\pi(\rho_0), \quad \text{with} \quad h_{\phi,n>0}(\rho_0) \approx 0. \quad (68)$$

Consequently we compute the uniform h_ϕ from the flow of the pionic Yukawa coupling $h_\pi(\rho_0)$: In the symmetric phase with $\rho_0 = 0$ both couplings agree. In turn, in the broken phase the pions are massless and lead to larger contributions. The quantitative reliability of this approximation has been checked in physical QCD. Moreover, for N_f flavors we have $N_f^2 - 1$ pions and one σ and in the most interesting many flavor regime the pions dominate successively more. Further details can be found in Appendix C 5.

We close this analysis with a discussion of the scalar part of the Yukawa term in the effective action. It contains a $\langle \sigma \rangle$ -dependent fermionic mass term with the RG-invariant mass

$$m_\psi = \frac{h_\phi \langle \sigma \rangle}{\sqrt{2N_f}}, \quad (69)$$

which manifests the explicit appearance of a constituent fermion mass with $d\chi$ SB proportional to the order parameter, see [131] for a detailed discussion. One of the aims of this work is to connect with the quantum scale-invariant limit of gauge-fermion theories. Therefore, we will focus our study on classically scale-invariant theories, where no explicit mass scale is present at the classical level (e.g., no current fermion mass as in physical QCD) and (69) is purely given by the chiral condensate contribution.

E. Wrap up of the approximation

We close this section with a wrap up of our approximation for the benefit of the reader. We only consider the RG-invariant couplings which already absorb the wave function renormalizations Z_A, Z_c, Z_ψ, Z_ϕ of both the fundamental and of the composite fields.

This leaves us with the following set of renormalization group invariant coupling parameters,

$$\lambda_i, m_{\text{gap}}, \quad \lambda_\pm, \lambda_{VA}, \quad h_\phi, V(\rho), \quad (70)$$

with $i = A^3, A^4, c\bar{c}A, \psi\bar{\psi}A$, and $V(\rho)$ stands for the set of mesonic self-scattering couplings $\lambda_{\phi,n}$ with $n \leq N_{\text{max}}$ with $N_{\text{max}} = 5$. In (70) we have paired the coupling parameters in the fermion-gauge sector, the fermion sector, and the fermion-meson sector. We emphasize that we only have one UV-relevant parameter, while all others are either vanishing at the initial cutoff scale $\Lambda_{\text{UV}} \rightarrow \infty$ or are determined by gauge symmetry. At $k = \Lambda_{\text{UV}}$ we have

$$\lambda_{i,\Lambda_{\text{UV}}} = g_{\Lambda_{\text{UV}}}, \quad m_{\text{gap},\Lambda_{\text{UV}}} = m_{\text{scaling},\Lambda_{\text{UV}}}, \quad (71a)$$

with the perturbative UV value $g_{\Lambda_{\text{UV}}}$ of the gauge coupling. The UV value of the gauge field mass gap $m_{\text{gap},\Lambda_{\text{UV}}}$ is uniquely given by $m_{\text{scaling},\Lambda_{\text{UV}}}$, satisfying the confinement demand of IR scaling, see (31) and the discussion there. This entails that we have one UV-relevant parameter: $g_{\Lambda_{\text{UV}}}$. Note however, that its value only determines the value of Λ_{UV} in terms of the dynamical scales as chiral gauge-fermion QFTs have no inherent mass scale.

The other couplings are UV-irrelevant and are vanishing for sufficiently large cutoff scale. Hence we use

$$\lambda_{\pm,\Lambda_{\text{UV}}}, \lambda_{VA,\Lambda_{\text{UV}}} = 0, \quad h_{\phi,\Lambda_{\text{UV}}} = 0 = V_{\Lambda_{\text{UV}}}(\rho). \quad (71b)$$

The couplings and propagators can be combined into exchange couplings which are more relevant for the off-shell dynamics in the loops. These exchange couplings for different gauge and (S-P)-mediated correlation functions are given by the α_i with $i = A^3, A^4, c\bar{c}A, \psi\bar{\psi}, \phi$ and are defined in (38), (41), and (62). This concludes the

discussion of the approximation of the effective action used in the present work.

IV. CONFINING AND CHIRAL DYNAMICS IN TWO FLAVOR QCD

In this section, we benchmark the approximation to the effective action detailed in Sec. III with $N_c = 3$ and $N_f = 2$ in the chiral limit, in close similarity to physical two flavor QCD. For this benchmark case we only use the $(\sigma - \pi)$ part of the scalar-pseudoscalar interaction, and the same approximation is used in Appendix D. This approximation includes the strong effect of the anomalous breaking of the $U(1)_A$ in two-flavor QCD, which leads to the decoupling of the $(\eta - a)$ modes from the off-shell dynamics in the flow diagrams. The respective results are then compared with quantitative functional QCD ones and lattice data. Although this analysis is crucial for assessing the reliability and estimating the systematic error of the first-principles fRG approach to general gauge-fermion systems developed in this work, it may be skipped on a first reading. Readers can proceed directly to Secs. V and VI, where we present and discuss results for general gauge-fermion systems, including the walking regime and the conformal window at a large number of flavors.

For $N_f = 2$ and $2 + 1$ flavors there exists a plethora of quantitative results for correlation functions in Landau gauge QCD, both from functional approaches and from lattice simulations. Specifically, we will compare the results related to confinement in Sec. IVA and to $d\chi$ SB in Sec. IV B.

A. Confining dynamics

In Secs. II A and III B we have discussed in detail confinement and its manifestation in Landau gauge QCD. Specifically, it is encoded in the mass gap m_{gap} of the gluon propagator, which is directly related to the peak position p_{peak} of the dressing of the propagator, see Sec. II A 4. Moreover, we have to tune the IR scaling of the gauge field dressing to the Kugo-Ojima confinement condition (30), which requires the resolution of the respective quadratic fine-tuning condition. This and the discussion of its stability is discussed in Appendix E.

The dressings of the gauge field propagator for two and $2 + 1$ flavors with $N_c = 3$ computed in the present approximation compare very well to quantitative results from functional two and $2 + 1$ flavor QCD and from lattice simulations, see Fig. 1. We expect that this quantitative agreement is also present for $N_f > 3$, as has been discussed in Sec. III B 2 and Appendix C 3. Accordingly, the confining scale in the Landau gauge, p_{peak} , as defined in Sec. II A 4 is well accounted for.

Note that in the present approximation the dressings are defined as functions of the cutoff scale and we identify

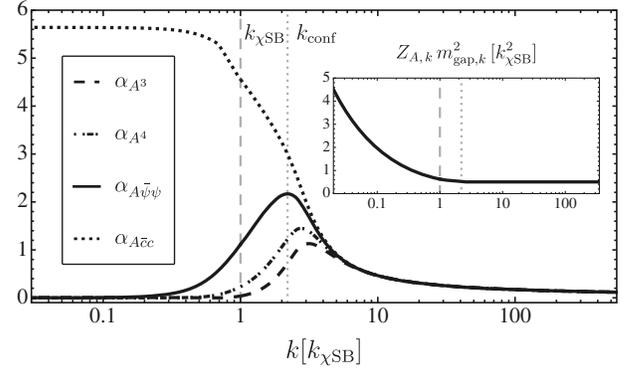


FIG. 7. Three-gauge (dashed), four-gauge (dashed dotted), ghost-gauge (dotted) and fermion-gauge (plain) exchange couplings in an $SU(3)$ gauge theory with $N_f = 2$ fundamental flavors. The momentum or cutoff scale is measured in terms of the chiral symmetry breaking scale $k_{\chi\text{SB}}$, defined in (59) and depicted by the vertical grey dashed line. The confinement scale (37) is depicted by a vertical grey dotted line. In the inlay plot, we show the gauge field two-point function at vanishing external momenta as a function of the cutoff scale.

$p = k$, see (36). This identification can also be used for the exchange couplings α_i with $i = A^3, A^4, c\bar{c}A, \psi\bar{\psi}A$ defined in (38) and (41), which are shown in Fig. 7. We display them over four orders of magnitude in momentum, from the asymptotic UV regime with perturbative universality to the strongly coupled IR. At high scales, all avatars α_i agree due to universality and

$$\frac{1}{1 + \bar{m}_{\text{gap},\Lambda_{\text{UV}}}^2} \xrightarrow{\Lambda_{\text{UV}} \rightarrow \infty} 1. \quad (72)$$

As the gauge dynamics strengthen toward the IR, the deviations between the avatars of the gauge coupling start growing due to the different decoupling factors and the genuine differences between the respective couplings λ_i . The exchange coupling strength increases until the confinement scale k_{conf} is reached. For practical and illustrative purposes, we approximate the previous definition (37) and identify this scale with the peak of the fermion-gauge exchange coupling $\alpha_{\psi\bar{\psi}A}$. This exchange coupling decays at lower scales compared to the pure glue ones, as the loop corrections are not equally suppressed by the same powers as in the pure gauge case. The introduced scale serves as an approximate proxy for physical observables, such as the confinement-deconfinement critical temperature, and may also be identified with the peak of other pure-gauge exchange couplings. Notably, $\alpha_{c\bar{c}A}$ freezes toward the IR in the scaling solution.

At $k_{\chi\text{SB}}$, (59), $d\chi$ SB occurs leading to the emergence of a chiral condensate and constituent masses for the fermions. This triggers the quick decoupling of the fermion loops and will be discussed in the following Sec. IV B.

B. Dynamical chiral symmetry breaking

In this section we focus on the functional implementation of $d\chi$ SB and the related observables such as the chiral condensate and the constituent fermion masses. The standard chiral order parameter is the chiral condensate which is proportional to $\langle\sigma\rangle$, see (57). Its onset defines the chiral symmetry breaking scale $k_{\chi\text{SB}}$ in (59), and it is also signaled by a vanishing of the curvature of the potential, $\bar{m}_\sigma(\rho_0)$ at $\rho_0 = 0$.

In the top panel of Fig. 8 we show the curvature of the chiral potential around the flowing global minimum ρ_0 as a function of the cutoff scale. In the deep UV, the curvature of the potential around the trivial minimum is very large, implying highly suppressed fermionic self-interactions. As the gauge dynamics strengthen, the chiral potential flattens until at $k_{\chi\text{SB}}$ (vertical dashed line) the potential develops a nontrivial minimum, $\langle\sigma\rangle$. In the bottom panel, we show the evolution of this parameter (blue line) which additionally is the chiral phase transition order parameter. In the same plot, we also show the fermion constituent mass [m_ψ , in green, see (69)]. It is worth noting in this context that the sharp onset of $\langle\sigma\rangle$ with the cutoff scale does not signal a second order-type behavior, in terms of a physical momentum

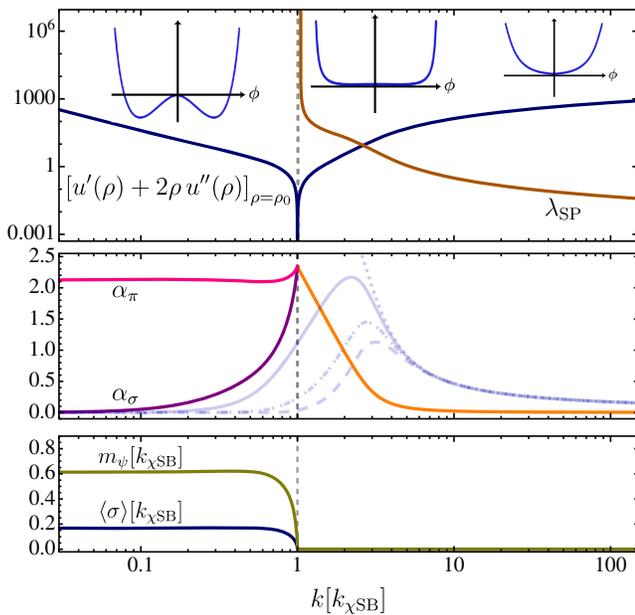


FIG. 8. In the top panel, we show the curvature of the dimensionless renormalized effective potential (65) at the minimum (blue) and the scalar-pseudoscalar four-Fermi coupling (brown) defined in (42), for a SU(3) gauge theory with $N_f = 2$ fundamental flavors. In the middle panel we display the two exchange couplings α_σ , α_π as defined in (62), in the symmetric (orange) and broken phases (purple and pink, respectively). In the bottom panel, we show the development of a nontrivial minimum of the effective chiral potential ($\langle\sigma\rangle$, in blue) and the constituent Euclidean fermion mass (green). Marked by vertical dashed lines, the $k_{\chi\text{SB}}$ scale is depicted.

scale it is smooth, see, e.g., the recent discussion of the fermion mass function in [29]. Still, it mirrors the second order phase chiral transition at finite temperature.

In the middle panel of Fig. 8, the mesonic exchange couplings are shown in comparison to the gauge couplings (light blue lines). In the symmetric regime, chiral symmetry renders the σ and π modes indistinguishable, resulting in both exchange couplings being identical (orange line). In the broken phase, this degeneracy is lifted, as evident in the exchange couplings: the σ mode (purple line) decouples from the off-shell dynamics, while the π mode (pink line) remains massless and dominates the IR dynamics.

Without the emergent composites formulation, $d\chi$ SB is signaled by a divergent four-Fermi coupling given that $\lambda_{\text{SP}} \propto h_\phi^2/u'(\rho)$ as in (61). While this approach has been made quantitative in QCD studies [158,159], it is significantly more challenging when studying properties in the chirally broken sector. In particular, the access to the broken chiral regime in theories in the chiral limit is restricted to the four-Fermi formulation due to a singularity in the flow. Moreover, this strategy was traditionally employed to trace whether $d\chi$ SB occurs or not [112,113] in the many-flavor limit of gauge-fermion QFTs. In the top panel of Fig. 8, we show the four-Fermi coupling for the (S-P) channel (brown line), which is the relevant one to trace the development of a nontrivial scalar condensate. It becomes apparent how the bosonized picture is more convenient as it straightforwardly allows flow into the broken phase of the potential. We note that each independent computation leads to a slightly different $k_{\chi\text{SB}}$.

The curvature of the mesonic potential displayed in Fig. 8 is equivalent to the mass of the scalar degrees of freedom, see [66]. In the UV, the curvature is very large leading to very large masses and a decoupling of these modes in the dynamics. In Fig. 9 we show the Euclidean masses of the bosonized channels at scales approximately at and below $k_{\chi\text{SB}}$. For $k > k_{\chi\text{SB}}$, the symmetry is not broken and hence the Goldstone bosons and radial modes are not distinguishable. In the broken phase, the Goldstone

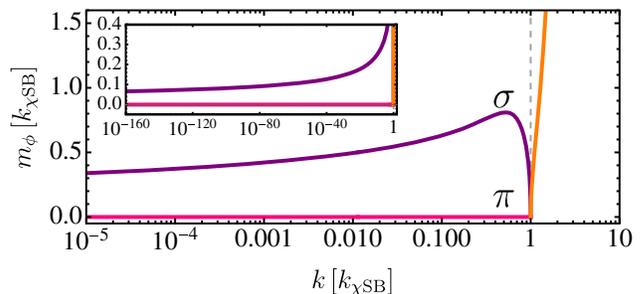


FIG. 9. For a SU(3) gauge theory with $N_f = 2$ fundamental flavors in the chiral limit, the composite masses (m_σ and m_π) in the symmetric (orange) and broken (purple and magenta respectively) phases of the chiral potential. In the inlay, we zoom deep into the deep IR scales.

bosons are exactly massless and the σ mode behaves analogous to the Higgs boson. Its mass in the chiral limit grows until the fermionic loops decouple as $k \sim m_\psi$. For lower scales, the only remaining dynamical degrees of freedom are the massless pions, while the mass of the σ mode is subject to discussion and is an open case of research [29,160–164]. In the present truncation the bosonic contributions to the flow of the curvature of the potential lead to a mild change which over many orders of magnitude finally freezes around $m_\sigma \approx 0.07k_{\chi\text{SB}}$. The precise determination of this quantity is delicate and subject to the truncation employed on the effective chiral potential (64). Similar computations employing a more advanced nonpolynomial type of potential have been performed in [29] and display a freeze-out if the mass at $m_\sigma \approx 0.53[k_{\chi\text{SB}}]$.

On the other hand, the results here obtained are well understood in the nonchiral limit and physical QCD, see, e.g., [29]. The consideration of explicit fermion masses breaks chiral symmetry at the classical level. This leads to pions as Goldstone bosons of the global chiral symmetry and hence they are massive. Consequently, their contribution decouples at a finite cutoff scale $k \sim m_\pi$ in the broken chiral phase, leading to a heavier m_σ . For example, in the case of $m_\pi \sim 0.1k_{\chi\text{SB}}$ this will lead to $m_\sigma \sim 0.6k_{\chi\text{SB}}$.

V. TOWARD THE CONFORMAL WINDOW

In this section we evaluate the interplay between the chiral and confining dynamics of gauge-fermion systems for different number of flavors including the QCD-like, just discussed in Sec. IV, and the vicinity to the conformal window. The results in the previous section confirmed the semiquantitative nature of the present approximation of the effective action and the flow even in the strongly correlated QCD-like regime. This IR regime is successively getting smaller and less correlated for larger N_f and the reliability of the current approximation is even improved.

In the many flavor limit, we observe the emergence of conformality, signaled by a rapidly increasing walking regime: the doubly gapped IR regime with $d\chi\text{SB}$ and confinement is moved far toward the IR. This goes hand in hand with a widening gap of the characteristic scales $k_{\chi\text{SB}}$ for chiral symmetry breaking and confinement k_{conf} with $k_{\chi\text{SB}}/k_{\text{conf}} > 1$. This is but one of the dynamical signatures of the 't Hooft anomaly matching argument [165,166] for $N_f \geq 3$: no confinement without chiral symmetry breaking, see [46] for more details. In turn, the momentum cutoff regime, which carries the approach to the gapped regime, is getting increasingly more prolonged stretching over several orders of magnitude. Accordingly, its accurate description including also higher orders of perturbation theory is mandatory for a quantitative description close and inside the conformal regime. In summary,

while the distinction of the different avatars of the gauge coupling gets successively less important, the accuracy of the cutoff running of the gauge coupling in the regime without chiral and confining gaps gets more important.

On the basis of this structure we improve our approximation for the fermion-gauge coupling $\alpha_{\psi\bar{\psi}A}$ to capture higher orders in perturbation theory: this is relevant if running over several orders of magnitudes in the perturbative and semiperturbative regimes and connecting to the conformal limit. We accompany this improvement with a simplification of the approximation that reduces the fine-tuning problem that increases with flowing over successively more orders of magnitude. Moreover, we identify all other avatars of the gauge coupling with $\alpha_{\psi\bar{\psi}A}$,

$$\alpha_i = \alpha_g, \quad \text{with} \quad \alpha_g = \alpha_{\psi\bar{\psi}A}, \quad (73)$$

and $i = A^3, A^4, c\bar{c}A$. This approximation is detailed in Appendix F 2, and the unique gauge coupling flow is given in (F1). Effectively, the improved approximation incorporates the full perturbative multiloop resummations, while still accommodating the nonperturbative IR dynamics discussed in Sec. IV. We have checked explicitly that the identifications of all couplings have a subleading impact on the large flavor results, see the discussion in Appendix F around Fig. 23 for $N_f = 1, \dots, 5$. In summary, the approximation described here governs both regimes well, the perturbative and semiperturbative running for $k \gtrsim k_{\text{conf}}, k_{\chi\text{SB}}$, and the strongly correlated IR regime with $k \lesssim k_{\text{conf}}, k_{\chi\text{SB}}$.

The interplay between confinement and $d\chi\text{SB}$ can be divided into three subregimes in N_f for all N_c . Here, we will restrict our analysis to $N_c = 3$ with N_f fundamental flavors, where the regimes are the following:

- (i) *QCD-like regime*: small flavor numbers $N_f \lesssim 4$, where confinement, signaled by the gauge field mass gap, emerges at a larger momentum scale than the chiral symmetry breaking one, given by the onset of the chiral condensate. This regime is discussed in Sec. V A and at the end of it as well as in Appendix F, we discuss why the single avatar approximation lacks quantitative precision in this regime given the very different dynamics are accounted by a single gauge avatar.
- (ii) *Locking regime*: intermediate flavor numbers $5 \lesssim N_f \lesssim 8$, discussed in Sec. V B. In this regime, confinement and chiral symmetry breaking are *locked* and are triggered at similar momentum scales for all flavors in this regime. This is a novel phenomenon, and to our best knowledge it has not been observed before. Since the confining and chiral dynamics are fully intertwined, we expect that the current approximation is only semiquantitative.

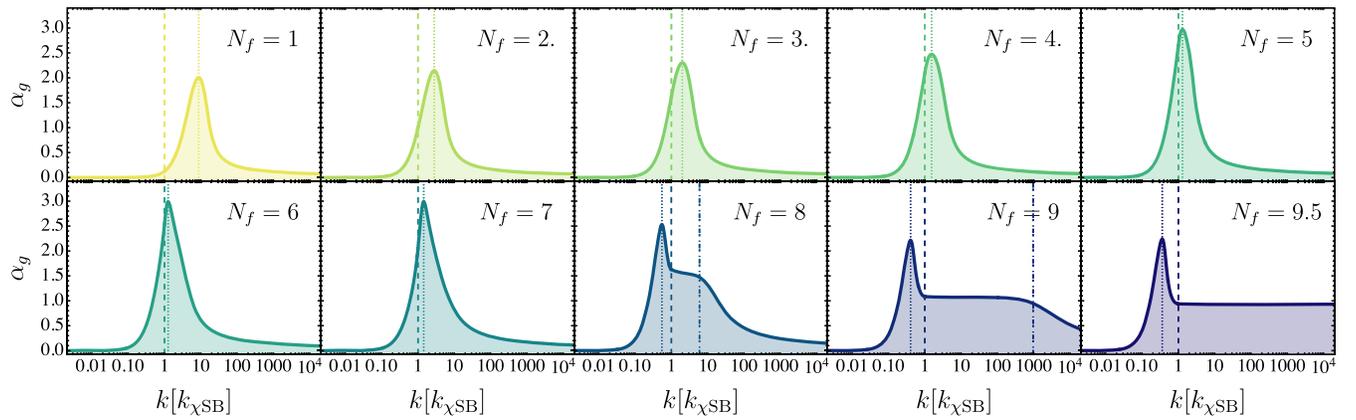


FIG. 10. Gauge exchange couplings for a SU(3) gauge theory with different number of N_f fundamental flavors. From right to left and top to bottom, we increase the number of flavors. The couplings are shown in units of the respective $k_{\chi\text{SB}}$ scales. In each panel, the respective confinement (dotted) and chiral symmetry breaking (dashed) scales are marked by vertical lines. For theories in the close-conformal regime $N_f \gtrsim 8$, the approximate onset of the walking regime k_{walk} is marked by a vertical dot-dashed line. In this Figure we have employed the single-avatar truncation introduced in Sec. V and detailed in Appendix F.

(iii) *Walking regime*: large flavor numbers $8 \lesssim N_f \lesssim N_f^{\text{crit}}$ close to the conformal window signaled by the absence of confinement and $d\chi\text{SB}$. At the interface with the regime (ii) the locking is overcome by the dynamics leading to a rapid decay of the ratio before settling in the scaling in the walking regime. The walking is signaled by the close to vanishing gauge flow and $d\chi\text{SB}$ occurs at successively higher scales, and confinement follows suit at an increasing relative distance. This regime is dissected in detail in Sec. VC and we expect quantitative validity of the approximation given its perturbative character and the separation of the dynamical scales.

In the following, we evaluate the onset of the different dynamical sectors with the scales k_{conf} and $k_{\chi\text{SB}}$. We emphasize that these scales should be understood as approximate proxies for the physical ones, even though they are directly related to the physical confinement and chiral symmetry breaking scales.

In Fig. 10 we display the fermion-gauge couplings for an SU($N_c = 3$) theory coupled N_f fundamental flavors with $N_f = 1, \dots, 9$. For more flavors the gauge coupling enters the conformal regime analyzed in Sec. VI. The confinement and chiral symmetry breaking scales are depicted as vertical lines, where k_{conf} is a dotted line and $k_{\chi\text{SB}}$ is a dashed one. We can clearly distinguish the three regimes (i–iii) which are discussed in the following Subsections.

A. QCD-like regime for $N_f \lesssim 4$

For few $N_f \lesssim 4$, the confining mass gap proportional to k_{conf} is sizeably larger than $k_{\chi\text{SB}}$, see the upper panel of Fig. 10. In this regime the theory is clearly QCD-like and we see an approximately linear decrease with N_f ,

$$\frac{k_{\text{conf}}}{k_{\chi\text{SB}}} \approx (\text{const} - \gamma^{(i)} N_f) \quad \text{with} \quad \gamma^{(i)} \approx 0.6. \quad (74)$$

This ratio is depicted in Fig. 11 which also illustrates the clear separation of the three regimes (i–iii).

The large value of the gluon mass gap effectively switches off the glue dynamics and α_g decays toward the IR as $k \lesssim k_{\text{conf}}$. Conceptually, this picture allows for an scenario without chiral symmetry breaking if α_g peaks too early with a relatively small peak value. This intricacy is

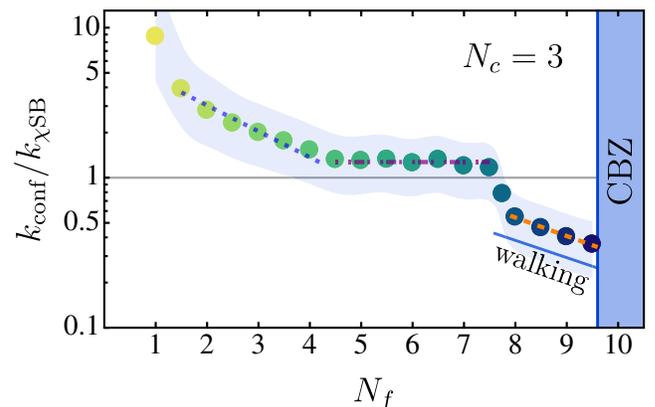


FIG. 11. Ratio of the confinement scale k_{conf} and the chiral symmetry breaking scale $k_{\chi\text{SB}}$ for an SU(3) gauge theory coupled to different numbers of fundamental flavors. The lines indicate the scaling of the ratio in the QCD-like regime (i) with (74) (dotted blue), that in the regime with the locking of confinement and $d\chi\text{SB}$ (ii) with (75) (dot-dashed purple), and the walking regime (iii) with (76) (dashed orange). The shaded blue bands indicate relative 40% variation with a slight enhancement in the few flavor limit as discussed in Appendix F3. The vertical blue line marks the onset of the conformal CBZ window discussed in Sec. VI.

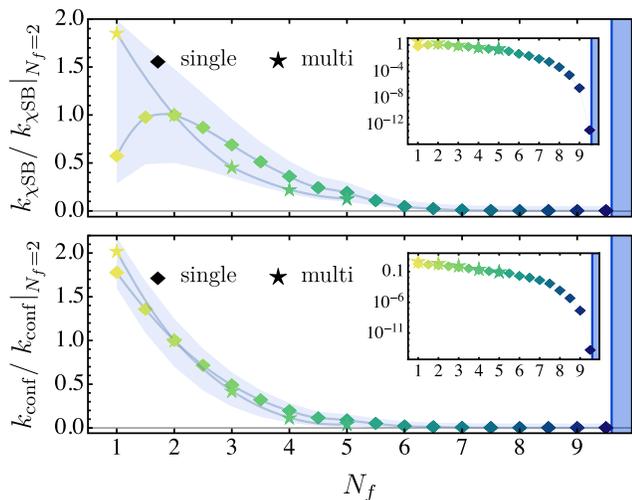


FIG. 12. N_f dependence of the $k_{\chi\text{SB}}$ (top) and k_{conf} scales (bottom) in absolute UV scale (where all couplings are initiated with $\alpha_g \approx 0.08$) for a SU(3) gauge theory with N_f fundamental flavors. We show the results in the single (diamonds) and multiavatar (stars) approximations detailed in Appendix F2 and Sec. IV, respectively. The shaded areas depict the estimated errors discussed in Appendix F3. In the inlay plots, the same quantities in a logarithmic scale are depicted. In Fig. 24 we display the equivalent dependence of m_ψ and $\langle\sigma\rangle$ on N_f .

responsible for the fact that few-flavor theories are very sensitive to the value of the coupling and its momentum dependence. In short, physical QCD and even more the $N_f = 1$ case, are *living on the (chiral) edge*, see [27,46].

Furthermore, in terms of an absolute UV scale, the onsets of confinement and chiral symmetry breaking are delayed with an increasing number of flavors. This can be seen in Fig. 12 where we have depicted the relevant scales in units of an absolute UV scale for different N_f . We show the results in the single (diamonds) and multiavatar (stars) approximations detailed in Appendix F2 and Sec. IV, respectively. For $N_f = 1$, the single-avatar approximation displays a dip in $k_{\chi\text{SB}}$ in comparison to the multiavatar truncation. This is an artifact of the latter truncation which displays a too low $k_{\chi\text{SB}}$ in the $N_f \lesssim 2$ limit. This is a straightforward consequence of only accounting for a single avatar (the fermion-gauge coupling) which is demanded to describe both, qualitatively different confining and chiral dynamics. As shown in Fig. 7, the pure gauge avatars are responsible for confinement and peak much lower than the gauge-fermion one, responsible for $d\chi\text{SB}$. Identifying all to the same, requires a longer exposure to the gauge dynamics in order to trigger $d\chi\text{SB}$ which causes a delay in the appearance of $k_{\chi\text{SB}}$. Moreover, this defect propagates into $k_{\chi\text{SB}}$ -dependent quantities such as the constituent fermion mass or the chiral condensate, which both show a smaller magnitude in units of k_{conf} (see Fig. 24). Consequently, it is well understood why the single-avatar truncation in the few flavor limit only

provides a qualitative description. On the other hand, we point out the remarkable agreement in k_{conf} between both truncations. In shaded blue in Figs. 12 and 24, we depict the error estimate on these quantities accounting for the knowledge from both approximations each successful in a different regime. For a detailed discussion we refer to Appendixes F2 and F4.

B. Locking regime for $5 \lesssim N_f \lesssim 7$

In this regime we encounter a novel phenomenon, the *locking* of confinement and chiral symmetry breaking, see Fig. 11: the ratio of the confinement and $d\chi\text{SB}$ scales is approximately unity for all N_f in this regime,

$$\frac{k_{\text{conf}}}{k_{\chi\text{SB}}} \approx 1. \quad (75)$$

This is in stark contrast to the decrease of the ratio in the QCD-like regime and the scaling in the walking regime, discussed in the next Sec. V C, see (76). Its detection in the present work is closely linked to the differential scale resolution inherent to the fRG. In this strongly correlated regime, fermion self-interactions are more enhanced than in the QCD-like regime, causing typically subdominant channels to become relevant in the chiral dynamics. In fact, in this regime, we find evidence for potential critical channels at $k < k_{\chi\text{SB}}$, particularly the second-leading $(V-A)_{\text{adj}}$ channel. A finer resolution of the dynamics is provided by Fig. 13, where we show the constituent

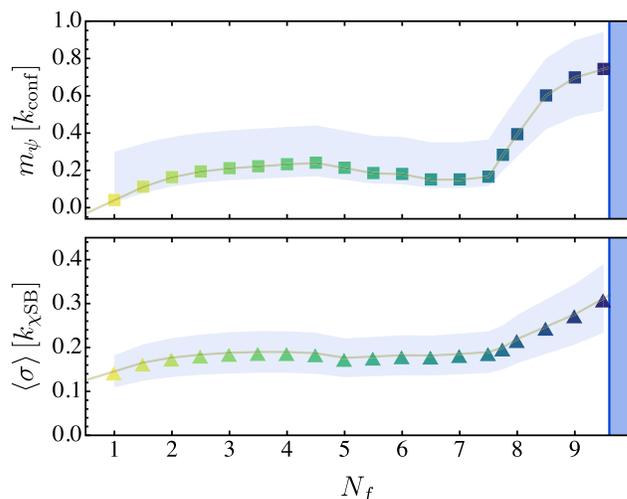


FIG. 13. Constituent fermion masses (squares in the top panel) and chiral condensate $\langle\sigma\rangle$ (triangles in the bottom panel) for N_f fundamental flavors charged under a SU(3) gauge theory. The fermion masses are shown in units of the respective confinement scales and the chiral condensate in those of the chiral symmetry breaking scales. The blue bands show the estimated error discussed in Appendix F3. In Fig. 25 we show the same quantities in different units along with the mass of the σ mode.

fermion mass in units of the confinement scale, $m_\psi[k_{\text{conf}}]$ (squares), and the chiral condensate in units of the chiral symmetry breaking scale, $\langle\sigma\rangle[k_{\chi\text{SB}}]$ (triangles). Both quantities are approximately constant for $3 \lesssim N_f \lesssim 7$, also providing further evidence for our definitions of k_{conf} and $k_{\chi\text{SB}}$ as good proxies for the confinement and $d\chi\text{SB}$ scales. We refer the reader to Appendix F4 for further details.

To our knowledge, this phenomenon has not been observed before and this exciting locking of the confining dynamics and that of $d\chi\text{SB}$ deserves a detailed analysis as it certainly leads to new insights in both of them. In this context we remark that a similar phenomenon has been observed in finite temperature QCD [167,168], where it was shown that the order parameters of both phase transitions, the Polyakov loop and the chiral condensate, are dynamically locked as are the phase transitions. While being fascinating it goes beyond the scope of the present analysis and we deferred this to future work, where we will also use a fully momentum-dependent approximation which facilitates this analysis.

C. Walking regime for $8 \lesssim N_f < N_f^{\text{crit}}$

As discussed before, at the interface between the locking and walking regime (iii), the chiral dynamics overpowers the confining one. Hence, the locking is released and the ratio changes rapidly within less than one additional flavor until it settles in the walking regime, see Fig. 11. Indeed, this behavior illustrates the locking itself.

We see in Fig. 10, showing the momentum-scale dependence of the fermion-gauge coupling α_g , that a growing momentum window with close-conformal scaling emerges in the regime $8 \lesssim N_f < N_f^{\text{crit}}$. The scaling window starts at a momentum scale k_{walk} where the coupling growth slows down. This scale is approximately determined by the minimum of the gauge coupling flow in the regime $k > k_{\chi\text{SB}}$ and is marked by a dot-dashed vertical line in Fig. 10. This near-root in the gauge flow is approached before the onset of $d\chi\text{SB}$ and indicates the appearance of *walking* spanning over a range of scales. The walking window extends rapidly toward the IR as the number of flavors approaches the critical and $k_{\text{conf}}/\Lambda_{\text{UV}}$ and $k_{\chi\text{SB}}/\Lambda_{\text{UV}}$ in terms of the UV cutoff scale Λ_{UV} , rapidly decay. The critical flavor number where conformality appears is $N_f^{\text{crit}} \approx 9.6$ for $N_c = 3$ and its determination will be the topic of Sec. VI.

The emergence of walking triggers a particular scaling of fundamental parameters shown in Figs. 11, 13 and 25. This is directly caused by a progressive separation of the dynamical scales $k_{\text{conf}}/k_{\chi\text{SB}} \ll 1$ given by the walking regime emerging at weaker values of α_g as N_f increases. Moreover, after fermions decouple at $k \lesssim m_\psi \sim k_{\chi\text{SB}}$, the gauge dynamics are approximately those of a pure gauge theory. Hence, α_g grows until the Yang-Mills-like gluon

mass gap appears. Then, in the regime $k \lesssim k_{\chi\text{SB}}$, the difference between close-conformal theories is given by the boundary condition of the gauge coupling at $k_{\chi\text{SB}}$. For increasing N_f at a fixed N_c , we observe an exponential decay (dashed orange line in Fig. 11)

$$\frac{k_{\text{conf}}}{k_{\chi\text{SB}}} \propto e^{-\gamma^{(\text{iii})} N_f} \quad \text{with} \quad \gamma^{(\text{iii})} \approx 0.28 \quad (76)$$

for the ratio of the two dynamical scales.

The decay of the confinement scale relative to that of $d\chi\text{SB}$ suggests that the spectrum of resonances in the close-conformal limit features glueballs that are increasingly lighter than the mesonic and baryonic states, except for the massless Goldstone modes, in contradistinction to QCD-like theories. Being short of a complete analysis that would necessitate solving bound state equations in the current setup, we use the current proxies for the dynamical mass scales: to begin with, the mass scale of chiral symmetry breaking is the chiral condensate, which is proportional to $\langle\sigma\rangle$, for a detailed discussion in the fRG approach see, e.g., [131,169]. The constituent fermion mass is proportional to the product of the chiral condensate and the Yukawa coupling, see (69). If we use it as a proxy for the characteristic hadron mass scale, its ratio with the confinement scale provides an insight in the development of the mass ratios of glueballs and, say, baryons. Surprisingly, this ratio freezes in close to the conformal window, even though the ratio of the chiral condensate and the confinement scale rises even stronger than the ratio of $k_{\chi\text{SB}}$ and the latter. This hints at a quite nontrivial dynamics in the vicinity of the conformal window, which deserves further investigation.

Finally, we investigate the scaling of the walking interval with N_f . In Fig. 14 we show $k_{\chi\text{SB}}$ in units of the UV scale

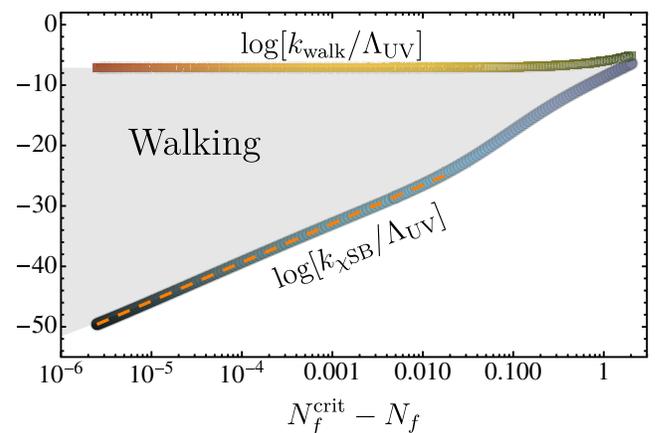


FIG. 14. Scaling of the onset scales of $d\chi\text{SB}$ ($k_{\chi\text{SB}}$, bottom circles) and walking (k_{walk} , top squares) in units of an absolute UV scale Λ_{UV} in the near-conformal regime. The grey-shaded regime marks the length of the walking regime. The dashed line depicts the fit in (77) describing near-conformal scaling.

(lower blue circles) zooming into the conformal regime. Additionally, we display the onset scale of the walking regime (k_{walk} , top squares) in absolute units. The shaded regime displays the orders of magnitude over which α_g walks. For $\Delta N_f^{\text{crit}} = N_f^{\text{crit}} - N_f \lesssim 10^{-2}$, that is close to the conformal window, we find

$$\ln[k_{\chi\text{SB}}/\Lambda_{\text{UV}}] \sim \text{const} + 2.78 \ln \Delta N_f^{\text{crit}}, \quad (77)$$

see the orange dashed line in Fig. 14. This is in very good agreement with Miransky scaling [70,71,110,111,170,171] and equivalent to a Berezinskii-Kosterlitz-Thouless transition in two dimensions [172]. Notably, in the approach to the conformal window, the size of the walking regime expands toward infinity characterizing the nature of the conformal phase transition.

Determining the size of the walking regime is pivotal for beyond the SM model building. It requires an approach sensitive to the appearance of fixed points and capable of describing the nonperturbative emergence of $d\chi\text{SB}$, as employed in the present computation. Figure 26 displays the size of the walking regime, $\Delta k_{\text{walk}} = k_{\text{walk}}/k_{\chi\text{SB}}$, as a function of the number of fundamental flavors and for different colors. We emphasize the novelty of this first-principles result and its importance for existing models [95,116–121].

A detailed determination of the critical exponents associated to the conformal transition and the size of the walking regime for different gauge groups and fermionic representations will be provided in an independent work.

D. Precondensation at large N_f

The fRG approach with emergent composites allows one to investigate the interesting question of the occurrence of regimes with precondensation. This phenomenon typically happens for second order phase transitions with relatively small condensates. A simple example are Weiss domains in ferromagnets where the condensate points in different spacial areas with a characteristic radius ξ_{pc} , toward a specific directions in the symmetry group, in our case $\text{SU}(N_f)$. However, averaging over all of these gives a vanishing global condensate. In the fRG approach to gauge-fermion systems this is signaled by a condensate emerging at scales below $k_{\chi\text{SB}}^+ = k_{\chi\text{SB}}$, which vanishes below a smaller cutoff scale $k_{\chi\text{SB}}^-$. This translates into,

$$\frac{1}{\mathcal{V}_{\xi_{\text{pc}}}} \int_{\|\vec{x}\| \lesssim \xi_{\text{pc}}} \langle \sigma(x) \rangle \approx \int_{k_{\chi\text{SB}}^-}^{k_{\chi\text{SB}}^+} \frac{dk}{k} \partial_t \sigma_{\text{EoM}} \neq 0, \quad (78)$$

with the characteristic radius ξ_{pc} of the domains $\mathcal{V}_{\xi_{\text{pc}}}$,

$$\xi_{\text{pc}} \propto \frac{1}{k_{\chi\text{SB}}^-}, \quad \mathcal{V}_{\xi_{\text{pc}}} = \frac{4\pi}{3} \xi_{\text{pc}}^3. \quad (79)$$

For a related discussion in two-color QCD see [173]. Such a precondensation phenomenon in the presence of a second order phase transition requires strong IR dynamics dominated by massless modes, leading to an IR melting of the condensate. Generically this happens at finite temperature T , where the IR dynamics in a four-dimensional theory is enhanced significantly, as it is three-dimensional below the temperature scale $2\pi T$. This is the case for the diquark condensate in two-color QCD as described in [173], but the mechanism is universal.

For gauge-fermion systems in the chiral limit, precondensation could even be present in the vacuum. In QCD-like theories with a small number of flavors the pion corrections are not strong enough to trigger this phenomenon. The pion decay constant $f_\pi \sim \langle \sigma \rangle$, drops from ~ 93 MeV in the physical case where $m_\pi \approx 140$ MeV to approximately ~ 88 MeV in the chiral limit with $m_\pi = 0$. This is depicted in Figs. 8 and 9 and mirrors the fully quantitative analysis with the fRG approach to QCD in [29]. On the other hand, precondensation could be expected for large flavor numbers as with a growing N_f , the infrared dynamics of the pion is enhanced with the increasing number of Goldstone bosons. Despite this, we do not find evidence for its occurrence in the present vacuum computation as shown in the bottom panel of Fig. 13.

In this context we note that in the present approximation we have reduced the symmetry-restoring effects of the light mesonic degrees of freedom by our approximation of the effective potential: as discussed in Sec. III D 2 below (64), the effective potential only depends on $\rho = (\sigma^2 + \boldsymbol{\pi}^2)/2$, hence missing the $(\eta - a)$ fluctuations. This is an approximation that maximally breaks the axial $\text{U}(1)_A$ symmetry in the effective potential. We expect that the axial $\text{U}(1)_A$ symmetry is effectively restored for large N_f , basically doubling the chiral symmetry restoring fluctuations. However, the current analysis suggests that this only has a minimal impact on the precondensation mechanism in the vacuum, but it is important at finite temperature. This will be explored elsewhere.

Nonetheless, the present approach with emergent composites allows us for the first time to resolve between two competing scenarios in the large- N_f limit where either

- (1) precondensation occurs before conformality or,
- (2) the conformal bound is reached first and precondensation is absent.

We emphasize that in scenario (1) the emergence of a chiral condensate at $k_{\chi\text{SB}}$ at intermediate momentum scales is no signature of $d\chi\text{SB}$ at $k=0$ as the global condensate vanishes, $\langle \sigma \rangle = 0$. However, as the condensate is non-vanishing at length scales $x \lesssim \xi_{\text{pc}}$, global conformal invariance is violated. The picture realized in this scenario would display an effectively scale invariant regime for length scale $x > \xi_{\text{pc}}$ while broken at a smaller one. Furthermore, this concludes that the appearance of $d\chi\text{SB}$ either triggering precondensation or a global condensate leads to

the breaking of quantum scale invariance and hence provides a good criterion for the diagnosis of the loss of conformality. This also sustains nontrivially previous functional analyses of the conformal window [112] where scenario (2) was assumed.

VI. DISSECTING THE CONFORMAL WINDOW

In this section we address the conformal limit of gauge-fermion QFTs introduced in Sec. II C. In particular, we provide a quantitative estimate for the lower boundary of the conformal window $N_f^{\text{crit}}(N_c)$, improving qualitatively and quantitatively on previous estimates from functional approaches. This improvement is based on the emergent composites formulation which allows us to systematically incorporate resonant massless and close-massless composites. Importantly, this also includes the multiscattering effects in these channels that are known to be important in the vicinity of phase transitions, or more generally, in scaling regimes. In Sec. VI A we begin with a discussion of the quantitative criterion for conformality, completing the evaluation initiated in Sec. III C 3. This analysis is used in Sec. VI B for delimiting the conformal phase boundary of gauge-fermion QFTs. In order to keep the present analysis accessible to the reader, we have deferred many of the technical details to Appendix G. This concerns the chiefly important discussion of the systematic error estimates in the scaling regime, including the nonperturbative effects relevant in the quantitative determination of the boundary.

A. Criterion for loss of conformality

In QFT, conformal symmetry requires quantum scale invariance [174]. This is characterized by an RG fixed point of the theory. Here, a given system exhibits no fixed scale such as a finite mass. In turn, the presence of any fixed scale implies the loss of the fixed point and hence conformality.

In Sec. III C 3, we have discussed a necessary condition for the dynamical emergence of chiral symmetry breaking: the gauge coupling must exceed the critical coupling strength $\alpha_{\chi\text{SB}}^{\text{crit}}$ in (46), satisfying (47). In this section, we revisit this argument and assess when this condition also serves as a sufficient criterion.

For a sufficient criterion it is crucial to analyze all scenarios that entail the disappearance of the CBZ fixed point for small enough N_f . We distinguish two scenarios which also encompass those introduced in Sec. II C: In the first scenario, the CBZ fixed point disappears at values larger than $\alpha_{\chi\text{SB}}^{\text{crit}}$, either toward infinity or via a merger in the strong limit. In the second scenario, the CBZ fixed point disappears at values smaller than $\alpha_{\chi\text{SB}}^{\text{crit}}$, most likely via a merger. Each of these two scenarios leads to a qualitatively different dynamical behavior of theories with $N_f \lesssim N_f^{\text{crit}}$.

In the following, we review both scenarios and discuss the sufficient condition for loss of conformality and the impact on the dynamics of the nonconformal theories.

1. Walking regimes

In the case where the CBZ fixed point disappears at values larger than $\alpha_{\chi\text{SB}}^{\text{crit}}$, a walking regime necessarily appears at $N_f \lesssim N_f^{\text{crit}}$, signaled by close-zero β functions for the gauge coupling and the dimensionless four-Fermi coupling, to wit,

$$\beta_{\alpha_g}(\alpha_{\text{walk}}) \rightarrow 0^- \quad \text{and} \quad \beta_{\bar{\lambda}_{\text{SP}}}(\alpha_{\text{walk}}) \rightarrow 0^-. \quad (80)$$

Note that in this scenario the walking regime of the gauge coupling is achieved first and the four-Fermi coupling is dragged toward its walking regime in a finite flow time. The smallness of the β functions in the walking regime is inversely proportional to its lengths, and $d\chi\text{SB}$ occurs at a finite flow time as the β function of the dimensionless four-Fermi coupling is negative for all $\bar{\lambda}_{\text{SP}}$, see Fig. 4 in Sec. III C 2 and the related discussion. The occurrence of $d\chi\text{SB}$ also terminates the walking of the gauge coupling and confinement follows suit.

As N_f^{crit} is infinitesimally approached from below, the walking coupling α_{walk} tends to the critical one from above, $\alpha_{\text{walk}} \rightarrow \alpha_{\chi\text{SB}}^{\text{crit}}$. This opens the limit where an infinite walking regime occurs as both couplings approach the critical one, $\alpha_{\text{walk}} \rightarrow \alpha_g^* \rightarrow \alpha_{\chi\text{SB}}^{\text{crit}}$. This leads to the disappearance of the $d\chi\text{SB}$ scale, $k_{\chi\text{SB}}$, toward zero and an infinite size walking regime as the conformal window is approached, as depicted in Fig. 14. The described mechanism explains the smooth and continuous disappearance of dynamics and the appearance of quantum scale invariance.

In conclusion, in this scenario the introduced condition (47) is both necessary and sufficient. Hence, the boundary between dynamics and conformality is given by the theories satisfying

$$\alpha_{\chi\text{SB}}^{\text{crit}} = \alpha_g^* : \beta_{\bar{\lambda}_{\text{SP}}} = 0 = \beta_{\alpha_g}. \quad (81)$$

A plausible but unlikely subscenario within the present class may be given when the $d\chi\text{SB}$ scale disappears at finite values as the conformal window is reached. This occurs if the CBZ fixed point profile displays a discontinuity in N_f . Then for $N_f < N_f^{\text{crit}}$, α_{walk} does not cross $\alpha_{\chi\text{SB}}^{\text{crit}}$ but remains at larger values. Then, the discontinuity at $N_f \geq N_f^{\text{crit}}$ is continuous with a fixed point at $\alpha_g^* < \alpha_{\chi\text{SB}}^{\text{crit}}$, realizing conformality. Here, the conformal-nonconformal phase transition is of first order.

2. Fixed-point mergers

The second possibility involves the CBZ fixed-point solution disappearing at values smaller than $\alpha_{\chi\text{SB}}^{\text{crit}}$, most

likely via a fixed-point merger. This mechanism, reviewed in Sec. II C, is indeed present in the perturbative five-loop $\overline{\text{MS}}$ β function for α_g [60,175,176]. Up to this order, and without further improvement of the perturbative series, the CBZ fixed point disappears at weak coupling values, much smaller than $\alpha_{\chi\text{SB}}^{\text{crit}}$. In this scenario, the lower boundary of the conformal window is determined by the merger rather than the appearance of a dynamical scale.

Furthermore, theories below the conformal window do not exhibit a quasifixed point in the flow of the gauge coupling, indicating the absence of walking for $N_f < N_f^{\text{crit}}$. The emergent walking regime discussed in Sec. V C now transitions to a regime where a similar scenario to the $N_f = 6$ case extends up to N_f^{crit} . The transition between the conformal and dynamical phases in this case matches that of the latter subscenario in Sec. VI A 1, which is of first-order type.

While this scenario cannot be entirely ruled out, we consider it unlikely. Notably, the fixed-point merger is absent after a (Padé or Borel) resummation [61] and does not appear in lower-loop orders of the coupling. The fRG inherently generates such a resummation via the $[\Gamma_k^{(2)} + R_k]^{-1}$ structure, which supports the resummation results. Moreover, lattice computations do not observe this scenario, instead finding indications of walking regimes. In summary, this suggests that fixed-point mergers are artifacts of the polynomial expansion of the β function in powers of α_g , where it is well-known that such expansions may not only introduce fixed-point mergers but also additional fixed points.

B. Boundary of the conformal window

In Sec. VI A, we have discussed the sufficient criteria for determining the conformal regime in various scenarios. In this section, we focus on the likely scenario discussed in Sec. VI A 1, where the CBZ fixed point disappears at larger values than the critical coupling $\alpha_{\chi\text{SB}}^{\text{crit}}$. The boundary between the conformal and dynamical regimes is then given by the equality in (81). For a quantitative determination of $N_f^{\text{crit}}(N_c)$, where this relation is satisfied, we require two pieces of information: the precise dependence of $\alpha_{\chi\text{SB}}^{\text{crit}}$ and the CBZ fixed point α_g^* on the number of flavors.

In the present approach with emergent composites, the absence of $d\chi\text{SB}$ (signaled by $\beta_{\lambda_{\text{sp}}} = 0$) appears as a globally positive curvature of the effective chiral potential (64) at $k = 0$. More precisely, $\partial_{\rho} V|_{\rho_0=0} > 0$, or equivalently, the first expansion coefficient $\lambda_{\phi,1,k=0} > 0$. The gauge dynamics strength that nullifies these quantities at $k = 0$ precisely determines $\alpha_{\chi\text{SB}}^{\text{crit}}$.

In particular, for the first time we consider higher order mesonic scattering effects. These effects are captured in the effective potential including high-order interactions in the mesonic fields up to order N_{max} . These terms accommodate key nonperturbative effects encoded in fermionic self-interactions up to power

$$(\bar{\psi} \mathcal{T}_{(S-P)} \psi)^{2N_{\text{max}}}, \quad (82)$$

with the resonant and dominant scalar-pseudoscalar tensor structure $\mathcal{T}_{(S-P)}$ defined in (A2). We emphasise that nonperturbative contributions are accounted for in particular for $N_{\text{max}} > 1$. These effects are crucial for a quantitative determination of N_f^{crit} , see the inlay in the right panel of Fig. 15, and are one of the novel advances of the present work. In the following analysis we employ $N_{\text{max}} = 5$ which shows well converged results as discussed in detail in Appendix G 1 and shown in the inlay of the right plot of Fig. 15.

The second ingredient for the determination of N_f^{crit} with (81) is the CBZ fixed-point values as a function of N_f . For a quantitative determination, we include higher loop orders of the α_g beta function derived in perturbative computations. Accordingly, we infuse this running into the hybrid nonperturbative approach employed in Sec. V and Appendix F 2 that includes both the multiloop perturbative running for small couplings and the emergence of $d\chi\text{SB}$ and confinement for larger values. This heuristically amounts to substituting the anomalous dimensions and β -function prefactors in the diagrams by those of higher-order perturbation theory. This procedure can be applied systematically and here we do a first step in this direction using directly perturbative β functions in the $\overline{\text{MS}}$ scheme, available up to several loop orders [60,177–180]. This approximation ignores the relative RG scales, which manifests itself, amongst other effects, in different Λ_{QCD} in the $\overline{\text{MS}}$ scheme and that used (implicitly) in the fRG, the MOM^2 scheme, see [181]. A detailed discussion of the respective errors, the systematic improvement of this scheme, and the incorporation of results from other perturbative approaches, such as the mMOM scheme [182,183], super-YM-inspired beta functions [184–187], and others, as well as the application of improvement techniques like Padé and Borel resummations [61,186], will be addressed in a separate work.

With these preparations, we are set up to predict the boundary of the conformal window. In the left panel of Fig. 15, we depict $\alpha_{\chi\text{SB}}^{\text{crit}}$ for arbitrary $\text{SU}(N_c)$ gauge theories with N_f fundamental fermions. Its profile decreases with N_c and is approximately constant in N_f . We mark the line of theories satisfying the critical condition (81) employing two- (dotted), three- (dashed) and four-loop (plain) $\overline{\text{MS}}$

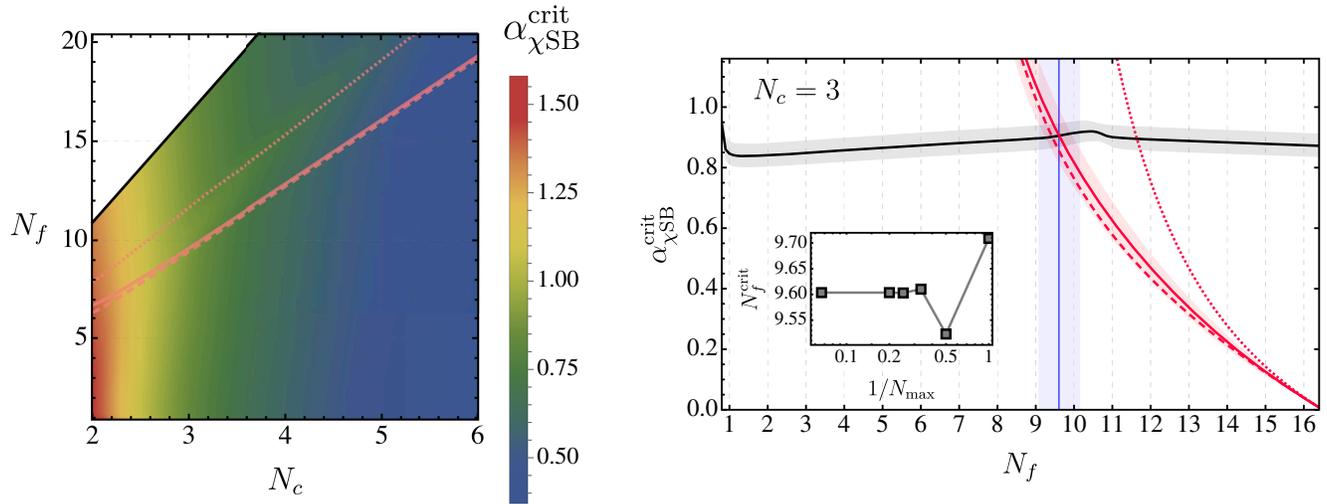


FIG. 15. On the left-side panel, the critical exchange gauge coupling $\alpha_{\chi\text{SB}}^{\text{crit}}$ for $SU(N_c)$ gauge theories with N_f flavors in the fundamental representation of the gauge group is displayed. The upper black line marks the loss of asymptotic freedom and the pink ones the lower boundary of the CBZ window considering two- (dotted), three- (dashed) and four-loop (plain) $\overline{\text{MS}}$ results. On the right-side panel, the same quantity is shown projected on $N_c = 3$. The grey-shaded area depicts the error on the $\alpha_{\chi\text{SB}}^{\text{crit}}$ determination and the red-shaded one, the error considered on the fixed-point value. The vertical blue line marks (83) and the respective shaded area the estimated error on its determination. See Appendix G and Fig. 27 for details on the systematic approach. In the inlay plot, we display N_f^{crit} as a function of the highest-order fermionic operators accounted for in (82), see Fig. 28 for a more detailed analysis.

results in the determination of α_g^* . On the right panel, we show the projection on to the $N_c = 3$ plane (black line) and display the fixed-point values for each of the perturbative beta functions studied (red lines). The critical coupling derived in the best approximation of the bosonized system matches the fixed-point value of the four-loop $\overline{\text{MS}}$ scheme at

$$N_f^{\text{crit}}(N_c = 3) = 9.60_{-0.53}^{+0.55}, \quad (83)$$

providing a nonperturbative and quantitative prediction for the boundary of conformality. A detailed analysis of error estimate determination as well as a comparison to different truncations and previous computations can be found detailed in Appendix G. In the following, we briefly discuss the two main sources of error.

First, the present approach uses input from high-order perturbative computations for the beta-function coefficients and fixed-point values which are scheme dependent and subject to an error. For the employed beta functions we estimate an error of 10% in the magnitude of the fixed-point value (red shaded area in the right plot in Fig. 15). We find this to be a good estimate given the relative difference of the four- and three-loop ones is less than 3%.

The second source of error in (83) comes from the determination of $\alpha_{\chi\text{SB}}^{\text{crit}}$ in the fRG. A detailed analysis as well as numerous checks of the truncation have been performed in Appendix G, see Figs. 27 and 28, concluding that the results presented are very stable and all the aspects of the truncation are well converged. However, we consider

a 5% error (grey band) for unaccounted tensor structures in the gauge-fermion vertex, see discussion in Appendix D.

We close this section with a discussion on a commonly used approach for diagnosing the appearance of $d\chi\text{SB}$. It is based on a perturbative computation of the anomalous dimension γ_m of the fermion mass, see (18) in Sec. II C. It is suggestive that $d\chi\text{SB}$ occurs for a fixed-point value $|\gamma_m^*| \sim 1$. The nonperturbative fRG approach used allows us to assess the accuracy and reliability of such a determination of the boundary of the conformal regime. The details of the computation of γ_m^{fRG} are presented in Appendix G 3. We are led to (G5) for the anomalous dimension γ^{fRG} which effectively incorporates higher orders of perturbation theory as well as the dynamics of chiral symmetry breaking absent in the standard purely perturbative setup. We quote the result on the four-loop $\overline{\text{MS}}$ fixed-point values for $N_c = 3$ and N_f^{crit} in Appendix G 3,

$$|\gamma_m^{\text{fRG},*}|(N_f^{\text{crit}}) = 1.49. \quad (84)$$

In Fig. 16, the anomalous dimensions are evaluated at the four-loop $\overline{\text{MS}}$ fixed point for different N_f . The functional results lie close to the three-loop anomalous dimensions for $N_f \gtrsim 10$, before nonperturbative effects become significant. Indeed, in the nonperturbative computation we observe a rapid growth in $|\gamma_m^{\text{fRG}}|$ as the critical flavor number is approached and with a mild non-trivial flattening close to the boundary. This effect is driven by high-order mesonic interactions leading to $\bar{m}_\phi^* \lesssim 10$ and manifests

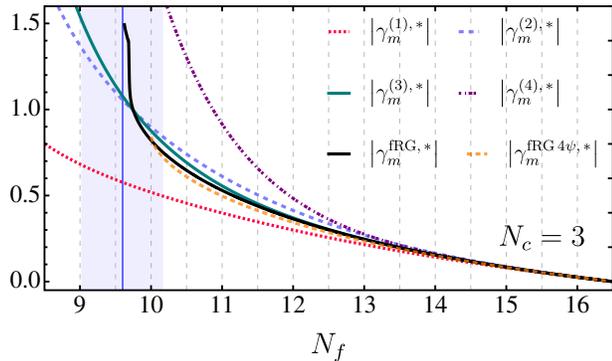


FIG. 16. Comparison between the absolute values of the nonperturbative fRG fermion mass anomalous dimension [γ_m^{fRG} in (G5), black line], that obtained in the pure four-Fermi language ($\gamma_m^{\text{fRG}4\psi}$, orange dashed) and the up to one- ($\gamma_m^{(1)}$, red dotted), two- ($\gamma_m^{(2)}$, light blue dashed), three- ($\gamma_m^{(3)}$, green plain) and four-loop ($\gamma_m^{(4)}$, dark purple dot-dashed) $\overline{\text{MS}}$ expressions [177] evaluated on the four-loop $\overline{\text{MS}}$ fixed-point values. As a vertical blue line, we depict the critical number of flavors (83) with the estimated error (shaded band).

itself in the thresholds encoded in (G5). In summary, this highlights the importance of a nonperturbative treatment of gauge-fermion systems even within the conformal window. Moreover, it provides nontrivial evidence for the potential existence of strongly interacting conformal field theories at the lower boundary of the CBZ window, which may have interesting phenomenological consequences.

This result has to be contrasted with the perturbative one-, two-, three-, and four-loop $\overline{\text{MS}}$ results [177],

$$|\gamma_m^{(i),*}|(N_f^{\text{crit}}) = \{0.58, 1.05, 1.08, 2.64\}, \quad (85)$$

respectively. Interestingly, there are large differences between (85) and (84), if comparing approximations that accommodate the same order of perturbation theory deep in the conformal regime. We conclude from Fig. 16 that this difference stems from the rapid rise of γ_m^{fRG} close to the lower boundary of the conformal regime. This rise originates in the strong chiral dynamics close to the boundary which cannot be captured within perturbation theory due to its nonperturbative nature. In our opinion this emphasizes the lack of quantitative precision of the perturbative estimate. However, the present functional determination also shows that the perturbative estimate employing the two- and three-loop expressions provides a successful rough estimate.

VII. SUMMARY AND CONCLUSIONS

We have studied the emergence and interplay between color confining and chiral symmetry breaking dynamics in the landscape of gauge-fermion theories. We use the fRG

for resolving the quantum fluctuations in a nonperturbative fashion. For the first time, we address the confinement and chiral symmetry breaking dynamics in the many-flavor limit within a first principle continuum approach to gauge-fermion theories. Specifically, our results connect the QCD-like limit, well in agreement with data from lattice and quantitative fRG computations in QCD, to the conformal CBZ regime. At the root of this analysis is a new simplified and semianalytical treatment of confinement in the functional RG approach with quantitative power. This has allowed us to study chiral and confining dynamics self-consistently and to depart toward the uncharted many-flavor–many-color regime. We investigate different gauge phases and the impact on fundamental parameters such as the fermion constituent mass, the chiral condensate and the relevant scales. The respective details can be found in Secs. III B and IV and Appendixes C 3 and E.

Below we emphasize the main physics results and refer for the detailed discussion of the results to Secs. V and VI.

In particular, we have studied the interplay of gauge and chiral dynamics in the plane of gauge-fermion theories for the first time. We explored the landscape of theories from the QCD to the conformal limit, see Fig. 17. We analyzed the change in the scales relevant for confinement and χ SB dynamics as well as the value of fundamental parameters such as the chiral condensate, the constituent fermion mass and the mass of the σ mode. For this we have

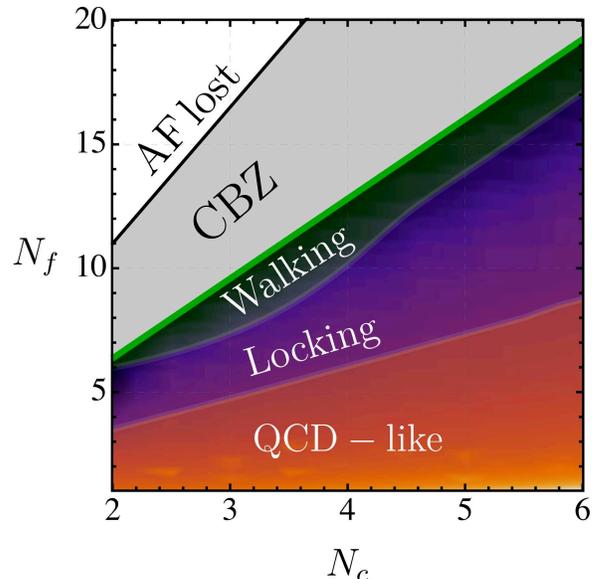


FIG. 17. Regimes of $SU(N_c)$ gauge theories with N_f fundamental flavors. Above the top black line at $N_f \approx 5.5N_c$, asymptotic freedom is lost. The CBZ conformal window is depicted by a shaded grey region. Below the green line at $N_f \approx 3.2N_c$, confining and chirally breaking dynamics appear. In the close-conformal region a regime with near conformal scaling is present where $k_{\text{conf}}/k_{\chi\text{SB}} \lesssim 1$ and for fewer flavors, chiral and confining dynamics dominate in the locking and QCD-like regimes.

developed and improved a semiperturbative approximation which combines the virtues from both perturbative and functional approaches. This framework naturally allows access to theories displaying nontrivial features such as walking. We provide for the first time estimates to a intricate quantities such as the size of the walking regime and study the close-conformal scaling, see also the discussion in Sec. V and Appendix F.

A further important result is the quantitative estimate of the lower boundary of the conformal window. The functional approach to emergent composites allows one to precisely diagnose the presence of $d\chi$ SB. Adapting $\overline{\text{MS}}$ scheme results, we expect $N_f^{\text{crit}} \simeq 9.6$ for $N_c = 3$, see Equation (83). In this context, we also assess the standard approach to approximately diagnose χ SB via γ_m and present evidence for potentially relevant nonperturbative effects within the conformal region. For details see Sec. VI and Appendix G 2.

In summary, we have provided a top-bottom framework to study extensions of the SM with novel strong sectors. One potential application is the dynamical generation of the electroweak scale or of dark matter. Such inherently non-perturbative extensions can now be easily investigated and self-consistently tested on functional implementations of

the complete SM [25,26]. We hope to report on this and related subjects in the near future.

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DATA AVAILABILITY

The data are not publicly available. The data are available from the authors upon reasonable request.

APPENDIX A: DETAILS OF THE FULL EFFECTIVE ACTION

In this appendix we provide further details on the truncation of the effective action discussed in Secs. III B and III D. The pure gauge sector part of (19) reads

$$\begin{aligned} \Gamma_{\text{glue},k}[A, c, \bar{c}] = & \frac{1}{2} \int_p A_\mu^a(p) \left[Z_{A,k}(p^2 + m_{\text{gap},k}^2) \Pi_{\mu\nu}^\perp(p) + \frac{1}{\xi} Z_{A,k}^\parallel(p^2 + m_{\text{mSTI},k}^2) \frac{p_\mu p_\nu}{p^2} \right] A_\nu^a(-p) \\ & + \frac{1}{3!} \int_{p_1, p_2} Z_{A,k}^{3/2} \lambda_{A^3,k} \left[\mathcal{T}_{A^3}^{(1)}(p_1, p_2) \right]_{\mu_1 \mu_2 \mu_3}^{a_1 a_2 a_3} \prod_{i=1}^3 A_{\mu_i}^{a_i}(p_i) \\ & + \frac{1}{4!} \int_{p_1, p_2, p_3} Z_{A,k}^2 \lambda_{A^4,k} \left[\mathcal{T}_{A^4}^{(1)}(p_1, p_2, p_3) \right]_{\mu_1 \mu_2 \mu_3 \mu_4}^{a_1 a_2 a_3 a_4} \prod_{i=1}^4 A_{\mu_i}^{a_i}(p_i) + \int_p Z_{c,k} \bar{c}^a(p) p^2 \delta^{ab} c^b(-p) \\ & + \int_{p_1, p_2} Z_{c,k} Z_{A,k}^{1/2} \lambda_{c\bar{c}A,k} \left[\mathcal{T}_{c\bar{c}A}^{(1)}(p_1, p_2) \right]_{\mu}^{a_1 a_2 a_3} \bar{c}^{a_2}(p_2) c^{a_1}(p_1) A_\mu^{a_3}(-p_1 - p_2). \end{aligned} \quad (\text{A1})$$

Here we have pulled out the wave functions of the fields, rendering the respective vertex dressings RG invariant. In contradistinction to (32), we have kept the cutoff dependencies of dressings and couplings explicit. $\mathcal{T}_{\mathcal{O}}^{(i)}$ stands for the tensor structures of the different operators containing the color (a_i) and Lorentz (μ_i) indices and momentum structure. The first line includes the transversal and longitudinal components of the gauge two-point function with the gauge-fixing part. The second line contains the gauge three- and four-point functions with the classical tensor structures. The last line contains the terms corresponding to the ghost part of the action. For further details we refer to [29] where the same truncation for the glue sector is employed.

The matter part of the full effective action includes the Dirac term in (40), the four-fermion interactions in (42) and the high-order scalar-pseudoscalar fermionic interactions

in the form of a mesonic action in (52). The four-Fermi action in (42) accounts for a Fierz complete flavor and color basis [29,129,188] with the vector and pseudovector tensor structure

$$\begin{aligned} \mathcal{T}_{(V-A)} &= (\bar{\psi} \gamma_\mu T_f^0 \psi)^2 + (\bar{\psi} \gamma_\mu \gamma_5 T_f^0 \psi)^2, \\ \mathcal{T}_{(V+A)} &= (\bar{\psi} \gamma_\mu T_f^0 \psi)^2 - (\bar{\psi} \gamma_\mu \gamma_5 T_f^0 \psi)^2, \\ \mathcal{T}_{(V-A)^{\text{adj}}} &= (\bar{\psi} \gamma_\mu T_f^0 T_f^a \psi)^2 + (\bar{\psi} \gamma_\mu \gamma_5 T_f^0 T_f^a \psi)^2, \end{aligned} \quad (\text{A2a})$$

with

$$T_f^0 = \frac{\mathbb{1}_{i,j}}{\sqrt{2N_f}} \quad \text{with} \quad \text{tr}[(T_f^0)^2] = 1/2, \quad (\text{A2b})$$

and T_f^a being the generators of the $\text{SU}(N_f)$ flavor group.

In the presence of anomalous $U(1)_A$ -breaking, the dominant scalar pseudo-scalar (S-P) channel has to be written as a sum of tensor structures of the $(\sigma - \pi)$ and $(\eta - a)$ modes,

$$\mathcal{T}_{(S-P)} = \mathcal{T}_{(\sigma-\pi)} + \mathcal{T}_{(\eta-a)}, \quad (\text{A3a})$$

with

$$\begin{aligned} \mathcal{T}_{(\sigma-\pi)} &= (\bar{\psi} T_f^0 \psi)^2 - (\bar{\psi} \gamma_5 T_f^a \psi)^2 \\ \mathcal{T}_{(\eta-a)} &= (\bar{\psi} T_f^a \psi)^2 - (\bar{\psi} \gamma_5 T_f^0 \psi)^2. \end{aligned} \quad (\text{A3b})$$

APPENDIX B: REGULATORS

The regulator R_k is a block diagonal matrix in field space with the matrix elements

$$R^{AA} = R_A, \quad R^{c\bar{c}} = R_c, \quad R^{\psi\bar{\psi}} = R_\psi, \quad (\text{B1})$$

where we suppressed the momentum dependence as well as the Lorentz, Dirac, flavor and gauge group indices in the notation. All other entries are vanishing or follow by crossing symmetry, leading to

$$R_k = \begin{pmatrix} R_A & 0 & 0 & 0 & 0 \\ 0 & 0 & -R_c & 0 & 0 \\ 0 & R_c & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -R_\psi \\ 0 & 0 & 0 & R_\psi & 0 \end{pmatrix}. \quad (\text{B2})$$

One of the goals of the present computation is to provide an analytic access to the computations. Therefore, we employ the flat or Litim regulators introduced in [189] which allows for an analytic treatment of the flow equations,

$$\begin{aligned} R_{A,c}(p^2) &= Z_\varphi p^2 r_{A,c}(x), \\ R_\psi(p^2) &= i Z_\psi \gamma_\mu p_\mu r_\psi(x), \end{aligned} \quad (\text{B3})$$

with $x = p^2/k^2$ and

$$\begin{aligned} r_{A,c}(x) &= (1/x - 1)\theta(1-x), \\ r_\psi(x) &= (1/\sqrt{x} - 1)\theta(1-x), \end{aligned} \quad (\text{B4})$$

for the gauge field and ghost A , c , and the fermion ψ , respectively.

APPENDIX C: FLOW EQUATIONS

In this section we provide the derivation of the flow equations employed in this work. The respective flows have been derived from the flow of different two-, three- and four-point functions. All flows have been derived at the symmetric point configuration and vanishing momenta.

1. Anomalous dimensions

The anomalous dimensions of any component $\varphi = \Phi_i$ of the superfield (20),

$$\eta_\varphi = -\frac{\partial_t Z_{\varphi,k}}{Z_{\varphi,k}} \quad (\text{C1})$$

have been derived from the respective two-point functions by applying the suitable projection,

$$\partial_t Z_{\varphi,k} = \partial_{p^2} \partial_t \Gamma_k^{(\varphi\varphi)}(p^2) \Big|_{p=0}. \quad (\text{C2})$$

In this work we obtain a system of anomalous dimensions including η_A , η_c , η_ψ , η_π and η_σ . Its resolution involves a resummation, and the resummed anomalous dimensions are used in the flows.

2. Flow of the gauge avatars

In (38) and (41) we have defined the exchange couplings for each of the gauge avatars of the effective action. The flow for the fermion-gauge coupling reads

$$\begin{aligned} \partial_t \lambda_{A\bar{\psi}\psi} &= \left(\frac{1}{2} \eta_A + \eta_\psi \right) \lambda_{A\bar{\psi}\psi} \\ &+ \frac{1}{Z_A^{1/2} Z_\psi} \frac{\text{tr}[\mathcal{P}^{(A\bar{\psi}\psi)} \partial_t \Gamma_k^{(A\bar{\psi}\psi)}]}{\text{tr}[(\mathcal{P}^{(A\bar{\psi}\psi)})^2]} \Big|_{p=0}. \end{aligned} \quad (\text{C3})$$

Here, $\mathcal{P}^{(A\bar{\psi}\psi)} = i\gamma_\mu \delta_{a_1 a_2} T_{ij}$ is the projection operator carrying the classical tensor structure and the trace sums over gauge group, flavor, Lorentz and Dirac indices. The flows of the other avatars have been obtained analogously to (C3) from the projection with the classical tensor structures of the flows of the respective n -point functions. Their derivation as well as their explicit forms in the same truncation employed in this work can be found in [29,128,131]. We close this discussion with the remark that the tensor bases are not orthogonal, and the projection procedure above also includes the dressings of other tensor structures.

3. Flow of the gauge two-point function

In covariant gauges confinement is carried by the mass gap in the gauge propagator as explained in Secs. II A and III B. One of the novel achievements in this work is the inclusion of the confining mass gap in a rather simple and semianalytical manner, enabled by an appropriate parametrization and approximation of the gauge boson propagator, see (28) and (29) in Sec. III B. As explained there, the approximation (28) is well justified for the propagators in all loop diagrams which are evaluated at vanishing external momenta, $p_i = 0$, or more generally for momenta $p_i^2 \lesssim k^2$. For the following discussion we restrict ourselves to $p_i = 0$, the case also taken in the explicit computations

in the present work. Then, all propagators only depend on the loop momentum q , which only takes momentum values $q^2 \lesssim k^2$, due to the insertion $\partial_t R_k(q)$. This allows for a Taylor expansion about any expansion point in this regime. The best expansion point is at $q^2 = k^2$, as the integrands of all diagrams peak close to this momentum. However, such an expansion point requires a numerical treatment of the loop integral. In turn, for an expansion at $q = 0$ we obtain analytic flows. This facilitates the access to the physics dynamics of the large set of coupled flows equations considered here. Moreover, it leads to a significant speed-up of the computation and is the backbone of the present versatile framework to investigate a large number of theories. We remark that fully momentum-dependent computations will be considered elsewhere, based on [29].

a. Projection operators for m_{gap}^2 and Z_A

It is left to specify the projection procedure on the pair Z_A, m_{gap} which optimizes the accuracy and provides maximal stability of the scheme. As discussed in Sec. III B, the momentum dependent propagator $G_A(p)$ with

$$G_A(p) = \frac{1}{Z_A} \frac{1}{p^2 [1 + r_A(p^2/k^2)] + m_{\text{gap}}^2}, \quad (\text{C4})$$

is dominated by its momentum-independent part for $p^2 \lesssim k^2$,

$$G_A(p) - G_A(0) = G_A(p) \frac{1 - x[1 + r_A(x)]}{1 + \bar{m}_{\text{gap}}^2}, \quad (\text{C5})$$

with $x = p^2/k^2$ and the dimensionless mass gap $\bar{m}_{\text{gap}}^2 = m_{\text{gap}}^2/k^2$, see (35). Note that the structure of (C5) is also present when using the full momentum-dependent propagator. The difference in (C5) is proportional to the propagator itself and the second factor is a ratio of a universal numerator that does not depend on the parameters $Z_A, \bar{m}_{\text{gap}}^2$ and the full mass gap at vanishing momentum, $1 + \bar{m}_{\text{gap}}^2$. Due to the denominator, this term rapidly decays for all momenta in the confining regime $k \lesssim k_{\text{conf}}$ with $\bar{m}_{\text{gap}}^2 \rightarrow \infty$. In turn, for $k \gg k_{\text{conf}}$, the denominator tends toward unity and only the universal numerator is left. It is regulator dependent, which allows us to minimize the difference (C5), hence optimizing the approximation of the propagator used in the loops. For the flat regulator (B4) used in the present work we get

$$[1 - x + x r_A(x)]_{x \leq 1} \equiv 0. \quad (\text{C6})$$

In conclusion, the flat regulator is tailor-made for the current approximation as it minimizes the error of the approximation. Moreover, if using the flow at the gauge two-point function at vanishing momentum for deriving $Z_{A,k} m_{\text{gap},k}^2$, (C6) also holds true for the difference between

the full momentum-dependent propagator and the approximated one at $p = 0$. Consequently we are led to

$$\partial_t (Z_A m_{\text{gap}}^2) = \frac{\text{tr}[\mathcal{P}^{(AA)} \partial_t \Gamma_k^{(AA)}]}{\text{tr}[(\mathcal{P}^{(AA)})^2]} \Big|_{p=0}, \quad (\text{C7})$$

and the final analytic equation reads

$$\partial_t (Z_A m_{\text{gap},k}^2) = Z_A k^2 \overline{\text{Flow}}_{AA}(0), \quad (\text{C8})$$

where we have separated a dimensionful part $Z_A k^2$ of the right-hand side of (C7), where Z_A carries the RG running of the gauge two-point function. The remaining part $\overline{\text{Flow}}_{AA}(0)$ is RG invariant, and is evaluated at vanishing momentum. After performing the traces and loop integrations we are led to

$$\begin{aligned} \overline{\text{Flow}}_{AA}(0) = & \frac{1}{4(4\pi)^2} \left[-\frac{8N_c \lambda_{A\bar{c}c}^2}{6} \left(1 - \frac{\eta_c}{8}\right) + \frac{8N_c \lambda_{A^3}^2}{(1 + \bar{m}_{\text{gap}}^2)^3} \right. \\ & \times \left(1 - \frac{\eta_A}{8}\right) - \frac{18N_c \lambda_{A^4}^2}{2(1 + \bar{m}_{\text{gap}}^2)^2} \left(1 - \frac{\eta_A}{6}\right) \\ & \left. + \frac{4N_f \lambda_{A\bar{\psi}\psi}^2 (1 + 3\bar{m}_{\psi}^2)}{(1 + \bar{m}_{\psi}^2)^3} \left(1 - \frac{\eta_{\psi}}{5}\right) \right]. \quad (\text{C9}) \end{aligned}$$

It is left to fix the projection on the flow of the wave function Z_A . Here we shall use its subleading nature to our advantage: for large cutoff scales with $k \gg k_{\text{conf}}$, the approximation leaves us with the choice to store the perturbative marginal running of the wave function either in Z_A or solely in m_{gap}^2 , see (29). In view of momentum dependences it is more natural to store it in Z_A . Moreover, this choice leads to better results if reading out full momentum dependences from the flows as done in [29]. This leads us to

$$\eta_A = -\frac{\partial_t Z_{A,k}}{Z_{A,k}} = -\frac{\partial_{p^2} \text{tr}[\mathcal{P}^{(AA)} \partial_t \Gamma_k^{(AA)}]}{Z_{A,k} \text{tr}[(\mathcal{P}^{(AA)})^2]} \Big|_{p=0}, \quad (\text{C10})$$

for $k \gtrsim k_{\text{conf}}$. In turn, for $k \lesssim k_{\text{conf}}$, the propagator is dominated by the mass gap with $\bar{m}_{\text{gap}}^2 \rightarrow \infty$ for $k \rightarrow 0$. In this regime the choice of Z_A is dictated by computational convenience and its occurrence in the regulator: For example, a choice of Z_A with $\eta_A > 2$ deforms the low momentum regime of the regularized theory with $p^2 \lesssim k^2$ and $k \rightarrow 0$. In this regime the regulator diverges at $p = 0$ with $k^{2-\eta_A} \rightarrow \infty$. We note in passing that $\eta_A < -2$ is also a problem as it indicates a dispersion of the gauge field with $1/p^{2-\eta_A}$ which is not a tempered distribution as it diverges with more than $1/p^4$.

While $\eta_A > 2$ is not problematic for approximations with full momentum dependences, it destabilizes Taylor expansions about vanishing or small momenta. For this reason we

choose a constant Z_A with

$$\eta_A = 0 \quad \text{for } k \lesssim k_{\text{conf}}. \quad (\text{C11})$$

Choosing different freezing scales does not have an impact on the correlation functions, as all information on Z_A at these scales is carried by the cutoff dependence of the mass gap. This closes the discussion of the projection procedures for m_{gap}^2 and Z_A .

b. Confining m_{gap}^2

The Kugo-Ojima criterion for a confining solution is satisfied for a unique choice of the initial mass gap parameter $m_{\text{gap},\Lambda_{\text{UV}}}^2$ or rather its dimensionless version $\bar{m}_{\text{gap},\Lambda_{\text{UV}}}^2$ at the initial cutoff scale Λ_{UV} , see Appendix E for more details. Here we discuss the more technical part of simplifying the related tasks. An obvious one concerns the fine-tuning problem, for more details see [141], and a further one concerns the gauge consistency over several orders of magnitudes, for respective discussions see [190]. We start this analysis with the discussion of the flow of \bar{m}_{gap}^2 , which is readily deduced from (C8),

$$\partial_t \bar{m}_{\text{gap}}^2 = (-2 + \eta_A) \bar{m}_{\text{gap}}^2 + \overline{\text{Flow}}_{AA}(0), \quad (\text{C12})$$

with (C9). We conclude that the mass gap has a quadratic running with the cutoff scale for $\overline{\text{Flow}}_{AA}(0) \neq 0$, leading to a quadratic fine-tuning problem: the Kugo-Ojima criterion for the confining solution is achieved for the unique initial condition $m_{\text{gap},\Lambda_{\text{UV}}}^2 = m_{\text{scaling},\Lambda_{\text{UV}}}^2$, which is proportional to Λ_{UV}^2 .

This difficult task can be marginalized with the following observations: as discussed in Sec. III B 2, the transverse mass agrees with the longitudinal mass for cutoff scales larger than the scale k_{conf} , at which the confining dynamics kicks in, see (33). In the present work we have approximated this scale by the peak scale of the gauge field propagator, thus providing a lower bound. Moreover, we may choose a combination of regulators R_k in (B2) such that the longitudinal mass vanishes for all cutoff scales. This is an STI-optimized choice and the existence of such regulator combinations can readily be inferred from (C9): the different terms in (C8) come with different signs. The three-gauge vertex and fermion-gauge terms come with positive signs while the ghost-gauge and in particular the four-gauge term come with a negative one. For the regulators used here, see Appendix B, the latter term dominates the pure gauge part of the flow, which comes with a negative sign. This is seen in the quantitative YM computation in [141], where the regulator shape functions $r_{A,c}$ and the cutoff scales of gluons and ghosts can be used to achieve the exact cancelation of $m_{\text{mSTI},k}^2$.

In gauge-fermion systems, the positive terms will start dominating for sufficiently many flavors, in the present

approximation and combination of regulators this happens for $N_f > N_c$. However, due to the different signs of the diagrams we can use combinations R_k in (B2) of regulators and cutoff scales such that $\overline{\text{Flow}}_{AA}(0)$ vanishes in the perturbative regime. This leaves us with

$$\overline{\text{Flow}}_{AA}(0)(R_k) \stackrel{!}{=} 0, \quad \text{for } k \gtrsim k_{\text{conf}}, \quad (\text{C13})$$

and the flow $\partial_t(Z_A m_{\text{gap},k}^2) = 0$ vanishes in this regime and we arrive at

$$m_{\text{gap},k_{\text{conf}}} = m_{\text{gap},\Lambda_{\text{UV}}} = m_{\text{scaling},\Lambda_{\text{UV}}}, \quad (\text{C14})$$

as depicted in Fig. 2. With (C14) the fine-tuning problem is resolved as the quadratic running in the UV regime over many orders of magnitude is absent. For smaller cutoff scales, (33) fails and (C9) is genuinely nonzero for all regulator choices.

While the adjustment of (C13) with an appropriate choice of R_k or different cutoff scales of A , c , ψ poses no technical challenges, we resort to a more hands-on procedure: for $k \gtrsim k_{\text{conf}}$ the mass gap may be put to zero in the flows for any choice of R_k as its impact is subleading. In turn, for $k \lesssim k_{\text{conf}}$ it has to be taken into account as $\bar{m}_{\text{gap},k}^2 \rightarrow \infty$ for $k \rightarrow 0$. This leads us to

$$m_{\text{gap},k}^2 = \theta(\gamma_{\text{conf}} k_{\text{conf}} - k) m_{\text{scaling}}^2, \quad (\text{C15a})$$

with

$$1 \leq \gamma_{\text{conf}} \lesssim 2, \quad (\text{C15b})$$

where $m_{\text{scaling}}^2(\gamma_{\text{conf}} k_{\text{conf}})$ is the γ_{conf} -dependent unique choice of the mass gap at $\gamma_{\text{conf}} k_{\text{conf}}$, leading to scaling in the IR. In (37), k_{conf} has been defined by the peak position rather than the cutoff scale, where the confinement dynamics does kick in. Clearly γ_{conf} is larger than unity, but the confinement dynamics starts close to this scale. We consider a maximal value of $2k_{\text{conf}}$ as a conservative estimate for the upper value and this estimate is informed by the analysis in [141].

Moreover, as N_f is increased for a fixed N_c , the fermionic contributions in (C9) lead to a significant linear growth of m_{mSTI} linearly with N_f in the perturbative regime. However, as argued in Sec. III B 2, m_{mSTI} vanishes identically at $k = 0$ and its removal within the flow requires full an approximation with momentum dependences of the correlation functions of the gauge field. Consequently, the importance of these momentum dependences grows with the successive increase of m_{mSTI} . Furthermore, the Schwinger mechanism with its dynamical generation of the gauge field mass gap only leads to a mild linear growth of m_{gap} , approximately an order of magnitude smaller than the growth of m_{mSTI} . Consequently, within the present

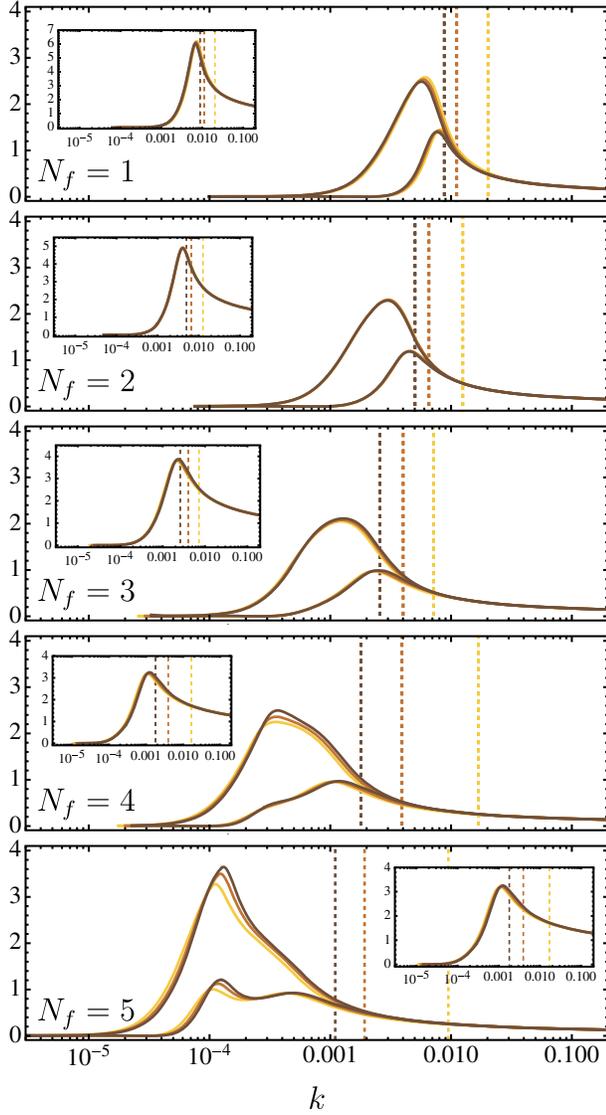


FIG. 18. Gauge-fermion (highest peaking curves) and three-gauge (lowest peaking curves) exchange couplings as defined in (38) and (41) in an SU(3) gauge theory with $N_f = 1$ (top panel) to 5 (bottom panel) fundamental flavors with different onset scales (vertical dashed lines) of the power-law running in the flow of the gluon mass gap. All flows are started at the same UV perturbative scale with same initial conditions. In the inlay plots, we display the gauge field wave function renormalization and the onset scales (vertical dashed lines) defined in (C15b).

simplified approximation, we account for these subtle dependences by setting $N_f = N_c$ for $N_f > N_c$ in the fermionic contribution to the quadratic part of the flow in (C9). We note that the full flavor dependence is still encoded in the dressings and anomalous dimensions.

We emphasize that the procedure detailed above is self-adjusting for the approximation artifacts: $m_{\text{gap},k}^2 = 0$ for $k \geq \gamma_{\text{conf}} k_{\text{conf}}$ and $m_{\text{scaling}}^2(\gamma_{\text{conf}} k_{\text{conf}})$ is chosen such that scaling is obtained. Moreover, we check this insensitivity of

this approximation by varying γ_{conf} and the results of this check are depicted in Fig. 18. There we show the gauge-fermion (highest peaking curves) and three-gauge (lower peaking curves) exchange couplings for a SU(3) gauge theory with $N_f = 1$ to 5 flavors. The inlays contain the respective dressing functions of the gauge field. All flows are initiated with the same boundary conditions at a Λ_{UV} scale and only the onset scale (vertical dashed line) of the power-law term in the flow of the mass is varied. An early onset (yellow lines) triggers a separation between the avatars and forces a deviation from the mSTI at higher scales. This can be noted for example in the $N_f = 5$ case. For low number of flavors $N_f \lesssim 3$, we observe that different onsets do not lead to significant effects in the couplings.

4. Flow of the four-Fermi couplings

Part of the high-order correlations of the full effective action are carried by the four-Fermi interactions which are accounted for in the Fierz complete basis in (42). The flow of the respective dimensionless RG-invariant couplings $\bar{\lambda}_j = \lambda_j k^2$ can be obtained by adequate projections of the flow of the fermionic four-point function

$$\partial_t \bar{\lambda}_j = (2 + \eta_\psi) \bar{\lambda}_j + \text{tr}[\mathcal{P}_j^{(\bar{\psi}\psi\bar{\psi}\psi)} \partial_t \Gamma_k^{(\bar{\psi}\psi\bar{\psi}\psi)}], \quad (\text{C16})$$

where $j = \begin{pmatrix} \sigma-\pi \\ \text{SP} \end{pmatrix}, \begin{pmatrix} \eta-a \\ \text{SP} \end{pmatrix}, \pm$ and VA. In particular, a basis of orthonormal projectors can be constructed such that

$$\mathcal{P}_j^{(\bar{\psi}\psi\bar{\psi}\psi)} \Gamma_k^{(\bar{\psi}\psi\bar{\psi}\psi)} = -Z_\psi^2 \lambda_j. \quad (\text{C17})$$

This set of projection operators can be systematically constructed and we refer the interested reader to, e.g., [29,191,192] for the procedure. Furthermore, we note as an important benchmark check that the results for these analytic flows are in exact agreement with those in [112,158,159], where similar sets of operators have been considered.

5. Flows of the bosonized sector

In this appendix, we discuss the derivation of the flow equations for the Yukawa coupling h_ϕ between fermions and mesons, as well as the chiral effective potential. Combined with the meson kinetic term, these flows generate higher-order fermionic self-interactions in the scalar-pseudoscalar channel; see Sec. III D.

If using the emerging composite formulation for the $(\sigma - \pi)$ channel, higher-order fermionic interactions in this channel are represented as bosonic self-interactions. They are accounted for in the mesonic effective potential (64). We have considered a polynomial expansion of the potential about the flowing minimum similar to that in [131], see (64). We have studied its convergence and have taken

into account a sufficiently high order to guarantee it: $N_{\max} = 5$.

The effective potential is simply the effective action, evaluated for constant fields and divided by the space-time volume,

$$V(\rho) = \frac{1}{\mathcal{V}_4} \Gamma[0, \phi_c], \quad (\text{C18})$$

where ϕ_c indicates the constant mesonic field, and 0 indicates that the other fields are set to zero. Hence its flow is that of the effective action, divided by \mathcal{V}_4 . Below we provide the flow equation of the dimensionless renormalized version (65),

$$\begin{aligned} \overline{\text{Flow}}_V &= \frac{\partial_t |_{\rho} V(\rho)}{k^4} = \partial_t |_{\rho} u(\bar{\rho}) + 4u(\bar{\rho}) \\ &= \frac{1}{(4\pi)^2} \left[\frac{3N_c(1 - \eta_A/6)}{2(1 + \bar{m}_{\text{gap}}^2)} - N_c(1 - \eta_c/6) \right. \\ &\quad + \frac{(N_f^2 - 1)(1 - \eta_\pi/6)}{2(1 + \bar{m}_\pi^2)} + \frac{(1 - \eta_\sigma/6)}{2(1 + \bar{m}_\sigma^2)} \\ &\quad \left. - \frac{2N_f N_c(1 - \eta_\psi/5)}{(1 + \bar{m}_\psi^2)} \right], \end{aligned} \quad (\text{C19})$$

where no functional field derivatives have been performed. Equation (C19) grants access to the flow of the dimensionless and renormalized expansion coefficients, $\bar{\lambda}_{\phi,n} = \lambda_{\phi,n} k^{2n-4}$, by performing $\bar{\rho}$ derivatives

$$\begin{aligned} \partial_t \lambda_{\phi,n} &= \left\{ \partial_{\bar{\rho}}^n [\overline{\text{Flow}}_V - 4u(\bar{\rho})] \right. \\ &\quad \left. + (2 + \eta_\phi) \partial_{\bar{\rho}}^n [\bar{\rho} \partial_{\bar{\rho}} u(\bar{\rho})] \right\}_{\bar{\rho}=\bar{\rho}_0} + \partial_t \bar{\rho}_0 \lambda_{\phi,n+1}, \end{aligned} \quad (\text{C20})$$

and to the flow of renormalized and dimensionless minimum of the potential,

$$\begin{aligned} \partial_t \bar{\rho}_0 &= -\frac{1}{\partial_{\bar{\rho}}^2 u(\bar{\rho})} \left\{ \partial_{\bar{\rho}} [\overline{\text{Flow}}_V - 4u(\bar{\rho})] \right. \\ &\quad \left. + (2 + \eta_\phi) \partial_{\bar{\rho}} [\bar{\rho} \partial_{\bar{\rho}} u(\bar{\rho})] \right\}_{\bar{\rho}=\bar{\rho}_0}, \end{aligned} \quad (\text{C21})$$

from which the order parameter (58) is obtained. For the anomalous dimensions in (C20) and (C21) we have employed the respective to the pion modes, η_π as $\eta_\phi(\rho) = \eta_\pi(\rho)$, while Z_σ also includes $2\rho \partial_\rho Z_\phi$.

Next, we discuss the flow of the Yukawa coupling. We consider a single avatar of this coupling, which is an immediate consequence of dropping the ρ derivatives of h_ϕ in the minimum, see (54) and (68) and the discussion there. As for the anomalous dimension η_ϕ discussed above, we compute the uniform $h_\sigma = h_\phi = h_\pi$ from the flow of the fermion two-point function projected on T_f^0 as in [29,128,131], which provides $h_\phi(\rho)$.

The respective flows from the fermion-meson three-point function give h_ϕ for the pions and $h_\phi + 2\rho h'_\phi$ for σ . We note in this context that the projection on the flow of the pion-fermion vertex in (C23) not only generates the tensor structure projected but also an additional one proportional to the fully symmetric tensor flavor tensor d^{abc} ,

$$\Gamma_k^{(\pi\bar{\psi}\psi)} \propto \gamma_5 (T_f^a + d^{abc} T_f^b T_f^c), \quad (\text{C22})$$

which turns relevant in the large N_f limit. For $N_f = 2$ the fully symmetric tensor is exactly zero and hence such contributions exactly vanish. This renders the flow of the pion fermion and σ fermion the same in the symmetric regime.

We proceed with the flow equation for h_ϕ derived from the projection onto the scalar part of the quark two-point function,

$$\partial_t h_\phi = \left(\frac{1}{2} \eta_\pi + \eta_\psi \right) h_\phi + \bar{m}_\pi^2 \dot{A}_\phi + \frac{\text{tr}[T_f^0 \partial_t \Gamma_k^{(\bar{\psi}\psi)}]}{\langle \sigma \rangle Z_\psi \text{tr}[(T_f^0)^2]} \Big|_{p=0}, \quad (\text{C23})$$

with

$$\dot{A}_\phi = -\frac{1}{h_\phi} \text{tr} \left[\mathcal{P}_{(\sigma-\pi)}^{(\bar{\psi}\psi\bar{\psi}\psi)} \partial_t \Gamma_k^{(\bar{\psi}\psi\bar{\psi}\psi)} \right] \Big|_{p=0}. \quad (\text{C24})$$

Equation (C24) carries the flow of the four-Fermi coupling $\partial_t \bar{\lambda}_{\text{SP}}^{(\sigma-\pi)}$ from (C16) that is stored in the meson exchange in the fRG approach with emergent composites. The choice (C24) eliminates the respective flow of the scalar-pseudoscalar dressing, $\partial_t \lambda_{\text{SP}}^{(\sigma-\pi)} = 0$. Since the

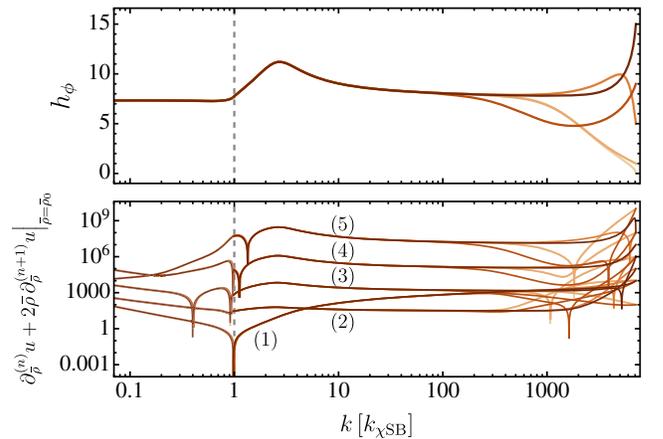


FIG. 19. In the top panel, the Yukawa coupling between fermions and resonances and in the bottom panel, different orders of the effective potential for very different UV boundary conditions are displayed. All trajectories converge to the same IR values. See text for details.

coupling is set to zero at the initial cutoff scale, see (71b), this leads to $\lambda_{\text{SP}}^{(\sigma-\pi)} \equiv 0$ for all cutoff scales. We refer to [29,56,152,192–194] for the full derivation, complete analytic expressions, and further details.

In Fig. 19 we show the integrated flow of the Yukawa coupling h_ϕ and the expansion coefficients of $u(\bar{p})$ for various random boundary initial conditions at the Λ_{UV} scale. Independent of their UV values, all trajectories are attracted toward the same one defined by the gauge-fermion interactions. This entails that the chiral sector is purely generated by the gauge dynamics and does not host further relevant parameters.

APPENDIX D: COMPARISON TO 2 AND 2 + 1 FLAVOR (CHIRAL) QCD

In this appendix we compare the results in the chiral limit for a few flavors discussed in Secs. IV and V to existing ones in the QCD literature at physical quark masses, obtained with high precision functional computations [29,128,129,131] and Monte Carlo lattice simulations [49,195]. The purpose of this section is to evaluate the reliability of the present truncation and estimate the error.

This error estimate is based on the LEGO[®] principle introduced in [29]. There, it has been argued and demonstrated that the systematics of the full computation can be separated into the analysis of different sectors. In the functional approach to QCD, we identify two detachable sectors: the pure gauge and the matter.

To assess the quantitative reliability of the glue sector, in Fig. 1 we have compared the gauge field propagator derived in the best approximation of this work with results from quantitative fRG studies [29,47,129] and lattice data for $N_f = 2$ and $N_f = 3$ flavors [49,195]. While these benchmark results have been obtained at physical pion masses, it has been shown in [47] that the pion mass-dependence of the gluon propagator is negligible.

As discussed in Sec. II A, this quantity encodes the confining dynamics and we find in both theories with $N_f = 2, 3$ an excellent agreement of the gluon propagator for momentum scales $p \gtrsim p_{\text{peak}}$. For smaller momenta the agreement is still excellent for $N_f = 3$, while it is semi-quantitative for $N_f = 2$. Note however that the gluon decouples in this momentum regime due to the mass gap and this small error does not feed in the matter sector. Note also that the lattice data [195] flattens at $p \gtrsim 5$ GeV due to the lack of a conversion to continuum momenta. In summary, this comparison shows the impressive semiquantitative nature of the present simplified and versatile approach to confinement put forward in Sec. III B.

In the matter sector, we can identify two subsectors: one including the fermion gauge and another with pure fermionic interactions. In the first, the full fermion-gauge vertex in the effective action includes eight different

tensor structures

$$\Gamma^{(A\bar{\psi}\psi)}(p_1, -p_2) = \sum_{i=1}^8 \lambda_{A\bar{\psi}\psi}^{(i)} \left[\mathcal{T}_{A\bar{\psi}\psi}^{(i)}(p_1, -p_2) \right]_\mu, \quad (\text{D1})$$

where we have dropped the color and flavor structures and the dominant [47,129,181] ones are

$$\left[\mathcal{T}_{A\bar{\psi}\psi}^{(1)}(p_1, p_2) \right]_\mu = -i\gamma_\mu, \quad (\text{D2a})$$

$$\left[\mathcal{T}_{A\bar{\psi}\psi}^{(4)}(p_1, p_2) \right]_\mu = (\not{p}_1 + \not{p}_2)\gamma_\mu, \quad (\text{D2b})$$

$$\left[\mathcal{T}_{A\bar{\psi}\psi}^{(7)}(p_1, p_2) \right]_\mu = \frac{i}{2} [\not{p}_1, \not{p}_2]\gamma_\mu. \quad (\text{D2c})$$

It has been shown [47,129,181,196] the classical tensor structure $\mathcal{T}_{A\bar{\psi}\psi}^{(1)}$ is the leading one by far. However, also $\mathcal{T}_{A\bar{\psi}\psi}^{(4)}$ and $\mathcal{T}_{A\bar{\psi}\psi}^{(7)}$ are important for the quantitative determination of the fermion gauge dynamics in $N_f = 2$ and $2 + 1$ QCD, while the other five transverse tensors are irrelevant. In the present computation we only account for the classical tensor structure $\mathcal{T}_\mu^{(1)}$ included in (40). For a comparison with full QCD we have implemented the effect of the missing leading tensor structures by an enhancement of the gauge-fermion coupling, as done in [29,131]. With the same parameters as in [29] we obtain the values displayed in Table I and labeled as ‘‘Multiavatar: Enhanced.’’ We compare them to the values obtained in the not enhanced and the single-avatar truncations discussed in Appendix F.

The second subsector includes the pure-fermionic interactions. Here, we have used a Fierz-complete basis of momentum-independent four-Fermi operators. Moreover, we account for higher-order scatterings in the dominant scalar-pseudoscalar channel. For this we considered a polynomial mesonic potential (64) expanded to $N_{\text{max}} = 5$, as in [131]. The pure fermionic sector shows

TABLE I. m_ψ obtained in the different approximations put forward in Sec. III [multiavatar (not) enhanced] and in Appendix F 2 (single-avatar) as well as with existing results from high precision functional computations in the chiral limit (fQCD) [29].

$m_\psi(k_{\gamma\text{SB}})$	$N_f = 2$	$N_f = 3$
QCD [29]	0.80	0.74 ^a
Multiavatar: Enhanced	0.74	0.53
Multiavatar: Not enhanced	0.63	0.50
Single-avatar	0.64	0.52

^aThe ‘‘a’’ highlights that the fQCD $N_f = 2 + 1$ results are obtained with two chiral flavors and one heavy, justifying the large m_ψ value.

well-converged results, and hence we consider the respective error to be subleading.

In conclusion, we find the truncation employed shows good agreement with results derived in quantitative functional [29,47,131] and Monte Carlo investigations [49,195], supporting the semiquantitative nature of our results.

APPENDIX E: TUNING CONFINEMENT

In this appendix we provide further technical details on the bootstrap approach to confinement discussed in Sec. III B, and in particular on the tuning of the confining scaling solution in the present approximation. We also evaluate the decoupling regime of gauge-fermion systems. Specifically we show that observables and RG-invariant quantities in the fermionic sector and the intrinsic dynamical scales have no sizeable dependence on the chosen solution. In combination with the independence on the variation of the cutoff scale $\gamma_{\text{conf}} k_{\text{conf}}$ of the onset of the confinement dynamics, this analysis supports the reliability of the bootstrap approach to confinement used here.

In the left panel of Fig. 20 we display the IR value of the scalar part of the gauge field two-point function within the approximation (32), evaluated at $p = 0$ and $k \rightarrow 0$,

$$\lim_{k \rightarrow 0} Z_{A,k} m_{\text{gap},k}^2, \quad (\text{E1})$$

as a function of the UV-values of m_{scaling}^2 in (C15b) for an SU(3) gauge theory with two massless flavors in the fundamental representation. Similarly to [141] we can identify three different phases:

- (1) *Scaling solution*: it is given by the leftmost point (black) in the left panel of Fig. 20 and the trajectories

are displayed in the right panel of the same figure as well as in Fig. 7. The scaling solution is uniquely defined by the scaling of correlation functions as explained in Sec. II A. This is also reflected by the flattening of the gauge-ghost avatar toward the IR. Moreover, in the inlay of Fig. 7 and in Fig. 22, we show the integrated flow of the renormalized and dimensionful mass gap.

- (2) *Decoupling regime*: when increasing the value of the gluon mass gap in the UV from the scaling one, confining decoupling or massive solutions are found. For these, the gauge field propagator has a finite limit in the IR. This region of equivalent solutions (shaded in light blue) spans until the *maximally decoupling* solution. This solution is approximately defined by the minimum of the IR value of the inverse propagator, marked by a vertically dashed grey line. The exchange couplings for this solution are displayed in Figs. 20 to 22 in green. All couplings except the ghost gluon are in quantitative agreement with the scaling solution. The latter avatar does not freeze toward the IR, as in the scaling solution, but decays. This is a consequence of a slightly too large mass gap. Note that the respective differences do not show in any physical observable computed so far in QCD, thus supporting the interpretation of an IR gauge ambiguity.
- (3) *Massive YM or Higgs regime*: For even larger UV masses, we enter a regime where the theory simply is massive YM (shaded orange and red in Figs. 20 to 22), or can be understood as an approximation of a gauge-fermion system coupled to a scalar field in the Higgs phase. Within this realm, we identify a critical UV mass (plain grey line) value beyond which $d\chi_{\text{SB}}$

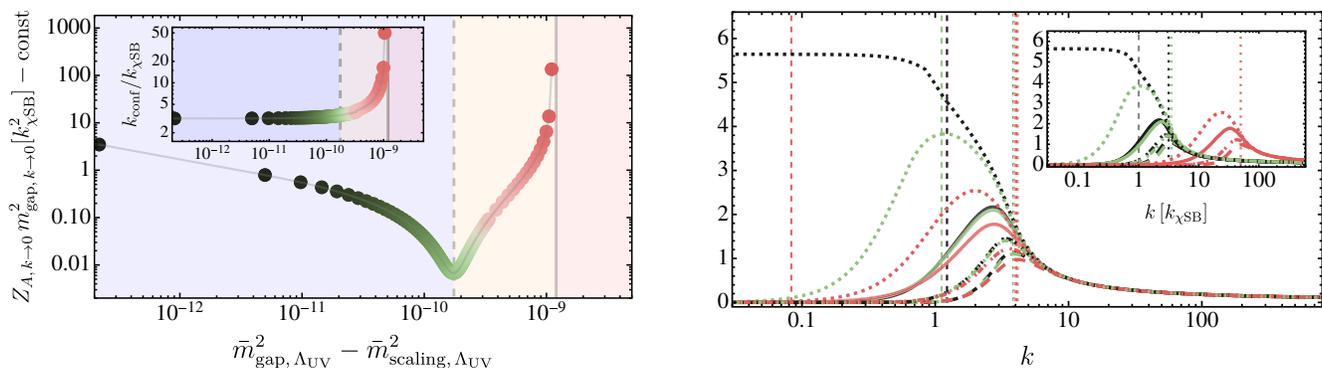


FIG. 20. In the left panel, the IR value of the gauge field two-point function (E1) at vanishing momentum is shown for different UV values of the dimensionless mass gap $\bar{m}_{\text{gap}, \Lambda_{\text{UV}}}^2$ in a SU(3) gauge theory with two massless flavors in the fundamental representation. We have subtracted a constant for better visibility of the results and in the inlay we show the respective $k_{\text{conf}}/k_{\chi_{\text{SB}}}$ ratios. The vertical lines denote the *maximal decoupling solution* (dashed) and the *critical solution* (plain), explained in the main text, and the corresponding exchange couplings are depicted in the right panel in green and red, respectively. The leftmost point (black) corresponds to the *scaling solution* plotted in Fig. 7 and in the right panel in black. In the right panel, the exchange couplings are displayed for the same initial conditions at $k = \Lambda_{\text{UV}}$ and the respective $k_{\chi_{\text{SB}}}$ and k_{conf} scales are indicated by vertical dashed and dotted lines, respectively. In the inlay plot we show the same integrated flows rescaled to their respective $k_{\chi_{\text{SB}}}$.

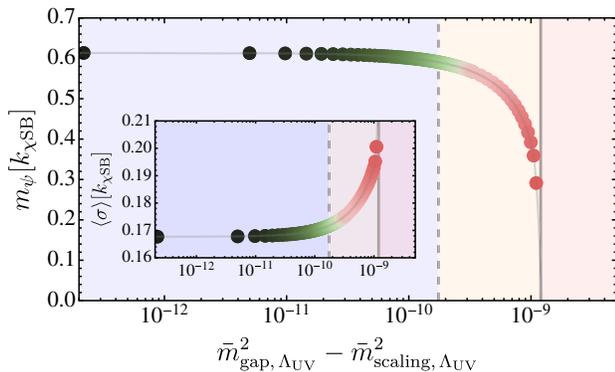


FIG. 21. Constituent fermion masses m_ψ and the chiral condensate $\langle\sigma\rangle$ (inlay plot) in units of $k_{\chi\text{SB}}$ for different values of the dimensionless mass gap at the UV scale $\bar{m}_{\text{gap},\Lambda_{\text{UV}}}^2$ in a $\text{SU}(3)$ gauge theory with two flavors in the fundamental representation. The vertical lines and shaded regions are described in the caption of Fig. 20.

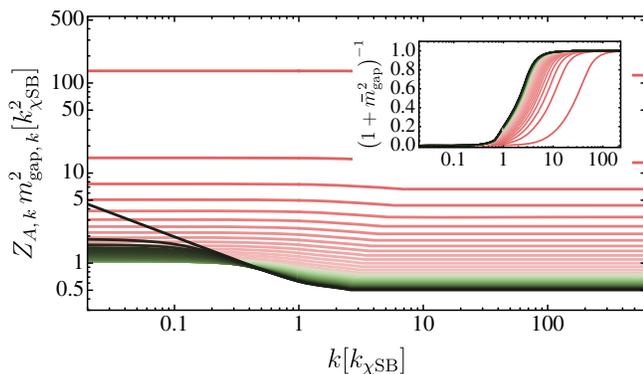


FIG. 22. Integrated flows for the gauge field renormalized mass gap for a $\text{SU}(3)$ gauge theory with $N_f = 2$ chiral flavors. The IR values are shown in Fig. 20 with the same color coding.

is lost. This is induced by the successive decrease of the gauge coupling, finally not approaching the critical coupling. We denote the boundary case as critical and display its running coupling in Fig. 20 in red. We emphasize that these theories also do not show confinement which is obvious for asymptotically large gluon masses. In this regime, the peak position of the gluon dressing function simply reflects the explicit gluon mass.

In Fig. 21, we display the values of the constituent fermion masses $m_\psi[k_{\chi\text{SB}}]$ in dependence on the gauge mass at the UV scale $\bar{m}_{\text{gap},\Lambda_{\text{UV}}}^2$ with respect to the scaling one. In the inlay, the value of the chiral condensate $\langle\sigma\rangle[k_{\chi\text{SB}}]$ is shown. This illustrates that observables are stable in the confining regime covering the scaling and decoupling solutions.

APPENDIX F: MANY-FLAVOR TRUNCATIONS

In this appendix we extend the analysis of the interplay of confinement and chiral symmetry breaking for different flavors, discussed in Sec. V, with further details. In Appendix F1 we discuss the many flavor results using the multiavatar truncation introduced in Sec. III and benchmarked in Sec. IV and Appendixes D and E. In Appendix F2 we provide the details of the improved single-avatar truncation which results have been discussed in Sec. V. Last, in Appendix F4 we provide more results on the scaling of fundamental parameters in the many-flavor limit.

1. Multiavatar results

In this appendix we discuss results within the multiavatar approximation put forward in Sec. IV. In Fig. 23 we show the gauge-fermion (plain), gauge-ghost (dotted), three-gluon (dashed) and four-gluon (dot dashed) exchange couplings [see (38) and (41)] for different number of flavors from $N_f = 1$ (yellow) to 5 (dark blue) in a $N_c = 3$ theory. All flows are initiated at the same UV scale with the identical boundary conditions. It is appreciable how fermionic corrections soften the growth of the gauge dynamics toward the IR delaying the emergence of both confinement and $d_\chi\text{SB}$. The peak of the three-gluon vertex is rather constant and rather independent of the number of flavors. We infer from this that the critical coupling for confinement is rather N_f independent. Moreover, the ghost-gauge coupling in the deep IR reaches always the same constant coupling given it is only color-group dependent. A mild fermionic dependence on this coupling is found in the large number of flavors which enters through the fermionic effects to the gauge anomalous dimension given the nonrenormalizability of the ghost-gluon vertex, see

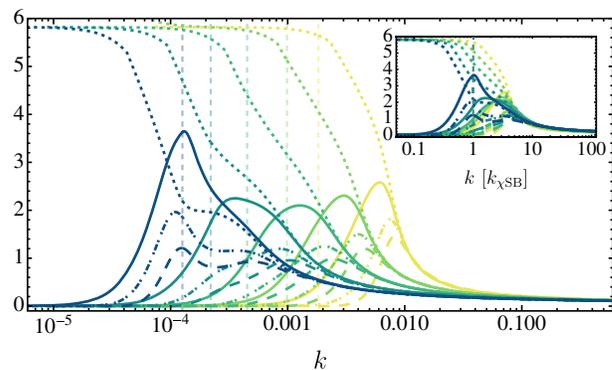


FIG. 23. Gauge exchange couplings as defined in (38) and (41) for the pure gauge (dashed), fermion-gauge (plain) and ghost-gauge (dotted) processes in an $\text{SU}(3)$ gauge theory with $N_f = 1$ (yellow) to 5 (dark blue) flavors. All flows are started at the same perturbative scale and with the same initial conditions. The same curves scaled in units of the respective $k_{\chi\text{SB}}$ are shown in the inlay.

Appendix C 2. Additionally we observe two nontrivial features already present in the single-avatar results discussed in Sec. V: a growth with N_f of the gauge-fermion exchange peak and an earlier appearance of $k_{\chi\text{SB}}$ with respect to the confinement scale, as expected when entering the locking regime.

As discussed in Sec. IV A, the pure gauge avatars are the most sensitive to the decoupling of the gauge corrections given by the mass gap. The roughly constant magnitude in the peak of α_{A^3} and α_{A^4} signals how the pure gauge sector and the onset of confinement are rather independent of the number of flavors. On the other hand, the gauge-fermion coupling peak magnitude increases with N_f . The larger the number of flavors the more the flow of this n -point function is enhanced. The diagrams responsible for this enhancement are at the same time the least sensitive to the gluon mass gap given that they mostly encode fermionic ones. Consequently this appears in an expected increment of the gauge-fermion avatar, which has the largest impact on the bosonized sector. Moreover, a stronger value of this avatar causes a flattening of the chiral potential and consequently an earlier $k_{\chi\text{SB}}$. Altogether this leads to the observed effect on increment in the coupling peak and both scales k_{conf} and $k_{\chi\text{SB}}$ coming together, signaling the appearance of the locking regime.

2. Improved single-avatar truncation

A precise resolution of the perturbative higher orders of the running coupling is key in the many-flavor limit of gauge-fermion QFTs. In the conformal and near-conformal limits, the exact N_c - N_f dependence of the gauge beta function is crucial for quantitatively determining the CBZ fixed-point value. This is relevant for describing walking regimes as well as for the precise determination of the lower boundary of the conformal window.

To that end, we improve the nonperturbative truncation introduced in Sec. III A and employed in Sec. IV to incorporate the perturbative contributions while preserving the nonperturbative character of the fRG and its sensitivity to thresholds. Furthermore, we improve the gauge-fermion avatar, as it is most sensitive to fermionic effects and is responsible for $d\chi\text{SB}$, to incorporate all perturbative and nonperturbative features. In the many-flavor limit, the distinction between different avatars becomes increasingly irrelevant as the dynamical scales where confinement and $d\chi\text{SB}$ occur shift toward the IR. Consequently, we identify the remaining avatars with the fermion-gauge one, see (73), thereby reducing the complexity of the gauge system to a single avatar $\alpha_g = g^2/4\pi$ of the gauge coupling. The flow of this single gauge avatar reads,

$$\partial_t g = \partial_t \lambda_{A\bar{\psi}\psi}^{(1)} + \frac{\partial_t \lambda_{A\bar{\psi}\psi}^{(>1)}}{\partial_t \lambda_{A\bar{\psi}\psi}^{(>1)} \Big|_{\{\bar{m}_{\text{gap}}, \bar{m}_{\psi}\}=0}} \sum_{n=2}^{N_{\text{max}}} \left\{ \sum_{i=1}^{i_{\text{max}}} \frac{1}{(1+i_{\text{max}})} \frac{1}{(1+\bar{m}_{\text{gap}}^2)^{3n+i}} \left(\beta_A^{(n)} + \left[\frac{(2+\bar{m}_{\psi}^2)}{2(1+\bar{m}_{\psi}^2)^3} \right]^{N_f \text{ in loop}} \beta_{\psi}^{(n)} \right) \right\}. \quad (\text{F1})$$

The first term $\partial_t \lambda_{A\bar{\psi}\psi}^{(1)}$ is the one-loop component of the full fRG flow of the gauge-fermion avatar (41) in (C3). Moreover, this coupling is the dominant in the large N_f limit given as shown in Fig. 23. The second term in (F1) is proportional to $\partial_t \lambda_{A\bar{\psi}\psi}^{(>1)} = \partial_t \lambda_{A\bar{\psi}\psi} - \partial_t \lambda_{A\bar{\psi}\psi}^{(1)}$ which carries all remaining higher-order effects in a nonperturbative fashion [e.g., as higher powers in g as well as via the four-Fermi and through the rebosonized couplings h and $u(\bar{\rho})$]. In the denominator of this term, we have the high-order effects of the gauge-fermion avatar evaluated at vanishing thresholds, $\bar{m}_{\text{gap}}, \bar{m}_{\psi} = 0$. In the UV perturbative regime, chiral symmetry is unbroken ($\bar{m}_{\psi} = 0$) and the dimensionless gluon mass gap is negligible $\bar{m}_{\text{gap}} \approx 0$ (see inlay in Fig. 22 as an example), hence this ratio is unity.

Inside the curly brackets we include the perturbative contributions adjusted with the respective threshold functions for the gauge and fermionic loops of different power. Here, $\beta_A^{(n)}$ and $\beta_{\psi}^{(n)}$ stand for the pure gauge and the fermionic at the n -loop level. For these, we have employed four-loop $\overline{\text{MS}}$ results [60,197] but other schemes will be implemented in future works. The respective perturbative

contributions have been properly gapped by adjusted powers of the number of propagators which are the functions encoding the \bar{m}_{gap}^2 and \bar{m}_{ψ} dependencies. We have added this normalized summation in i to account correctly for the progressive decoupling of different orders. We have checked there is no significant dependence of the results discussed on the powers of the threshold functions.

It is left to implement the confining dynamics reflected by the emergence of the gluon mass gap. In the present single-avatar approximation we employ the same truncation as in the multi-avatar setup, and we refer for a detailed description to Sec. II A and Appendix C 3. In particular, we use the same effective flow for $m_{\text{gap},k}^2$ defined in (C15). In the explicit computations, we take the onset scale as the point where $\alpha_{A\bar{\psi}\psi} = 1.5$ is satisfied, although no significant dependence was found when varying the onset within $\alpha_{A\bar{\psi}\psi} \approx (1, 2)$.

The properties of this single-avatar flow can be summarized as follows. In the UV, the gauge dynamics is weak and there is no presence of thresholds. The flow (F1) reads as the n -loop perturbative coupling given that the ratio encoding the nonperturbative fRG effects is smoothly

cancelled. This way we enjoy sensitivity to fixed-point solutions precisely encoded in the perturbative expressions. As the gauge dynamics strengthen toward the IR, thresholds smoothly appear as shown in Secs. IV A and IV B. These progressively decouple the respective modes on the perturbative and fRG contributions in an organized manner. It is convenient to note here that at intermediate regimes there is a minor double counting effect caused by the overlap of both contributions. Furthermore, aside from the modification discussed on the gauge sector, the bosonized sector couplings and flows of h , $u(\bar{\rho})$ and λ_j are kept in full glory.

In conclusion, the present single-avatar approximation is simple, versatile and semiquantitative. This allows for an easy access to a regime in theory space which has proven to be quite challenging for first principles approaches. This setup allows one to understand the interplay between the dynamics of interest as well as the self-consistent implementation of other sectors. For example, this latter point is particularly relevant for BSM investigations which can be self-consistently implemented within functional implementations of the SM, see, e.g., [25,26,140,198–202].

3. Error estimate of the many-flavor truncation

The single-avatar truncation discussed in the previous appendix provides a simplified setup to describe the pure gauge and chiral dynamics in a unified manner. In this appendix we discuss the regime of reliability of this truncation as well as provide an error estimate.

In Sec. VA we discussed how this approximation displays in the $N_f = 1$ limit a dip in quantities dependent on $k_{\chi\text{SB}}$ in comparison to the multiavatar truncation. This is a product of accounting only for a single avatar (the fermion-gauge coupling) demanded to describe both, the confining and $d\chi\text{SB}$ dynamics. In the QCD limit, we have seen in Sec. IV B that the confinement and $d\chi\text{SB}$ are rather apart and consequently distinguishing the different avatars is necessary for a quantitative determination. Consequently, the leading source of error of the single-avatar approximation in the $N_f = 1$ limit is well understood. This hints to the qualitative nature of this truncation in the few flavor limit. Moreover, a good estimate on the error in this limit can be obtained by comparing it to the results from multiavatar truncation. Furthermore, we note that this error is reduced when analyzing quantities as a function of the respective $k_{\chi\text{SB}}$ scale. For example, this is visible in Figs. 13 and 25. Moreover, the errors displayed account for the difference between both truncations as well as an inherent source of error providing an approximate and generous 40% uncertainty on the obtained values. We note that part of the uncertainty arises from varying the definition of the k_{conf} scale in each of the truncations, as it is a proxy and not uniquely defined.

In the many flavor limit, the scales of confinement and $d\chi\text{SB}$ are drawn together in both truncations (see Figs. 10

and 23) and this source of error is not present. This can be seen in the following Appendix F 4 and Figs. 24 and 25 where fundamental quantities are displayed in both approximations.

4. Many-flavor scaling of fundamental quantities

In this appendix we provide more results on the dependence of fundamental quantities and parameters as a function of the number of flavors.

In Fig. 24, we show the equivalent to Fig. 12 for the constituent fermion masses m_ψ (top panel) and the chiral condensate $\langle\sigma\rangle$ (bottom panel) in units of an absolute UV scale (where all couplings are initiated with $\alpha_g \approx 0.08$) for a SU(3) gauge theory with N_f fundamental flavors. Both of these quantities are tightly related to $k_{\chi\text{SB}}$ and hence in the few flavor limit we observe a difference between the multi- and single-avatar truncations.

In Fig. 25 we show the constituent fermion mass in units of the $k_{\chi\text{SB}}$ scale and the chiral condensate in units of k_{conf} , similarly to Fig. 13. Additionally, we depict the mass of the scalar σ mode in units of the $k_{\chi\text{SB}}$ scale. We note that the mass of the σ mode stays finite for all N_f considered, for a more quantitative evaluation in physical QCD with a full effective potential see [29]. We note in passing that this mode has been conjectured to play the role of the dilaton, namely the Goldstone boson of conformal symmetry, see,

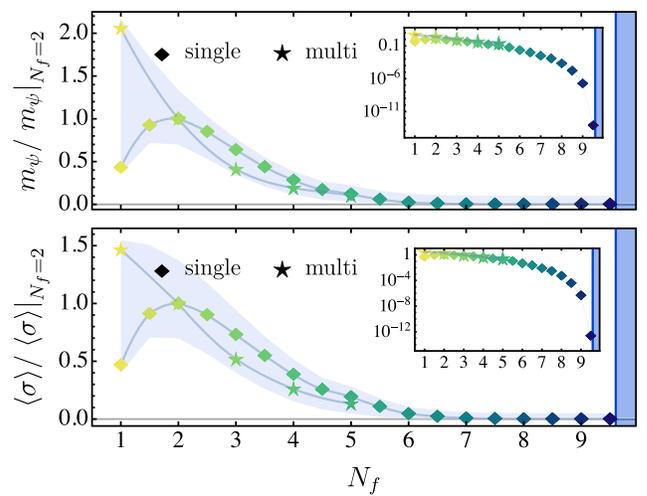


FIG. 24. Constituent fermion masses m_ψ (top panel) and the chiral condensate $\langle\sigma\rangle$ (bottom panel) in units of an absolute UV scale (where all couplings are initiated with $\alpha_g \approx 0.08$) for a SU(3) gauge theory with N_f fundamental flavors. Both quantities are shown normalized to the respective $N_f = 2$ values. We show results in the single (diamonds) and multiavatar (stars) approximations detailed in Sec. IV and Appendix F 2, respectively. The shaded areas depict the estimated errors discussed in Appendix F 3. The inlay plots show the same quantities in a logarithmic scale.

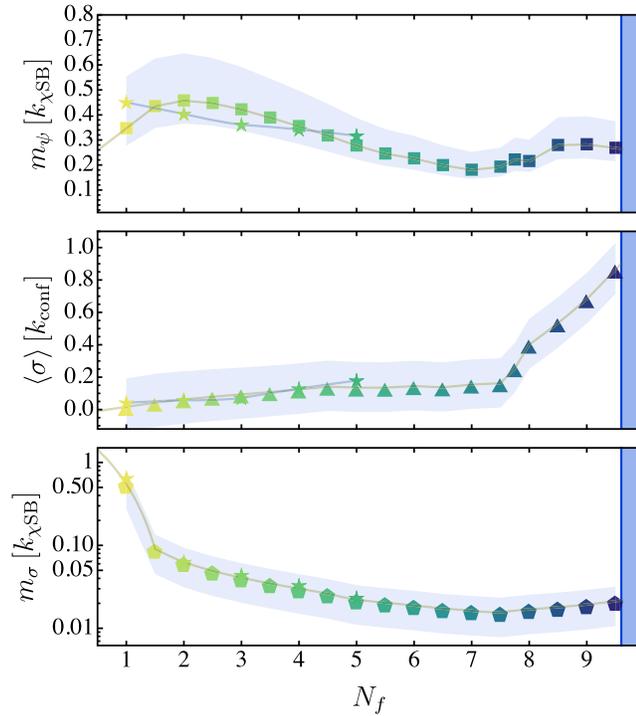


FIG. 25. From top to bottom, the constituent fermion masses m_ψ (squares), the chiral condensate $\langle\sigma\rangle$ (triangles) and the mass m_σ of the σ mode (polygons) in a $SU(3)$ gauge theory with N_f fundamental flavors. The fermion and sigma masses are shown in units of the respective $k_{\chi\text{SB}}$ scale and the chiral condensate, in units of k_{conf} . The shaded areas depict the estimated errors.

e.g., [20,203–209]. A respective discussion based on the present results and [29] will be done elsewhere.

APPENDIX G: BOUNDARY OF THE CONFORMAL WINDOW

In this appendix we provide the details on the computation of the lower boundary of the CBZ conformal window. In Appendix G 1, we determine $\alpha_{\chi\text{SB}}^{\text{crit}}$ in different truncations and provide a detailed comparison with previous computations. In Appendix G 2, we discuss the error estimate of the critical number of flavors delimiting the onset of conformality (83). In Appendix G 3 we compute the fermion mass anomalous dimensions which is usually employed to estimate the emergence of $d\chi\text{SB}$. We compare the values obtained perturbatively and nonperturbatively offering an estimate on the validity of this approach.

1. Convergence of the truncation

The lower boundary of the conformal window was previously estimated using the fRG in [112], employing the four-Fermi language introduced in Sec. III C. In contrast, in this work, we progress by bosonizing the $(\sigma - \pi)$ channel while keeping the remaining tensor structures in their original four-Fermi form. The (S–P)

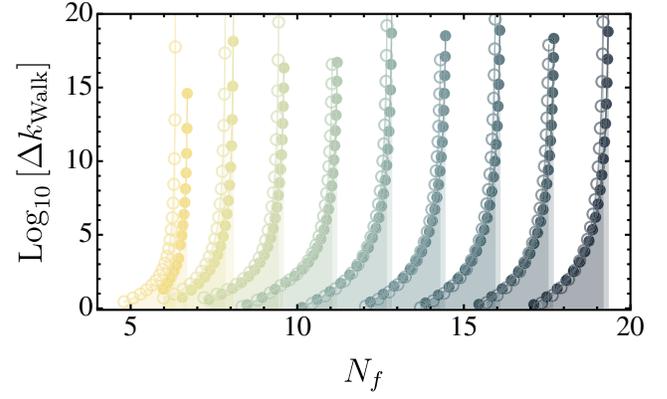


FIG. 26. Size of walking regimes (Δk_{walk}) as a function of N_f for $SU(N_c)$ gauge theories with different numbers of colors from $N_c = 2$ (leftmost light orange points) to $N_c = 6$ (rightmost and dark blue points) in half integer steps. We show estimates employing three (circles) and four (filled circles) loop $\overline{\text{MS}}$ beta functions.

channel is the most relevant for $d\chi\text{SB}$, as it manifests the appearance of a condensate and carries the information of the lightest resonant channels, which become critical. Technically, the currently employed bosonized formulation provides a qualitative improvement, as it allows for the inclusion of higher-dimensional fermionic operators via a higher-order meson potential and incorporates momentum dependencies for free. This is necessary to account for nonperturbative effects and to obtain reliable and quantitative estimates for the appearance of $d\chi\text{SB}$.

In this appendix, we begin with the approximation in [112] and systematically improve upon it. Using the four-Fermi formalism, we observe a rather constant $\alpha_{\chi\text{SB}}^{\text{crit}}$ when considering only the (S–P) mode and the subleading tensor structures, as shown by the dashed horizontal and plain purple lines in Fig. 27. This indicates that, as discussed in [129], the dominant channel for χSB at small N_f in vacuum is the (S–P) mode.

Moreover, we improve upon the computation in [112] by incorporating the gauge and fermion anomalous dimensions derived in this work into the flow of the four-Fermi couplings. These findings are summarized in Fig. 27, where we show how this improvement slightly decreases the required critical coupling in the few N_f limit toward the bosonized result. These are depicted with plain purple lines.

In the present approach, bosonizing the $(\sigma - \pi)$ channel at the lowest order already includes higher four-Fermi operator effects, leading to a straightforward improvement over the pointlike limit. However, we observe that neglecting the other tensor channels, in particular in the bosonized computation, leads to sizeable modifications for $N_f \geq 5$. This highlights the relevance of accounting for the complete basis in the many flavor regime, see the grey and black lines in Fig. 27.

In Fig. 28, we study $\alpha_{\chi\text{SB}}^{\text{crit}}$ for different expansion orders (N_{max}) of the mesonic potential (64). Higher orders in the

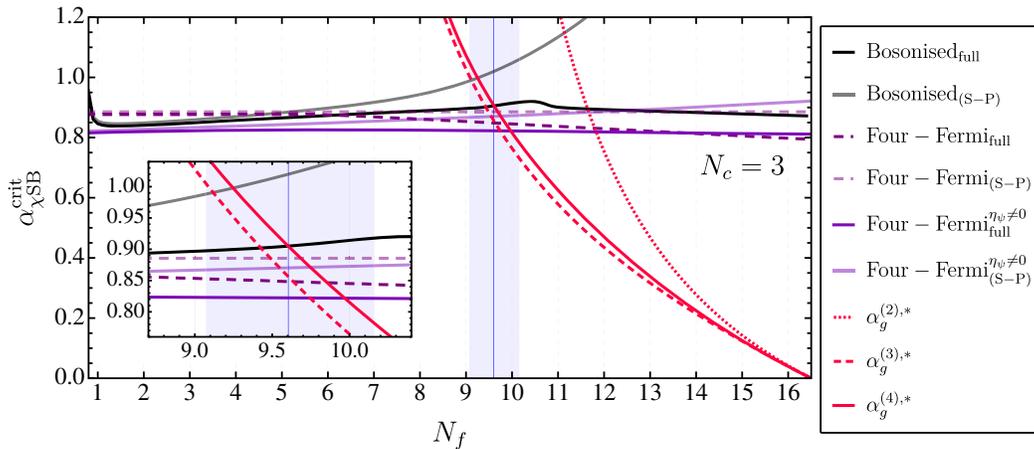


FIG. 27. Critical gauge coupling ($\alpha_{\chi\text{SB}}^{\text{crit}}$) for $d\chi\text{SB}$ in a $SU(3)$ gauge theory with N_f fundamental flavors and in different truncations. In black, the result obtained is the best approximation with the bosonized setup novel to this work with all tensor structures (black, labeled as $\text{Bosonised}_{\text{full}}$) and only the dominant scalar-pseudoscalar channels (grey, labeled as $\text{Bosonised}_{(S-P)}$). We compare to the results in [112] with all four-Fermi tensor structures (dashed dark purple, $\text{Four - Fermi}_{\text{full}}$) and only the dominant (S-P) (dashed light purple, $\text{Four - Fermi}_{(S-P)}$). Additionally, we show the approximation in [112] but including the effect of gauge and fermion anomalous dimensions with all (plain dark purple, $\text{Four - Fermi}_{\text{full}}^{\eta_w \neq 0}$) and only the dominant (plain light purple, $\text{Four - Fermi}_{(S-P)}^{\eta_w \neq 0}$) tensor structures. The vertical red lines show the two (dotted), three (dashed) and four-loop (plain) $\overline{\text{MS}}$ -scheme fixed-point values. The vertical blue line marks (83) and the respective shaded area the estimated error on its determination. In the inlay, we zoom into the regime of interest to determine the boundary of the CBZ window where $\alpha_{\chi\text{SB}}^{\text{crit}} = \alpha_g^*$.

Taylor-expanded potential correspond to considering fermionic operators up to $(\bar{\psi}\mathcal{T}\psi)^{2N_{\text{max}}}$. We see that the effect of higher-order operators modifies $\alpha_{\chi\text{SB}}^{\text{crit}}$ for $N_f \gtrsim 8$ and quickly converges at the $N_{\text{max}} = 4$ order, see inlay in the right plot of Fig. 15. However, it is important to stress that $\alpha_{\chi\text{SB}}^{\text{crit}}$ is affected by high-order fermionic interactions in the regime of interest for the determination of the boundary CBZ window.

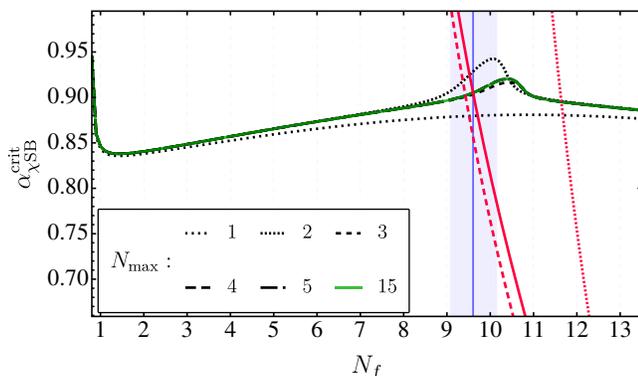


FIG. 28. Comparison of $\alpha_{\chi\text{SB}}^{\text{crit}}$ for different expansion orders of the Taylor chiral potential defined in (64). By light red lines we display the fixed-point values derived from the two (dotted), three (dashed) and four (plain) loop $\overline{\text{MS}}$ -scheme beta functions. We find that the dependence is considerable only at large numbers of flavors $N_f \gtrsim 9$. For expansion orders $N_{\text{max}} \geq 3$ we find well-converged results. The vertical blue line marks (83) and the respective shaded area the estimated error on its determination.

An interesting future improvement of the present computation consists of bosonizing the remaining channels (A2), and in particular the $(\eta - a)$ channel. This accounts for the effect of higher order operators in an unbiased way.

2. Systematics of the boundary

For the determination of the boundary of the conformal window we have employed the relation (81) which requires the determination of the critical coupling for $d\chi\text{SB}$, $\alpha_{\chi\text{SB}}^{\text{crit}}$, and the CBZ fixed point, α_g^* as a function of N_c and N_f . Moreover, its determination leads to two sources of error in the determination of the critical number of flavors in (83).

The first arises from the obtention of α_g^* for which we have employed results from perturbation theory including high-order loop effects. For this task, perturbative computations have proven to be very powerful as they account in a systematic manner for the higher order in the gauge beta functions. However, these are known to be scheme dependent beyond two loops and hence subject to an error. In this work we employed four-loop results in the $\overline{\text{MS}}$ scheme [60,177,179,180] without further improvement (e.g., resummation). Moreover, we estimate a modest 10% error in the N_f dependence of the fixed-point values. We find this to be a sufficient estimate given that the relative difference of the four- and three-loop ones is less than 3%.

The second source of error arises from truncating the effective action for the determination of $\alpha_{\chi\text{SB}}^{\text{crit}}$. An analysis of its solid stability has been done in Appendix G where

we have systematically improved different aspects of the computation. Considering higher orders in the Taylor expanded potential in (64) is equivalent to considering up to fermionic operators $(\bar{\psi}T\psi)^{2N_{\max}}$ in the bosonized scalar-pseudoscalar channel. We find that these operators are relevant up to $N_{\max} = 4$ where very good convergence is found, see Fig. 28. Worth noting is the necessity of high-order operators in the regime relevant for N_f^{crit} . Furthermore, we have also checked what is the impact of the subdominant tensor structures [non-(S-P)] in the determination of $\alpha_{\chi\text{SB}}^{\text{crit}}$. As shown in Fig. 27, the impact of neglecting their contribution is sizeable for $N_f > 8$.

To estimate the potential impact of higher dimensional operators in these subleading channels which are not explicitly accounted for in the present computation, we have multiplied the flows of the respective four-Fermi couplings by an enhancement factor. Considering twice its original strength, we observe only minor modifications in the many flavor regime well accounted by the error estimate. If applying the same technique to enhance or suppress the flows of the bosonized (S-P) channel by 30% it leads to a less than 1% change in N_f^{crit} showing the remarkable stability of the results.

In the present computation we have only explicitly included the classical tensor structure $[\mathcal{T}_{A\bar{\psi}\psi}^{(1)}(p_1, p_2)$ in (D2)] in the fermion-gauge vertex (D1). As discussed in detail in Appendix D, it is known from functional QCD computations that two additional ones are required $[\mathcal{T}_{A\bar{\psi}\psi}^{(4)}(p_1, p_2)$ and $\mathcal{T}_{A\bar{\psi}\psi}^{(7)}(p_1, p_2)$ in (D2)], see [47,129,181,196]. Previously we discussed its impact in the determination of m_ψ in the $N_f = 2$ and 3 cases and considered an enhancement in the fermion-gauge vertex to reproduce for its effect. In the many-flavor limit, these subleading tensor structures are expected to become less relevant as the gauge coupling becomes more perturbative due to the fermionic effects and consequently higher dimensional operators are expected not to feed significantly into the dynamics. Despite this observation, we estimate the potential effect of the missing tensor structures in the determination of $\alpha_{\chi\text{SB}}^{\text{crit}}$. For it, we enhance and suppress by 5% the $\alpha_{A\bar{\psi}\psi}$ exchange coupling feeding into the bosonized and four-Fermi flows. The resulting uncertainty is depicted by a dark grey band in Fig. 15 and leads to the error presented in (83).

3. γ_m in the fRG and perturbation theory

A common approach employed for the analysis of $d\chi\text{SB}$ is based on the magnitude of the anomalous dimension γ_m of the fermion mass in the chiral limit. Deep in the conformal regime, $\gamma_m \ll 1$ and goes to zero at the upper boundary of the conformal window, see Fig. 16. In turn, it rises toward a smaller number of flavors. It is suggestive that the onset of $d\chi\text{SB}$ is signaled by $\gamma_m \approx 1$ and this signal

has been used for its determination. Moreover, given the good convergence properties of perturbation theory deep in the conformal regime, γ_m has been computed within high perturbative loop orders and the perturbative $\gamma_m \sim 1$ has been used for the determination of the critical flavor number, N_f^{crit} .

For a detailed analysis of this approach see, e.g., [187], and we find $N_f^{\text{crit}}(N_c = 3) \approx (9.2, 9.8)$ in the $\overline{\text{MS}}$ scheme. In [81], $N_f^{\text{crit}}(N_c = 3) \approx 9.79_{-1.18}^{+1.25}$ is found, which is compatible with the present fRG estimate. For other computations employing the same methodology and different schemes see, e.g., [75–81] and references therein.

While perturbation theory works successively well deep in the conformal regime, it lacks sensitivity to the dynamical onset of $d\chi\text{SB}$. For example, precondensation phenomena as described in Sec. VD cannot be captured by perturbation theory. Moreover, increasingly large anomalous dimensions are typically also a signal for the potential failure of perturbation theory. In summary, we expect the perturbative estimates for γ_m to hold true qualitatively for $N_f \rightarrow N_f^{\text{crit}}$ from above, but with quantitative deviations close to N_f^{crit} . Furthermore, the present approach enables us to compute the critical γ_m . While we expect it to be of the order of unity, it may also deviate considerably.

The anomalous dimension of the fermionic mass in the conformal window is given by

$$\gamma_m = \lim_{m_\psi \rightarrow 0} \frac{\partial_t m_\psi}{m_\psi}, \quad (\text{G1})$$

which amounts to the computation of the anomalous dimension in the conformal window for a small explicit mass m_ψ , which is then taken to zero. In the present fRG approach with emergent composites, the RG-scale dependent fermionic mass is given by

$$m_{\psi,k} = \frac{h_{\phi,k}(\rho_{\text{EoM},k})\sigma_{\text{EoM},k}}{\sqrt{2N_f}}, \quad (\text{G2})$$

as in (69) where $\phi_{\text{EoM},k}$ is the solution of the equation of motion

$$\left. \frac{\partial V(\rho)}{\partial \sigma} \right|_{\phi=\phi_{\text{EoM}}} = c_\sigma, \quad \text{with} \quad \phi_{\text{EoM}} = \begin{pmatrix} 0 \\ \sigma_{\text{EoM}} \end{pmatrix}. \quad (\text{G3})$$

Here, c_σ is a linear shift of the effective action in σ ,

$$\Gamma[\Phi] \rightarrow \Gamma[\Phi] - c_\sigma \int_x \sigma, \quad c_\sigma \propto m_\psi, \quad (\text{G4})$$

which introduces an explicit chiral symmetry breaking, see [29,131] for a detailed discussion. The vanishing mass limit is tantamount to $c_\sigma \rightarrow 0$, thus removing the explicit breaking of chiral symmetry. Then, the anomalous dimension γ_m is given by

$$\begin{aligned}
\gamma_m^{\text{fRG}} &= \lim_{c_\sigma \rightarrow 0} \frac{\partial_t [h_\phi \sigma_{\text{EoM}}]}{h_\phi \sigma_{\text{EoM}}} \\
&= \lim_{c_\sigma \rightarrow 0} \frac{\partial_t h_\phi + 2\partial_t \rho_{\text{EoM}} h'_\phi}{h_\phi} + \frac{\partial_t \sigma_{\text{EoM}}}{\sigma_{\text{EoM}}} \\
&= \frac{\partial_t h_\phi}{h_\phi} + \frac{\partial_t \sigma_{\text{EoM}}}{\sigma_{\text{EoM}}}, \tag{G5}
\end{aligned}$$

where we have used that the h'_ϕ -term vanishes at $\dot{\rho}_{\text{EoM}} = 0$ at $\rho_{\text{EoM}} = 0$. Moreover, the limit $c_\sigma \rightarrow 0$ can be safely done in the $(\partial_t h_\phi)/h_\phi$ part: both the flow and in particular the Yukawa coupling in the denominator have a finite limit. This leads us to

$$\begin{aligned}
\frac{\partial_t h_\phi}{h_\phi} &= -\frac{\lambda_{\psi\bar{\psi}A}^2}{(4\pi)^2} C_F \left[\frac{3(1-\eta_A/6)}{(1+\bar{m}_\psi^2)(1+\bar{m}_{\text{gap}}^2)^2} + \frac{3(1-\eta_\psi/5)}{(1+\bar{m}_\psi^2)^2(1+\bar{m}_{\text{gap}}^2)} \right] + \frac{\lambda_+}{(4\pi)^2} \frac{(1-\eta_\psi/5)}{(1+\bar{m}_\psi^2)^2} \\
&\quad - \frac{h_{(\sigma-\pi)}^2}{(4\pi)^2} \left[\left(N_f - \frac{1}{N_f} \right) \left\{ \frac{1-\eta_\pi/6}{2(1+\bar{m}_\psi^2)(1+\bar{m}_\pi^2)^2} + \frac{1-\eta_\psi/5}{2(1+\bar{m}_\psi^2)^2(1+\bar{m}_\pi^2)} \right\} \right. \\
&\quad \left. - \frac{1}{N_f} \left\{ \frac{1-\eta_\sigma/6}{2(1+\bar{m}_\psi^2)(1+\bar{m}_\sigma^2)^2} + \frac{1-\eta_\psi/5}{2(1+\bar{m}_\psi^2)^2(1+\bar{m}_\sigma^2)} \right\} \right. \\
&\quad \left. + \frac{h_{(\eta-a)}^2}{(4\pi)^2} \left[\left(N_f - \frac{1}{N_f} \right) \left\{ \frac{1-\eta_a/6}{2(1+\bar{m}_\psi^2)(1+\bar{m}_a^2)^2} + \frac{1-\eta_\psi/5}{2(1+\bar{m}_\psi^2)^2(1+\bar{m}_a^2)} \right\} \right. \right. \\
&\quad \left. \left. - \frac{1}{N_f} \left\{ \frac{1-\eta_\eta/6}{2(1+\bar{m}_\psi^2)(1+\bar{m}_\eta^2)^2} + \frac{1-\eta_\psi/5}{2(1+\bar{m}_\psi^2)^2(1+\bar{m}_\eta^2)} \right\} \right] + \frac{1}{2} \eta_\pi + \eta_\psi, \tag{G6}
\end{aligned}$$

where the \dot{A} term in (C23) is absent in the broken phase and also in the limit $c_\sigma \rightarrow 0$. The first line contains the gauge diagrams and the contribution from the (V + A) four-Fermi channel. In the remaining lines, we have made explicit the bosonized channels of the $(\sigma - \pi)$ and $(\eta - a)$ modes. In the axially symmetric case, both contributions are equal with opposite sign and therefore cancel. However, a remaining part of the $(\sigma - \pi)$ channel is contained in the flow of the minimum of the potential,

$$\frac{\partial_t \sigma_{\text{EoM}}}{\sigma_{\text{EoM}}} = -\frac{h_{(\sigma-\pi)}^2 N_c}{(4\pi)^2 \bar{m}_\sigma^2} \left(1 - \frac{\eta_\psi}{5} \right) - \frac{1}{2} \eta_\pi, \tag{G7}$$

which appears proportional to N_c .

The anomalous dimension can also be determined in the conformal window purely from the four-Fermi language, leading to $\gamma_m^{\text{fRG}4F}$. It is determined completely from the flow of the fermion two-point function in the T_f^0 projection,

$$\begin{aligned}
\gamma_m^{\text{fRG}4\psi} &= \dots + \frac{(1-\eta_\psi/5)}{(4\pi)^2 N_f (1+\bar{m}_\psi^2)^2} \left[8\lambda_+ + \lambda_{\text{SP}}^{(\eta-a)} (N_f^2 - 2) \right. \\
&\quad \left. - \lambda_{\text{SP}}^{(\sigma-\pi)} (N_f^2 - 2 + 4N_c N_f) \right], \tag{G8}
\end{aligned}$$

and the \dots here stand for the gauge field loops in the first line of (G6). All terms in this four-Fermi expression can be

identified to those in the bosonized one finding the correct correspondence.

In Fig. 16 we provided an explicit comparison between the anomalous dimensions computed in different orders of perturbation theory and the nonperturbative fRG ones, (G5) and (G8), evaluated on the four-loop $\overline{\text{MS}}$ fixed-point values. We note that the mesonic thresholds account for higher order fermionic correlations. They are still present even in the absence of $d\chi\text{SB}$ and confinement, leading to $\bar{m}_\psi = \bar{m}_{\text{gap}} = 0$.

For $N_f \gtrsim 11$, the fRG result γ_m^{fRG} agrees well with the three-loop result and the four-Fermi follows closely. The latter computation displays an $N_f^{\text{crit},4\psi} = 10$ as shown in Fig. 27 well in agreement with [112] and with

$$|\gamma_m^{\text{fRG},4\psi}| (N_f^{\text{crit},4\psi}) = 0.82, \tag{G9}$$

for $N_c = 3$. On the other hand, the present computation displays the anomalous dimension in (84). Close to N_f^{crit} , $|\gamma_m^{\text{fRG}}|$ grows rapidly driven by the high-order mesonic interactions, in particular displaying $\bar{m}_\sigma^* = \bar{m}_\pi^* \lesssim 10$ entering in (G6) and (G7). This regime supports the potential presence of a small strongly coupled conformal field theory.

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